

# *Global Sources of North American Ozone*

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***Disclaimer:*** *The views expressed in this presentation are those of the authors and do not necessarily reflect the views or policies of the U.S. Environmental Protection Agency.*

# Part I - Outline

- Quick Overview of “Background”
- Part II – Model System Description and Evaluation
- Part III – Model attribution results

# What are background concentrations?

- Jaffe et al. (2018) uses a source oriented definition
  - Non-Controllable *Ozone* Sources contribute to background ozone.
  - What is controllable, to some extent, depends on context.

doi: 10.1525/elementa.309

- “Non-Controllable” Ozone Sources

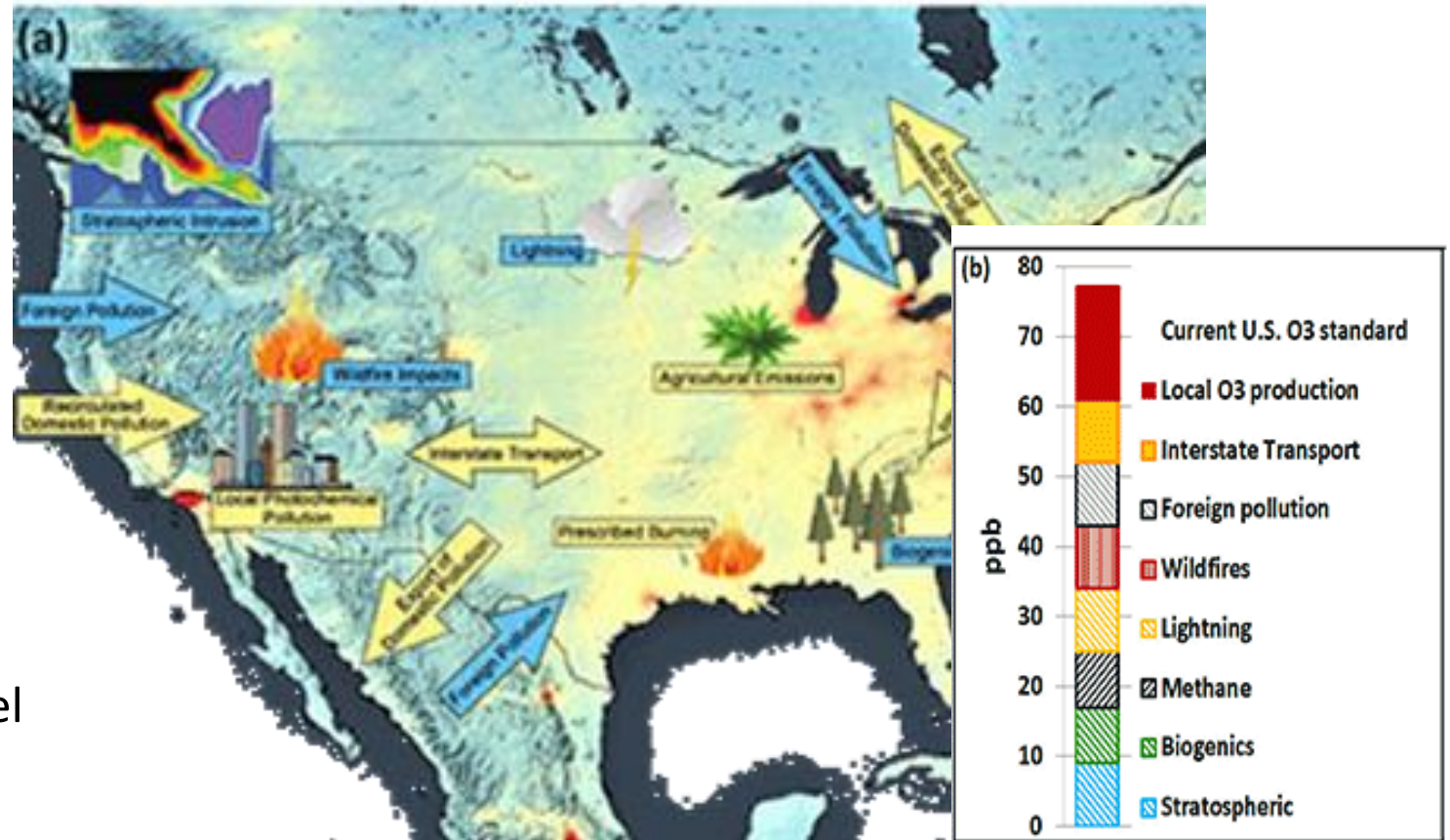
- Stratosphere
- Lightning NO<sub>x</sub>
- Wildfires, Biogenics
- Seasonal uncertainty  $\pm 10$  ppb

- “Controllable”

- Depends on Context...
- Non-Attainment Area
- State, Country
- International?

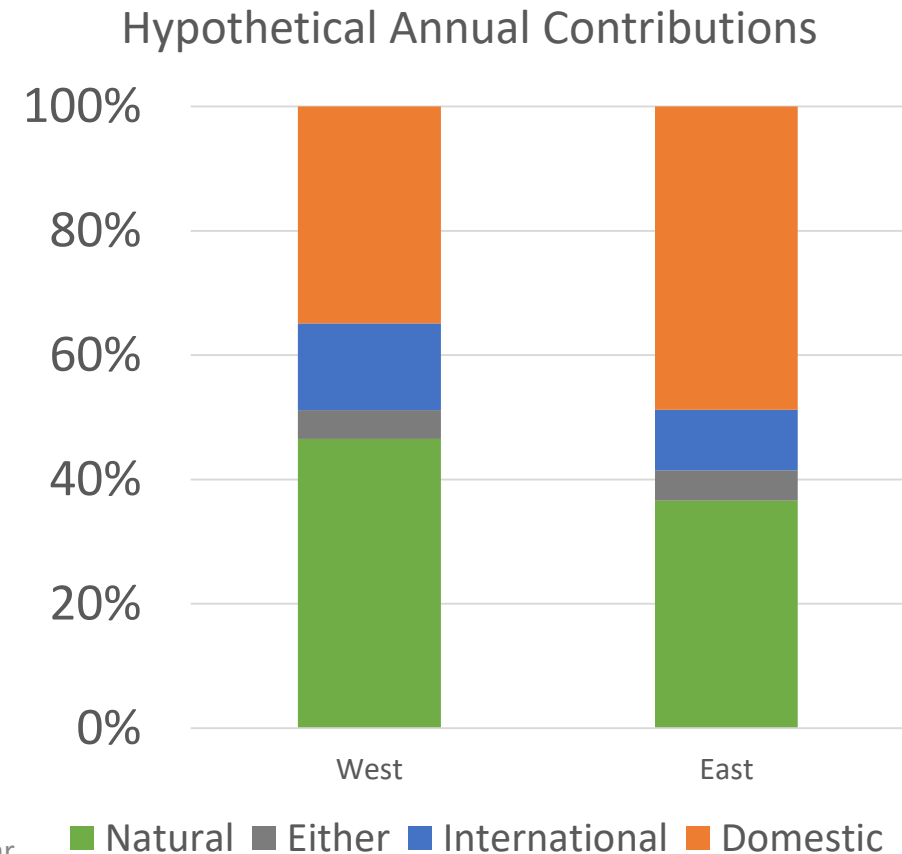
- ***Ambient air has all sources***

- NCOS can be important
- NCOS vary from year to year
- NCOS vary from model to model



# Zero-out estimates of ozone contributions

- *Motivations:*
  - Interannual variability (e.g., Lin et al., 2017)
  - Modeling system (e.g., Huang et al. 2017)
  - 2016 platform ( $\alpha$ )
- *New Estimates:*
  - *Northern Hemispheric: Natural*
  - International anthropogenic: **Intl**
  - Domestic anthropogenic: **USA**
  - Nonlinear: **Residual**
    - Requires either
    - Requires both
- All starts with a modeling platform



# Part I - Outline

## **System Description**

- Global model versions and options
- Emissions
  - Natural
  - Anthropogenic

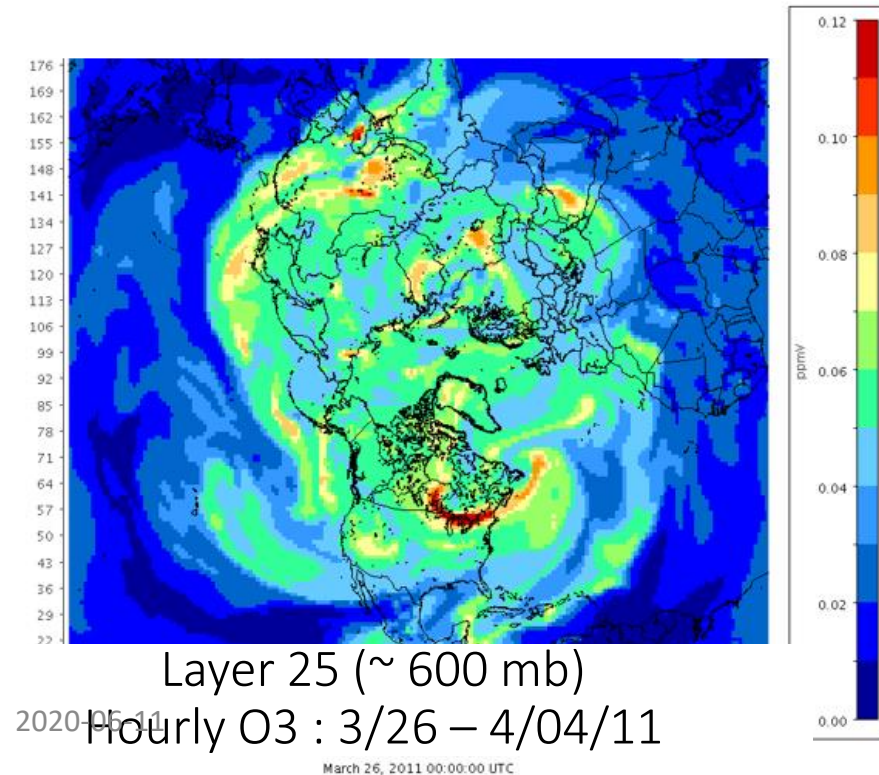
## **CMAQ Results and Evaluation**

- Seasonal Average Ozone
- Sonde Evaluation
- CASTNet Evaluation
- Tropospheric Ozone Assessment Report Databases
- Satellite semi-quantitative

I won't show results from GEOS-Chem results, but I will occasionally reference the performance from GEOS-Chem in the 2011 EPA modeling platform and preliminary 2016 GEOS-Chem.

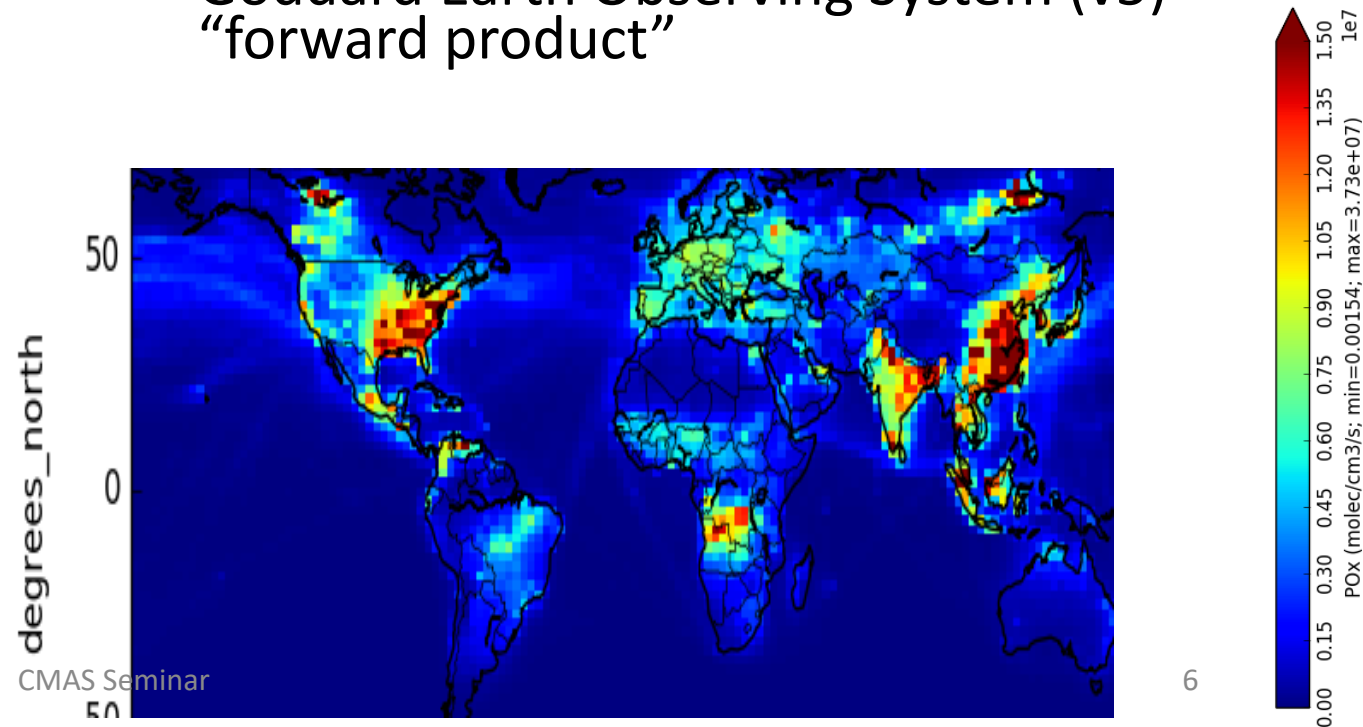
# Hemispheric CMAQ

- v5.2.1 (IPV, dust, halogens)
- 8 month spinup period
- Polar stereographic (~1x1 deg)
- 44 Layers up to 50mb
- Weather Research and Forecasting



# GEOS-Chem

- Version 12.0.1
- 1-year spinup period
- 2x2.5 degree w/ half polar cells
- 72 vertical layers up to 0.01mb
  - ~38 up to 50mb
- Goddard Earth Observing System (v5)  
“forward product”



# Natural Emissions

- Biogenics (plants and soils):
  - **BOTH**: Model of Emissions of Gases and Aerosols from Nature (MEGAN) v2.1
  - **H-CMAQ** North America Biogenic Emission Inventory System (BEIS)
- Wild and Prescribed Fires:
  - **GEOS-Chem**: 2011: GFED or 2-16: FINN v1.6
  - **H-CMAQ**: FINN v1.5 and over US 2016 platform
- Lightning:
  - **GEOS-Chem** with Lee Murray updates
  - **H-CMAQ** GEIA climatological averages by latitude & season
- Inline Dust:
  - **GEOS-Chem**: DEAD w/ current parameters
  - **HCMAQ**: Inline CMAQ algorithm
- Sea Salt: similar in-line schemes
- Dimethyl Sulfide
  - **GEOS-Chem** in-line
  - **H-CMAQ** not in present run
  - Relevant for aerosols and haze

Details in EPA 2019: [epa.gov/sites/production/files/2019-12/documents/2016fe\\_hemispheric\\_tsd.pdf](https://epa.gov/sites/production/files/2019-12/documents/2016fe_hemispheric_tsd.pdf) and Vukovich et al. CMAS 2018



# Anthropogenic Emissions

## Global

- EDGAR-HTAP base year 2010
  - ***BOTH interpolated to 2014 by CEDS sector/country scalars***
  - GEOS-Chem uses RETRO VOC
  - HCMAQ uses Pouliot sector-based speciation
- ***Shipping:***
  - HCMAQ: EDGAR-HTAP and 2016fe platform within Continental US modeling domain
  - GEOS-Chem: ARCTAS SO<sub>2</sub>, ICOADS CO, and over Europe from EMEP
- Aircraft:
  - HCMAQ: EDGAR-HTAP
  - GEOS-Chem: AEIC

## Regional

- US: 2016fe Platform
- Canada: EC 2013 interpolated
- Mexico
  - Mobile 2016 MOVES
  - Other scaled from 2008
- Asia (non-China): MIXv1
- ***China: Tsinghua University (THU)***
  - Lower sulfate than CEDS
  - Lower NO<sub>x</sub> than CEDS
  - Similar trends in power sector
  - Differences in metals where THU applies government required controls
  - [Zhao et al. doi: 10.1073/pnas.1812955115](https://doi.org/10.1073/pnas.1812955115)

Details in EPA 2019: [epa.gov/sites/production/files/2019-12/documents/2016fe\\_hemispheric\\_tsd.pdf](https://epa.gov/sites/production/files/2019-12/documents/2016fe_hemispheric_tsd.pdf) and



# Results and Evaluation

CMAQ-Only

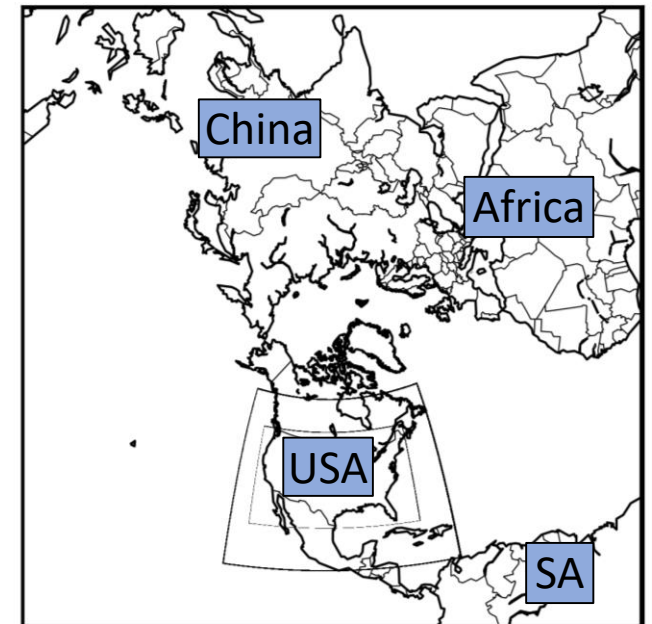
Seasonal Averages for Ozone

Sonde and CASTNet Evaluation

TOAR Qualitative Evaluation

2020-06-11

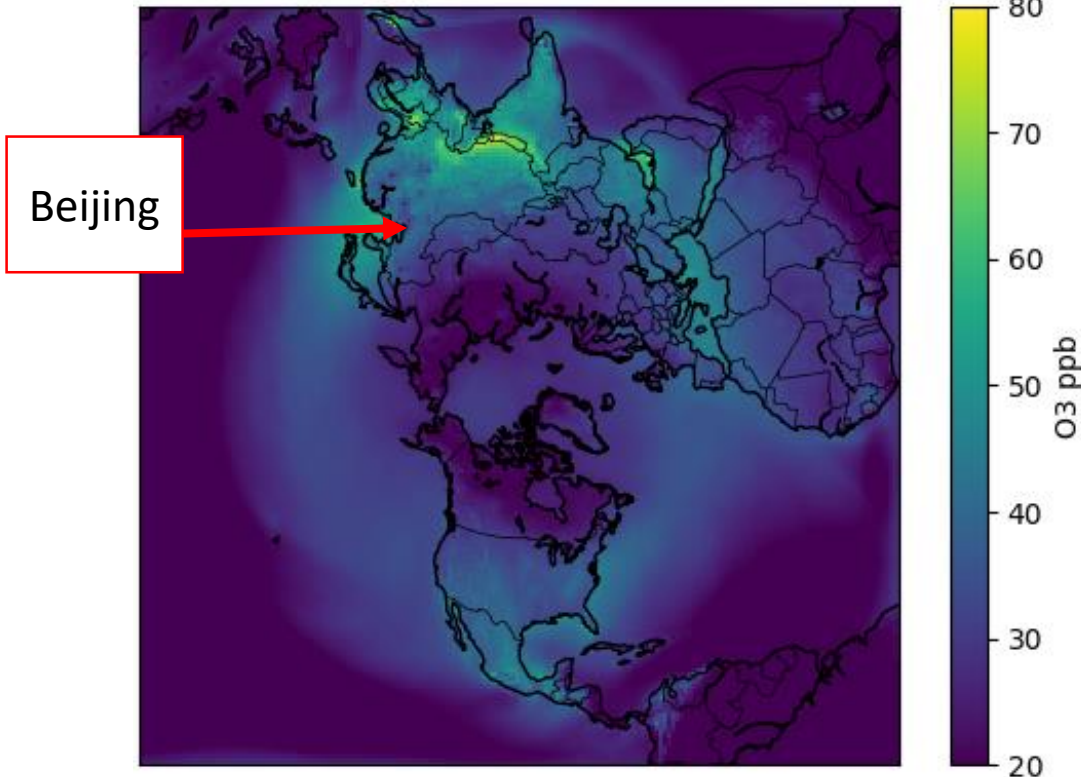
CMAS Seminar



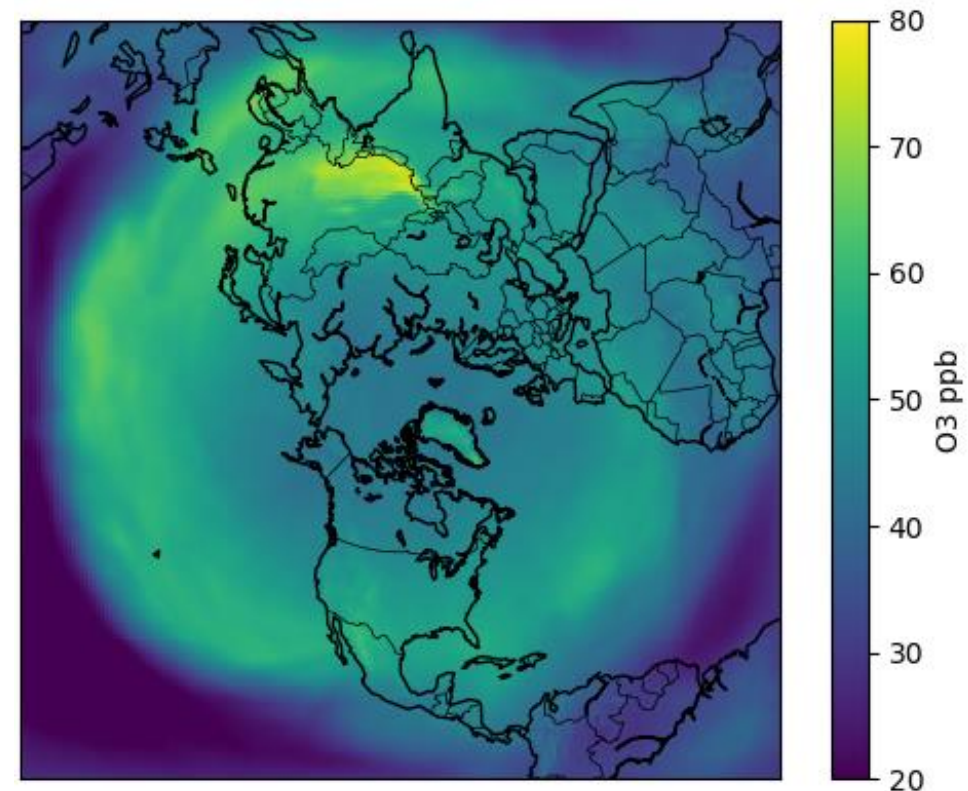
# Ozone Surface and about 5km Spring

Northern Hemisphere Spring (March April May, MAM) concentrations are relatively low with clear transport in the mid-troposphere seen most strongly in the southern latitudes

## Surface



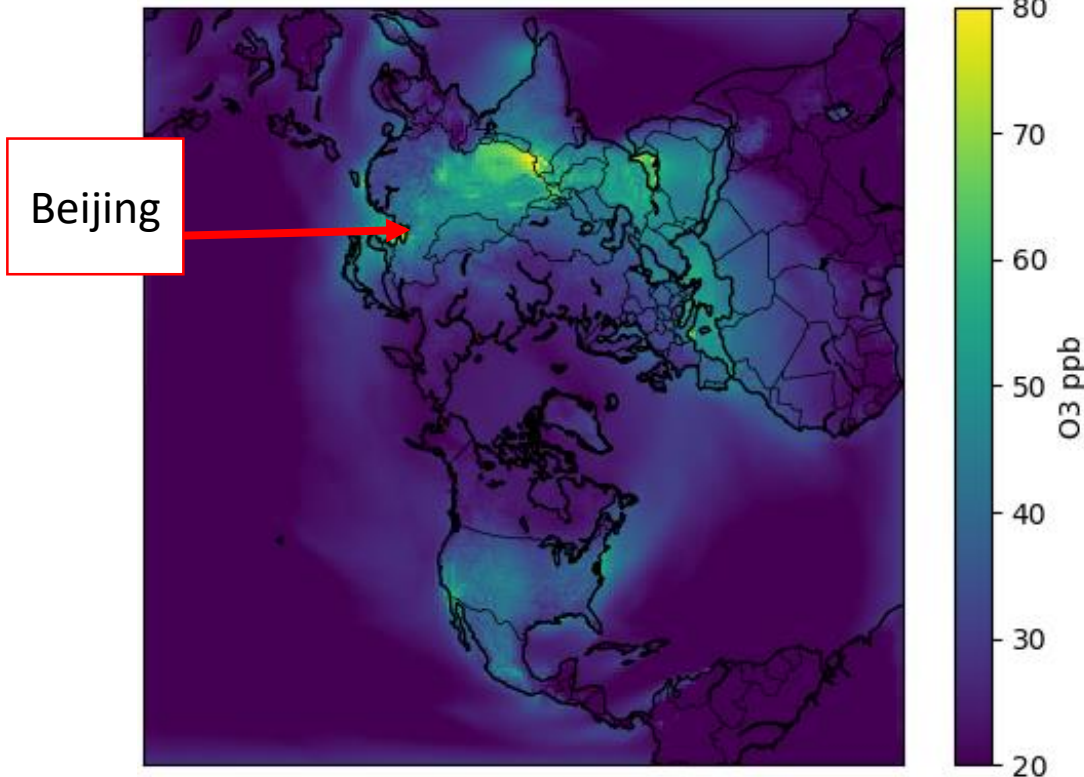
## 0.5 sigma or ~500hPa or 5km



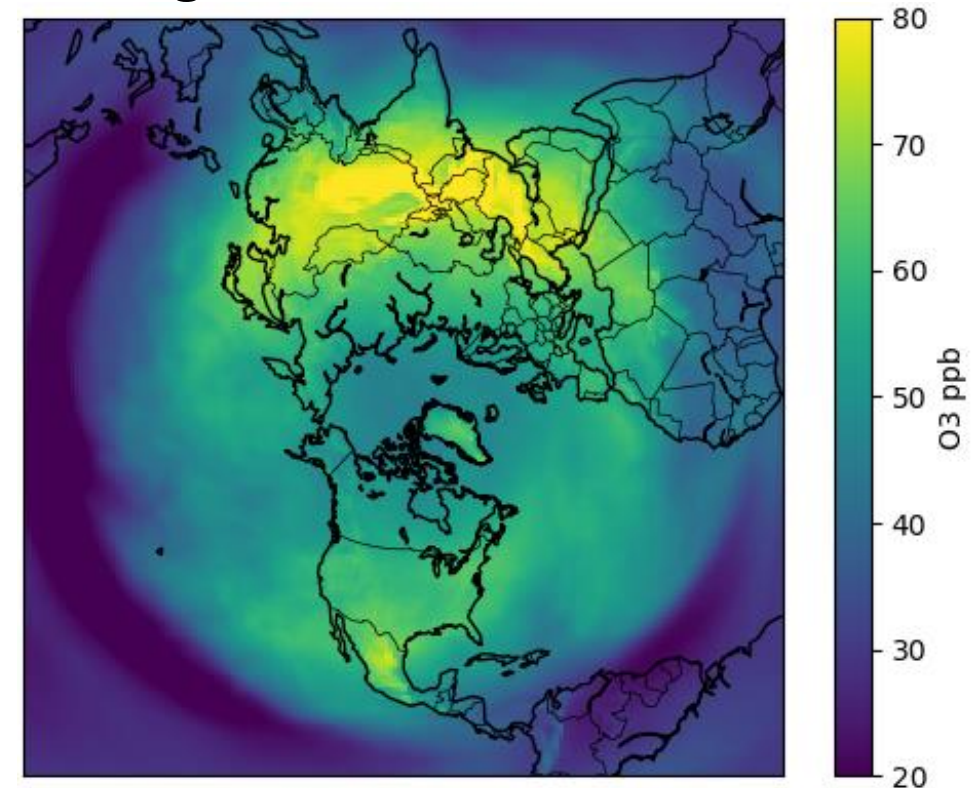
# Ozone Surface and about 5km Summer

Northern Hemisphere Summer (June-July-August, JJA) concentrations are higher both at the surface and aloft., but the transport patterns are less clearly defined than spring.

## Surface



## 0.5 sigma or ~500 hPa or ~5km



# Evaluation Networks

- WOUDC

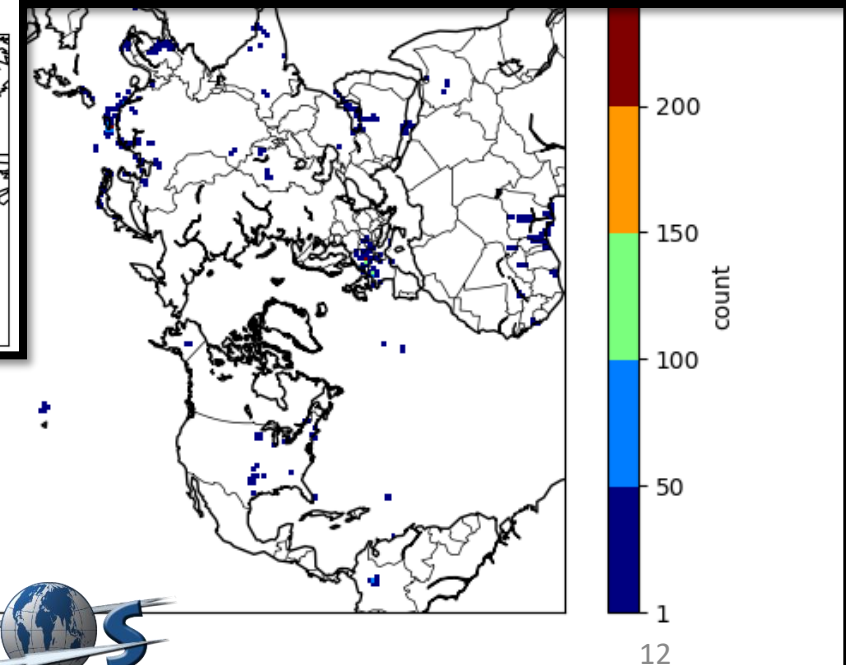
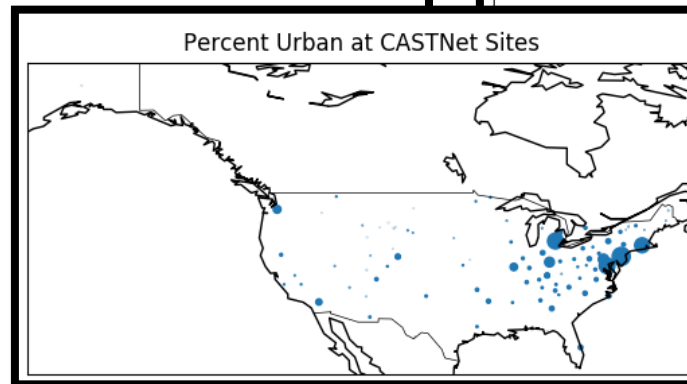
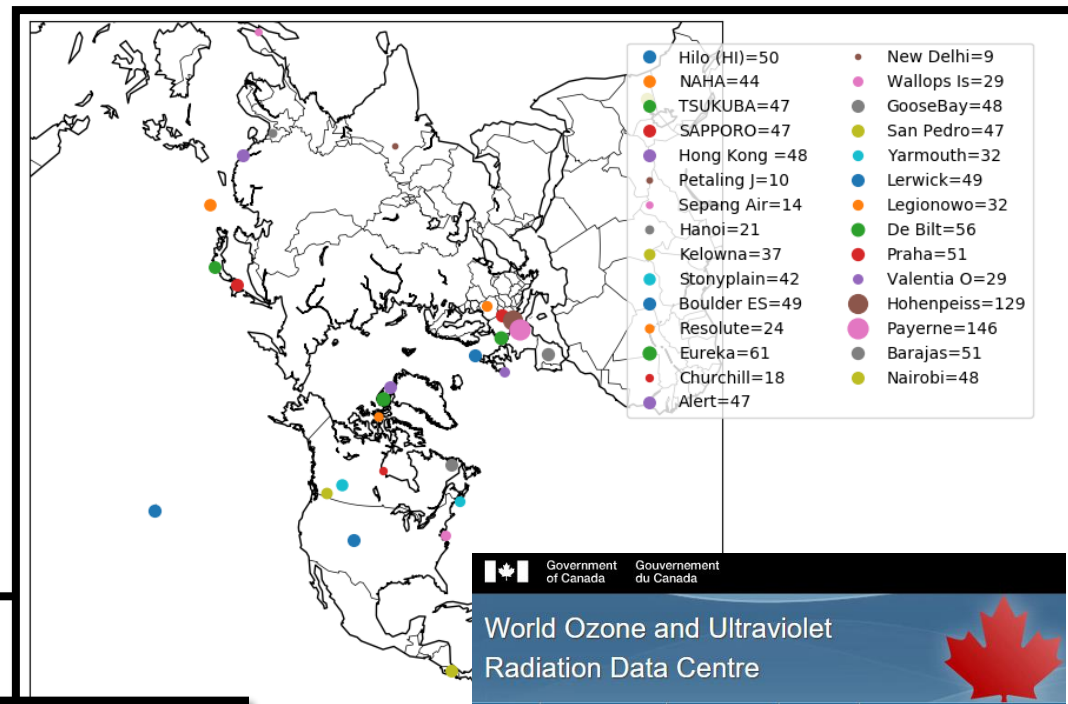
- In domain sites: 29; launches: 1315
- Many in NA and W EU
- Averaging samples w/in CMAQ sigma levels

- CASTNet

- Large scale simulations will not capture small-scale gradients
- Not all CASTNet sites are rural

- In-service Aircraft for Global Observing System (IAGOS)

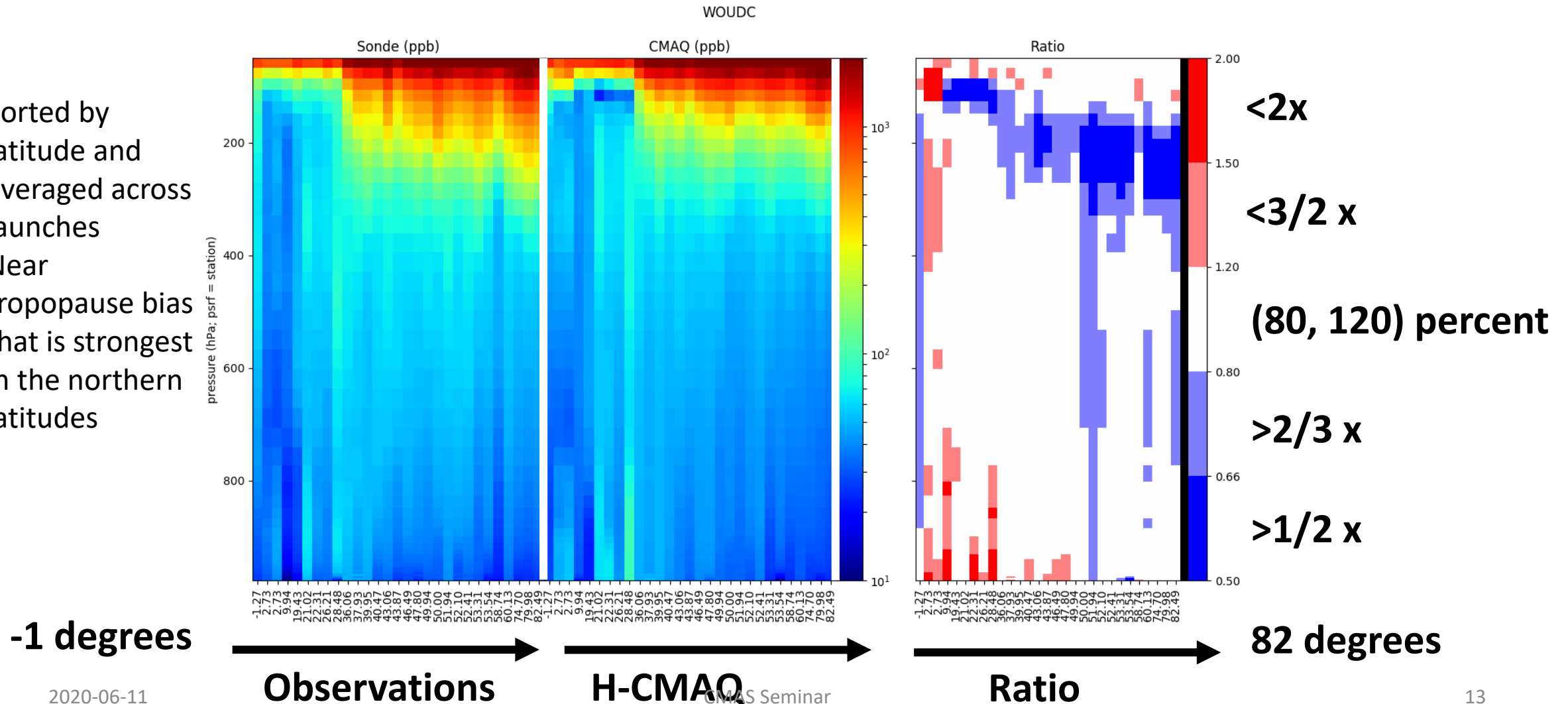
- 333 grid cells covered
- 3156 ascents or descents





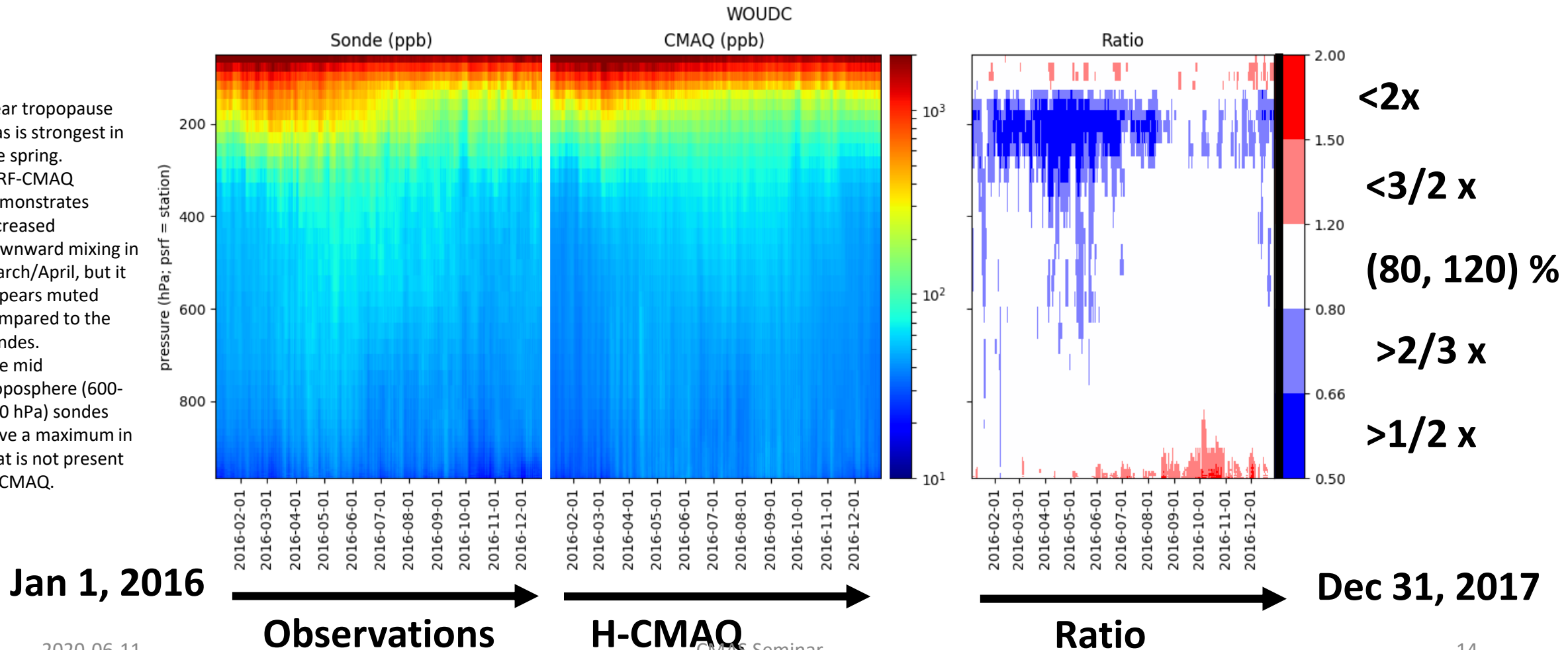
# WOUDC Sondes: by Site (all Times)

- Sorted by latitude and averaged across launches
- Near tropopause bias that is strongest in the northern latitudes



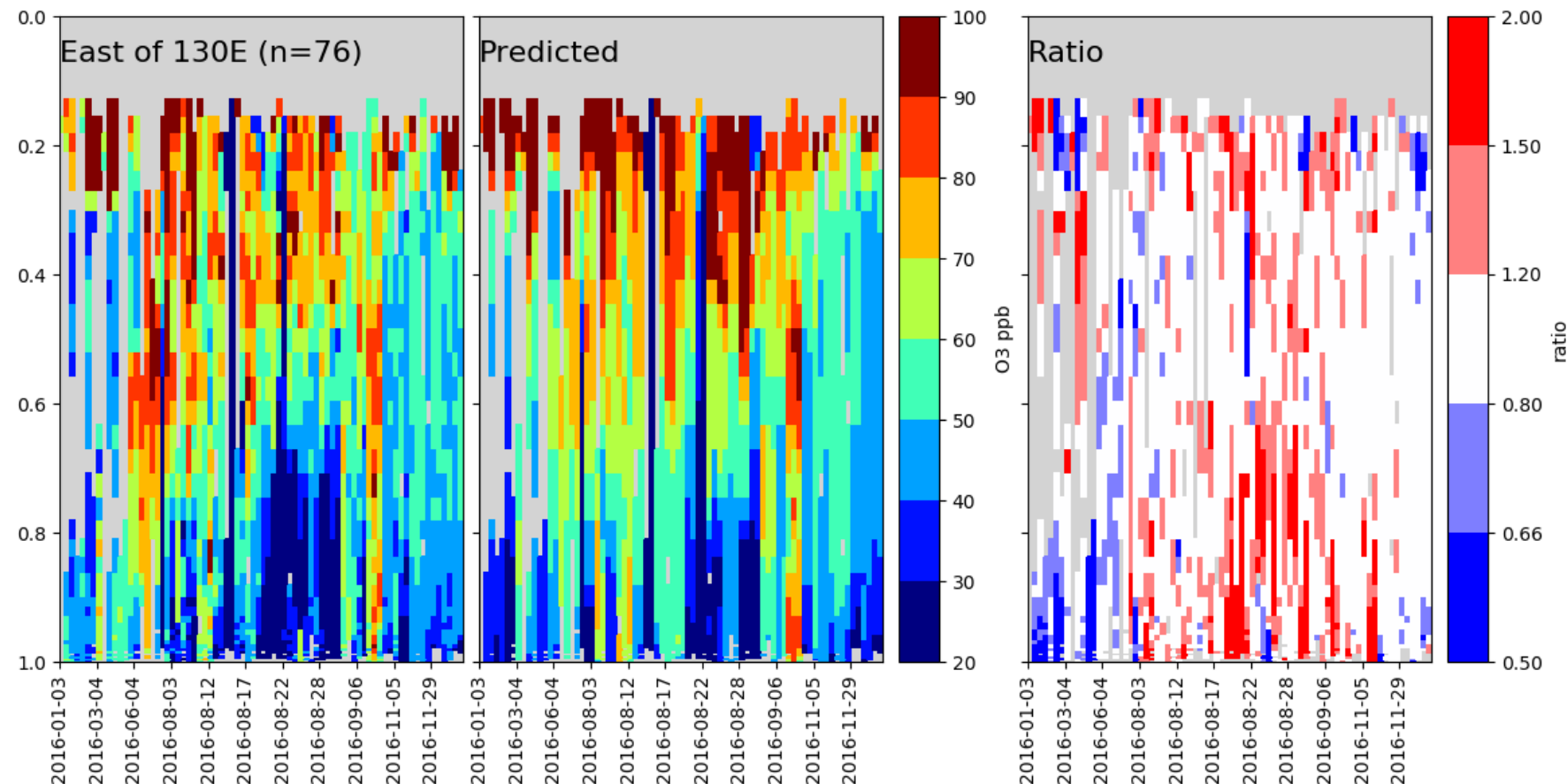
# WOUDC Sondes: by Time (all Sites)

- Near tropopause bias is strongest in the spring.
- WRF-CMAQ demonstrates increased downward mixing in March/April, but it appears muted compared to the sondes.
- The mid troposphere (600-400 hPa) sondes have a maximum in that is not present in CMAQ.



# IAGOS Flights

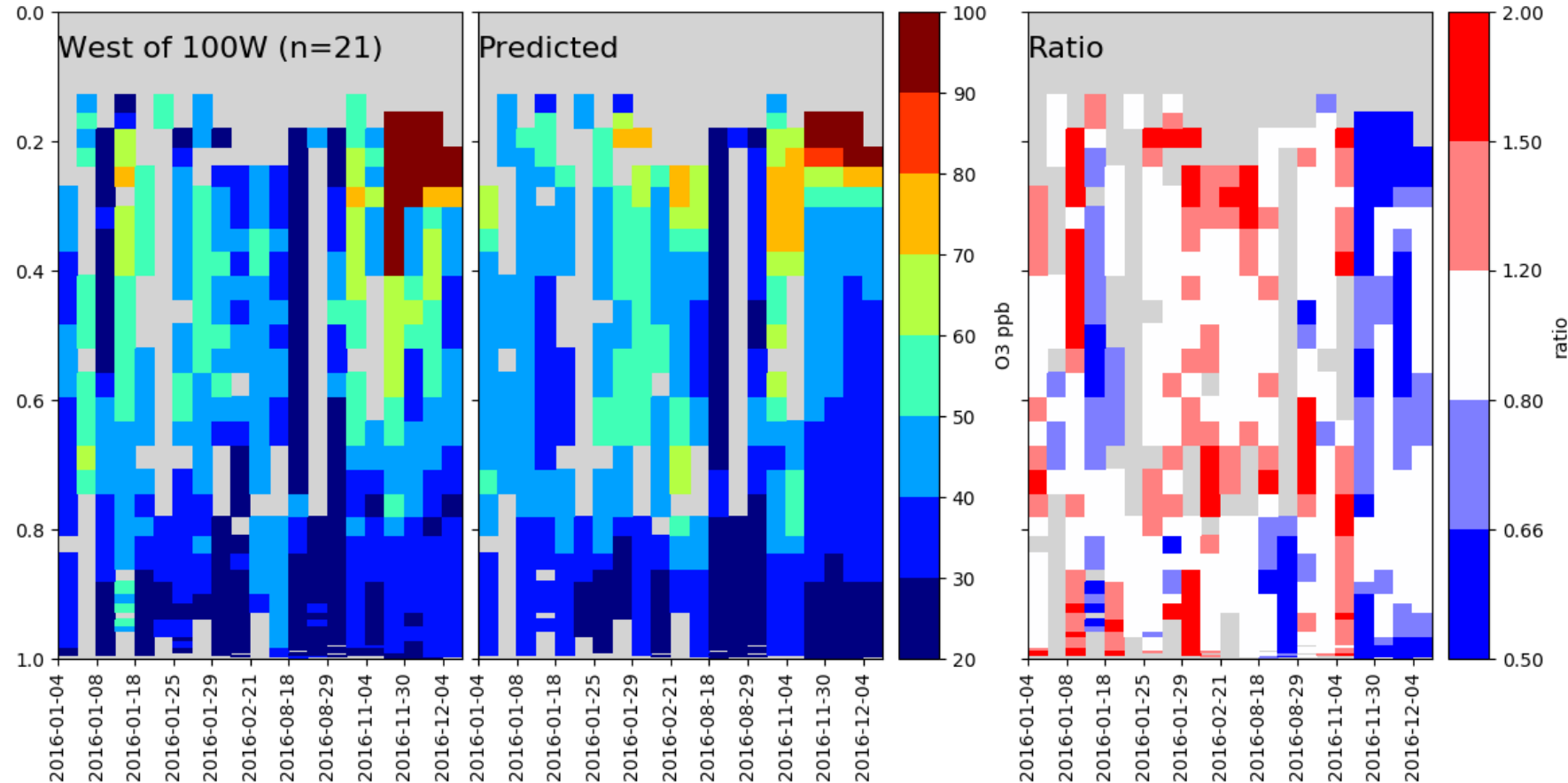
- Focusing on east (Japan) for Asian outflow
- Missing Apr, Jul, and Oct flights
- Captures a few prominent upper air features
- Tends to be high biased
- Over the continent, tends to be higher biased



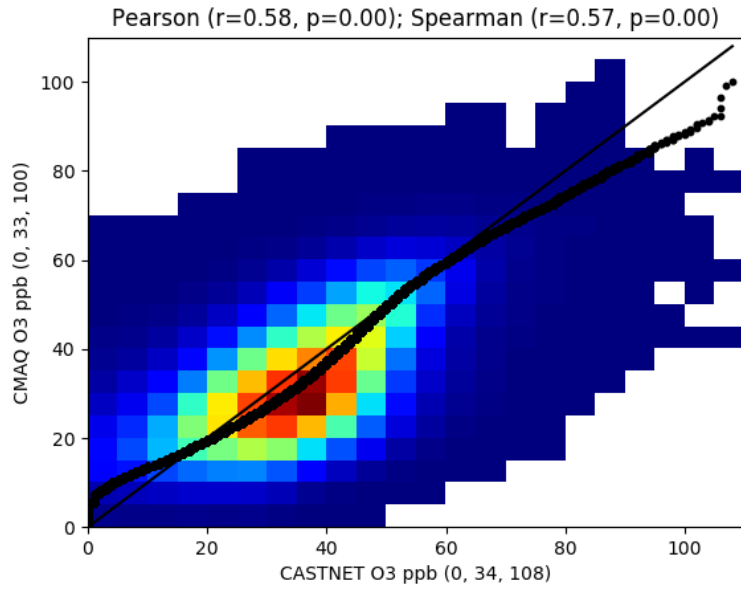


# IAGOS Flights

- Focusing on west (Hawaii) for incoming air
- Missing Mar-Jul, and Oct flights...
- Captures several key features
- Mixed performance



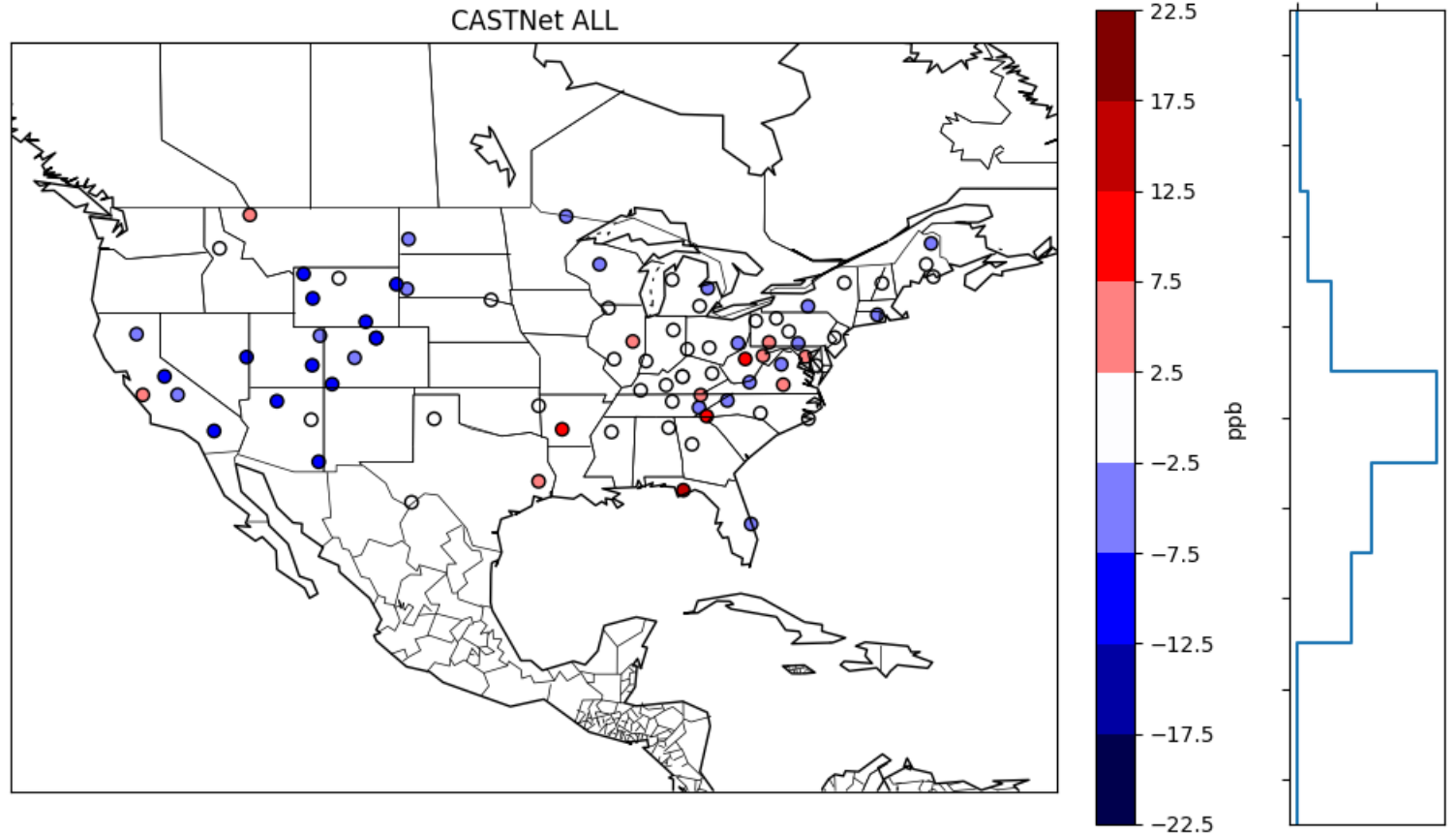
# CASTNet Monitors: All Year



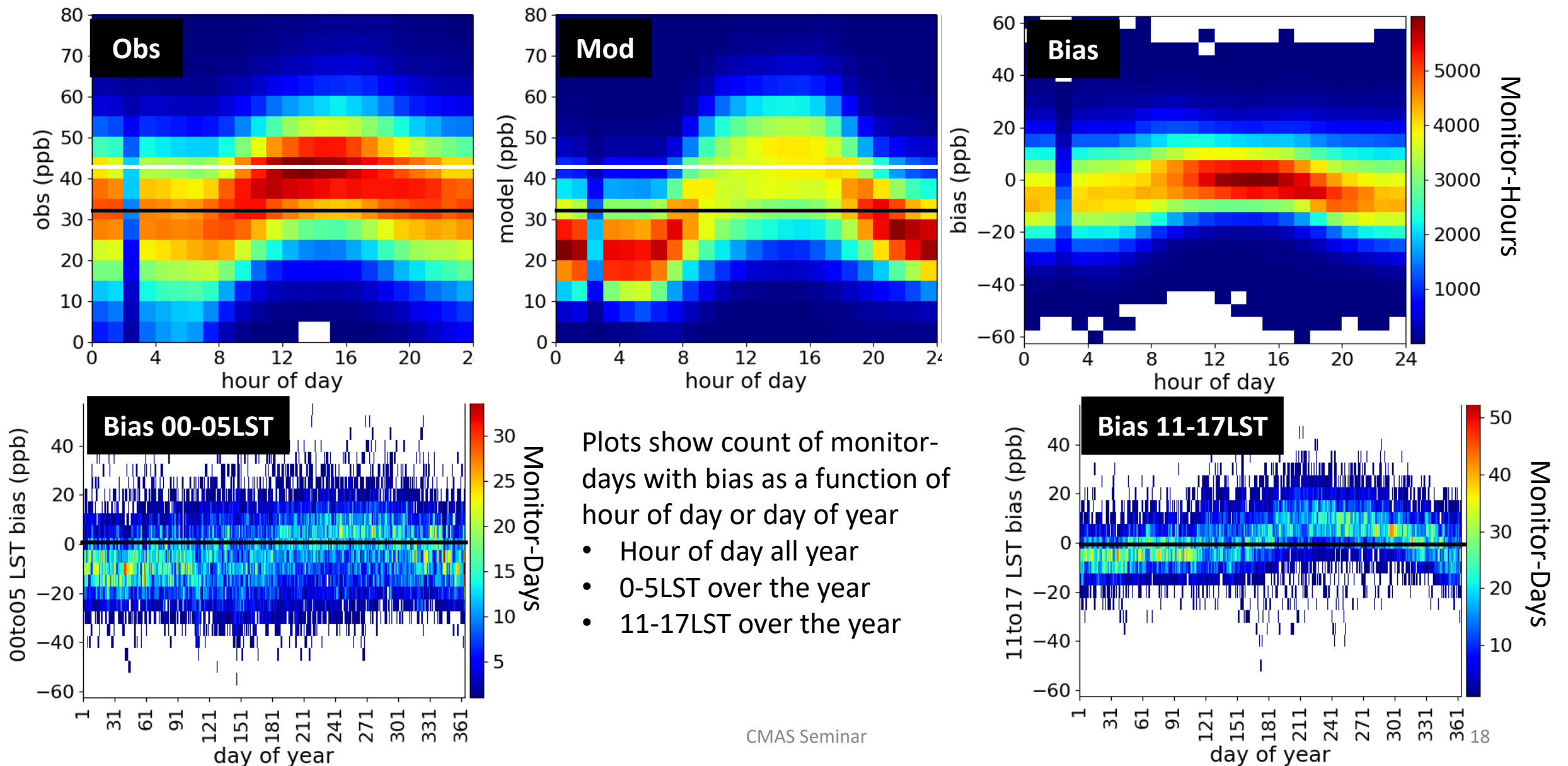
CASTNet monitors are not all rural, but they are frequently used as a proxy. Here we evaluate hourly ozone.

- 15LST has an  $r=0.67$
- Performance at these monitors is within  $\pm 7.5$  ppb at most monitors.
- There is a west-east bias divide

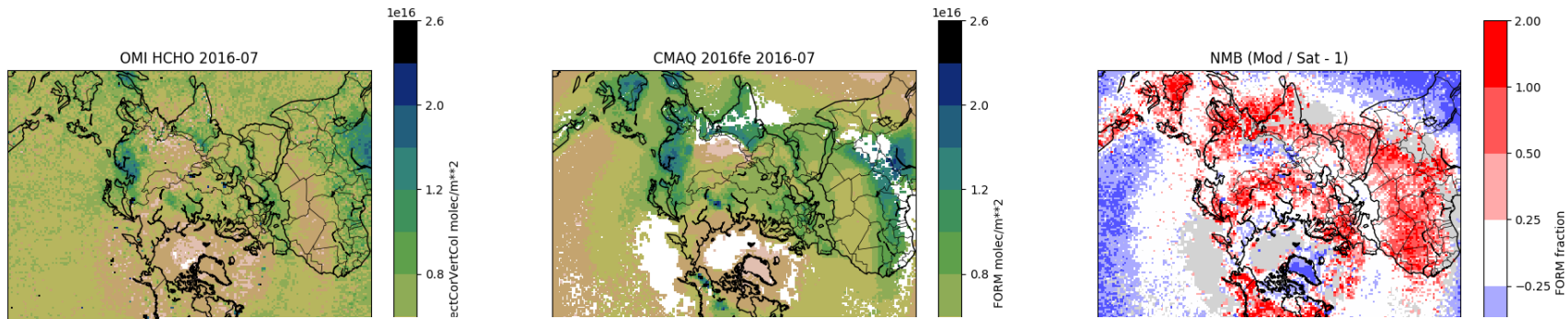
2020-06-11



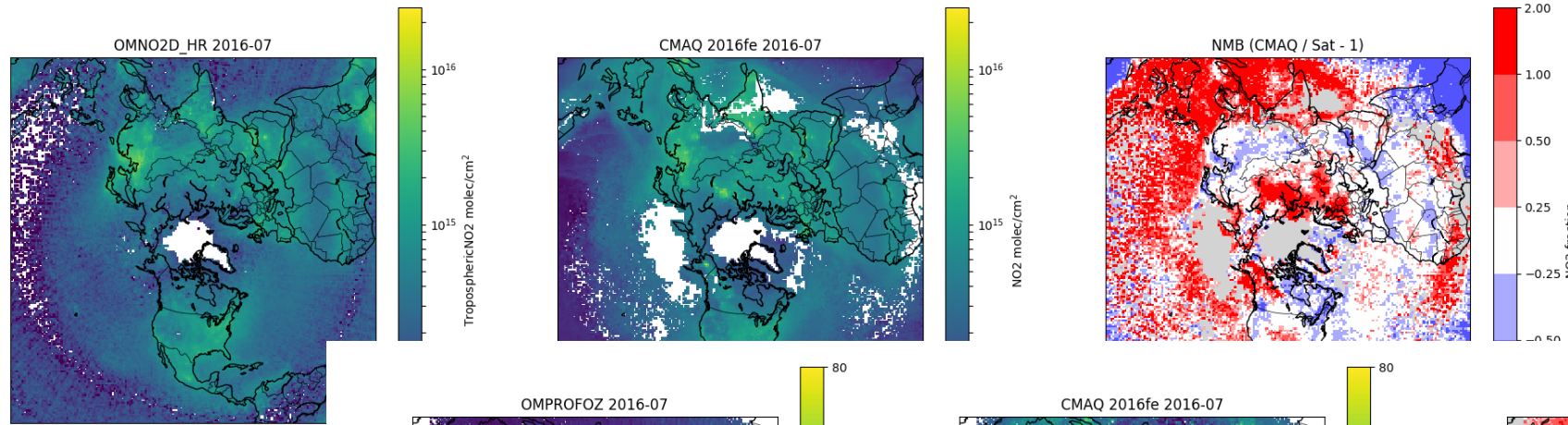
# CASTNet Diurnal Performance



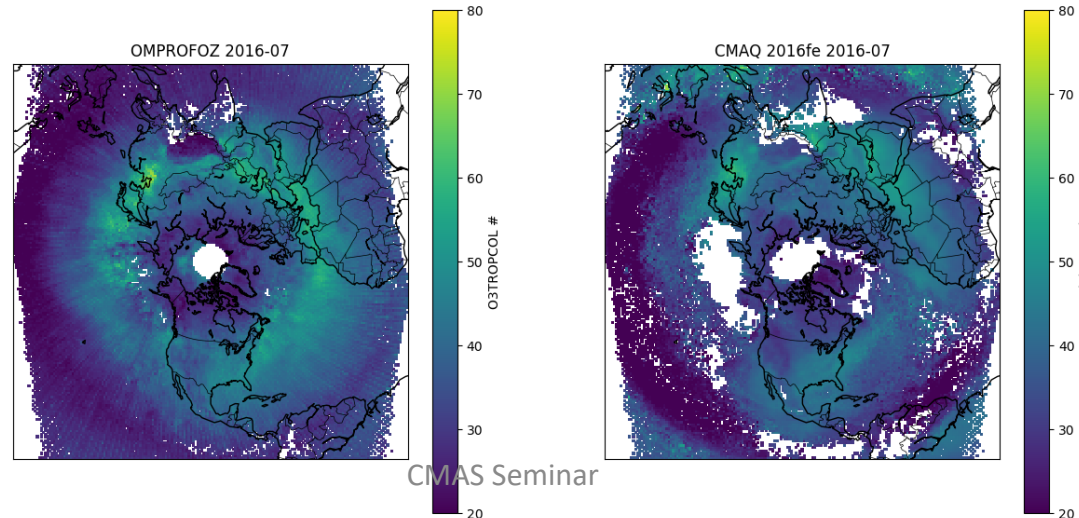
# Satellites and Sondes Evaluation avail elsewhere



SAO Formaldehyde  
(González Abad et al., 2015)



NASA Nitrogen Dioxide  
(Krotkov et al., 2017,  
Lamsal OMNO2D\_HR)



SAO Ozone Profiles  
(Huang et al., 2017))

# Summary

- Compares well to sondes in the mid troposphere
  - appears to have a near tropopause low-bias
  - low bias northward of 50 degrees Dec-May
  - performing similarly to GEOS-Chem used for the 2011 platform
- Routine aircraft measurements show mixed results.
- Performs best in JJA compared to CASTNet
  - Most data is within 10 ppb of observations
  - Clear West-East bias gradient
- TOAR evaluation suggests similar results with better performance at rural than urban monitors
- Compared to current test of GEOS-Chem v11-02\* were less biased.
  - H-CMAQ was low-biased while GC was high-biased compared to sondes
  - Testing GC version (v12.0.1), considering meteorology

\*w/FINN fires and 2016 lightning

# Part II: Zero-out estimates of ozone contributions

- *Motivations:*

- Interannual variability (e.g., Lin et al., 2017)
- Modeling system (e.g., Huang et al. 2017)
- 2016 platform ( $\alpha$ )

- *New Estimates:*

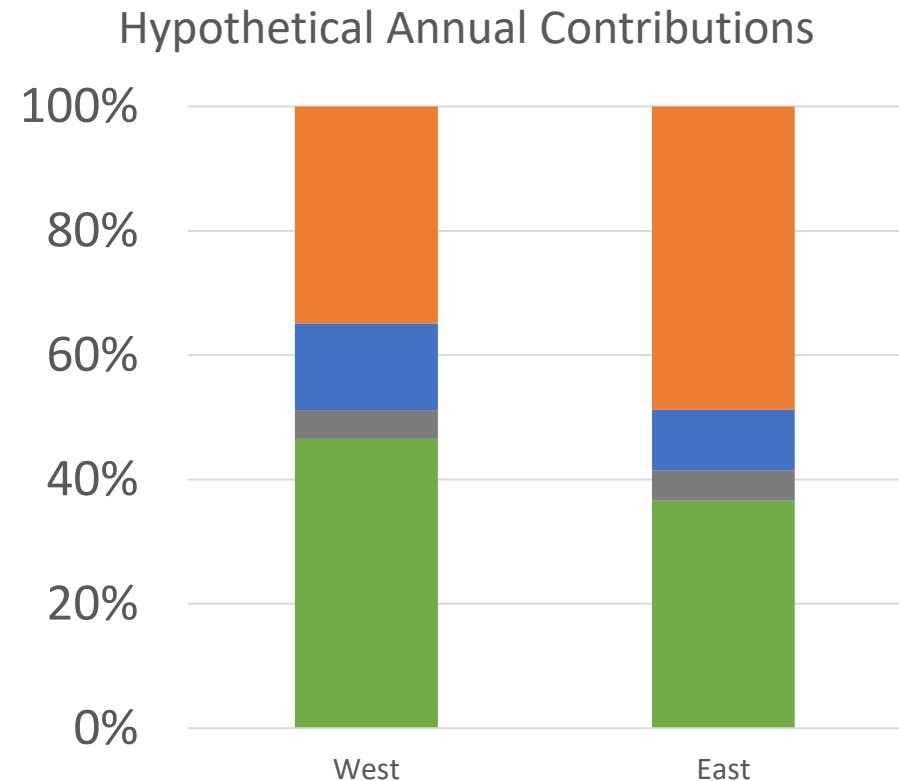
- *Northern Hemispheric: Natural*
- International anthropogenic: **Intl**
- Domestic anthropogenic: **USA**
- And either: **Residual...**

- Long range transport and aloft results

- At 108km & Separating China and India

- Surface results

- 108km and 12 km nested from 108 km LBC
- Natural, Intl, USA



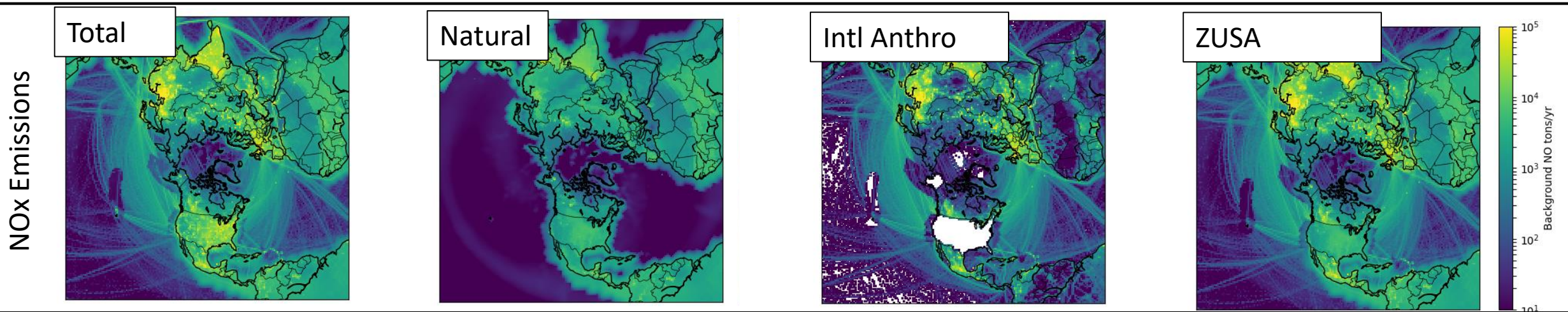


# Estimates of 2016 Ozone Components

- **Predictions** =  $F(M, E)$

- Total :  $E = \text{sum}(\{nat, usa, \text{sum}(\text{intl})\})$
- Natural :  $E = \text{sum}(\{nat\})$ ; soil NO<sub>x</sub> and methane are treated as natural
- ZINTL :  $E = \text{sum}(\{nat, usa\})$ ; Prescribed fires are treated as anthropogenic
- ZUSA aka USB :  $E = \text{sum}(\{nat, \text{sum}(\text{intl})\})$ ; Others...

*Evaluations: Henderson CMAS 2018;  
IGC9 2019; CMAS-SA 2019*



## Contributions

- Natural = ZANTH
- USA = Total - ZUSA
- Intl = Total - ZINTL
- RES\* = Total - USA - INTL - NAT

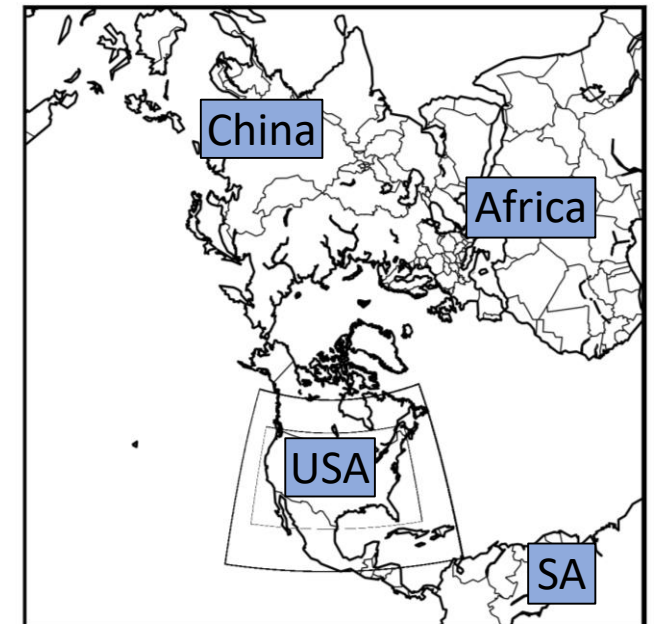
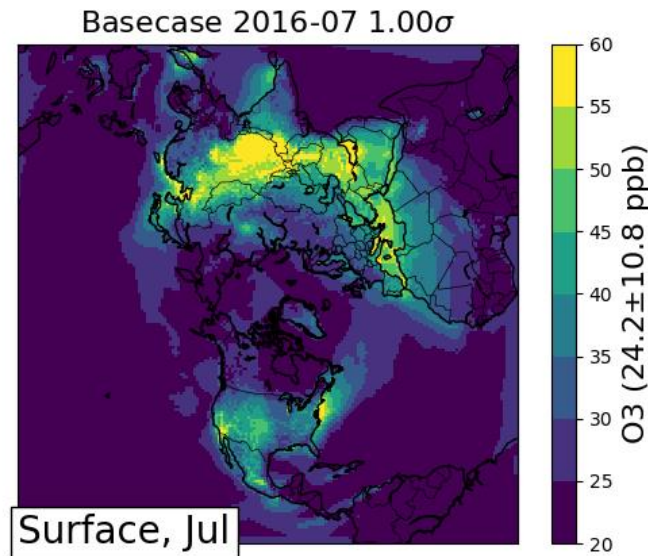
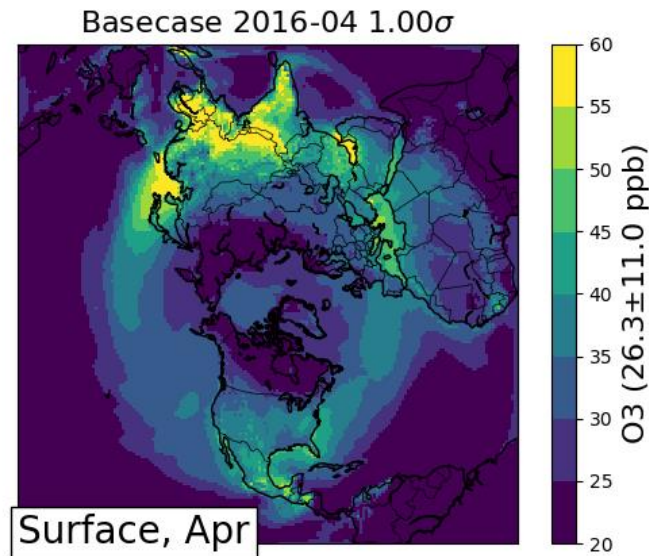
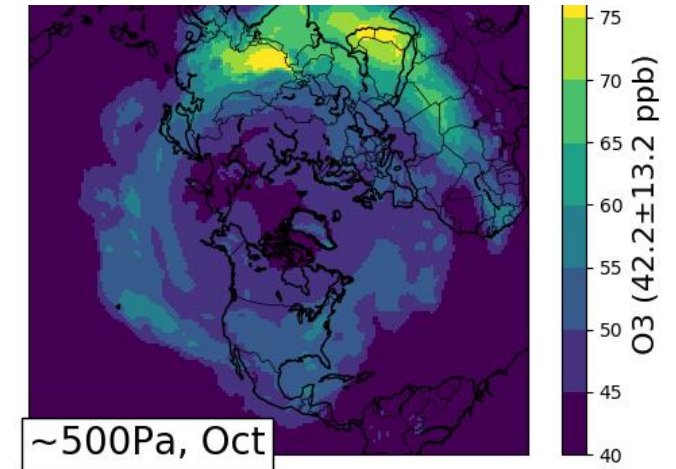
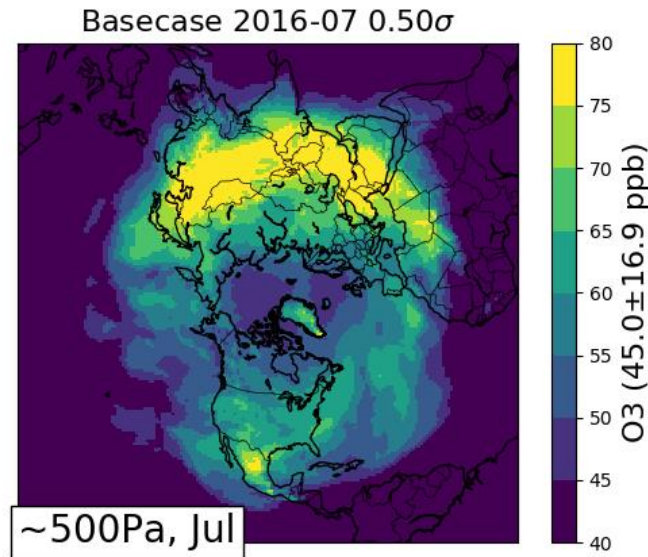
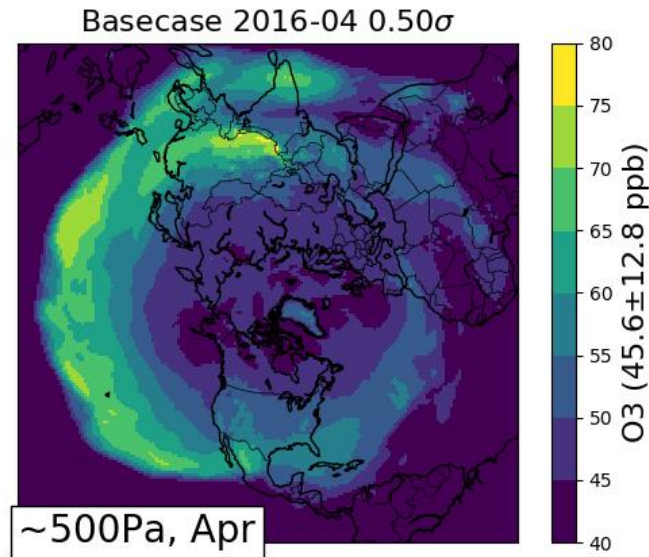
## International Parts

- CHN = Total - ZCHN
- SHIP = Total - ZSHIP
- IND = Total - ZIND
- CANMEX = Total - ZCANMEX
- OTHER = Intl - CHN - IND - SHIP - CANMEX



# Monthly average ozone illustrate transport

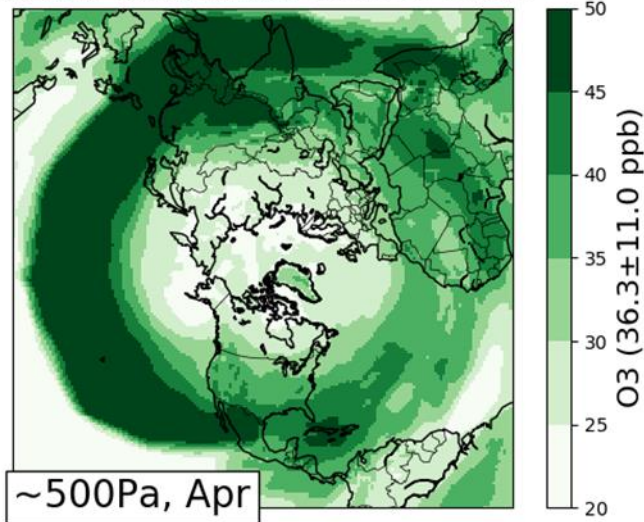
**Evaluations: Henderson CMAS 2018;  
IGC9 2019; CMAS-SA 2019**



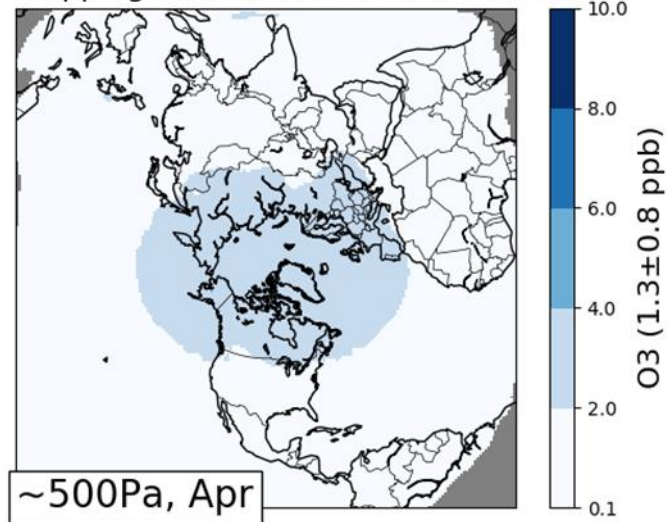


# Ozone source contributions in April aloft

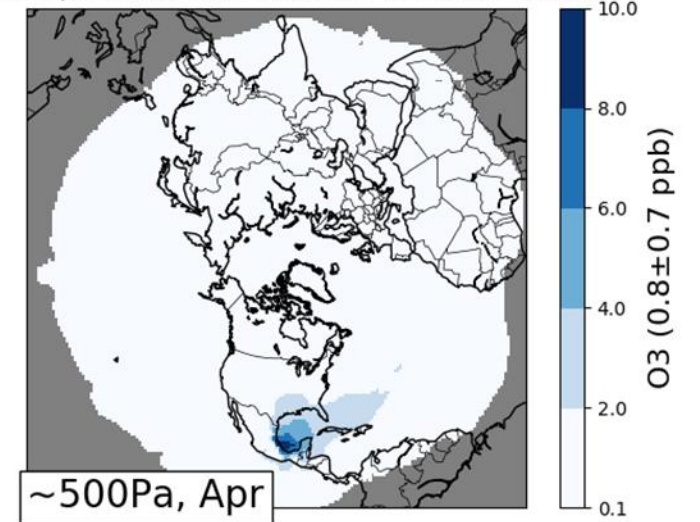
Natural Contribution 2016-04  $0.50\sigma$



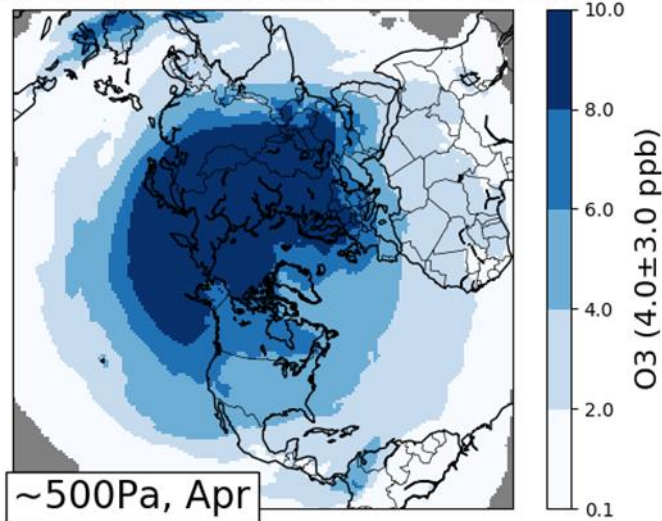
Intl Shipping Contribution 2016-04  $0.50\sigma$



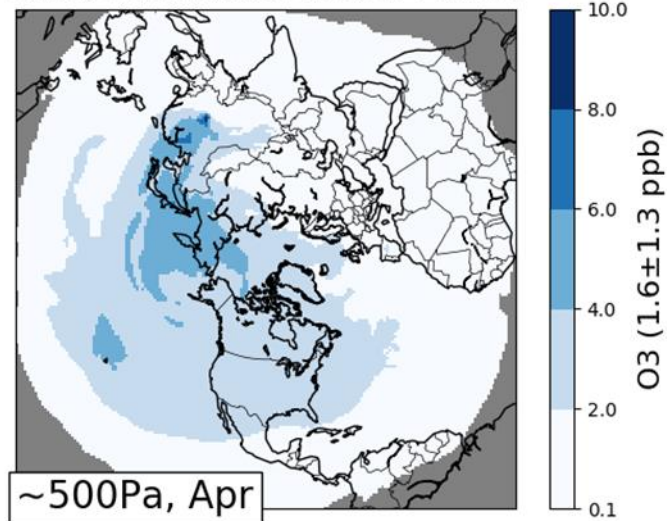
Canada/Mexico Contribution 2016-04  $0.50\sigma$



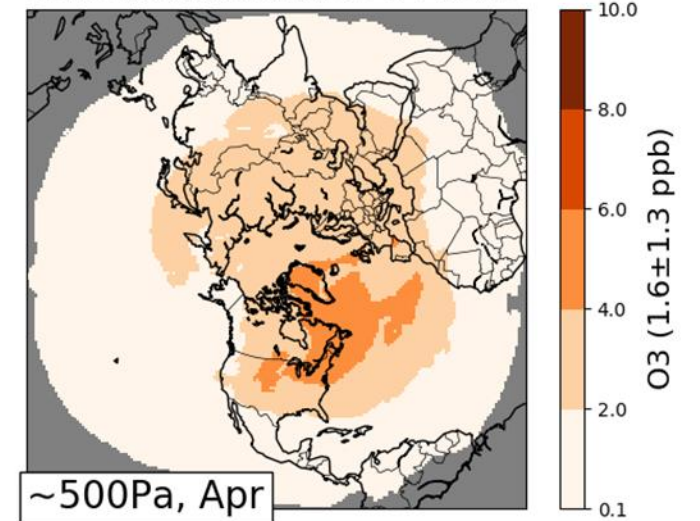
Other Anthro Contribution 2016-04  $0.50\sigma$



China Contribution 2016-04  $0.50\sigma$



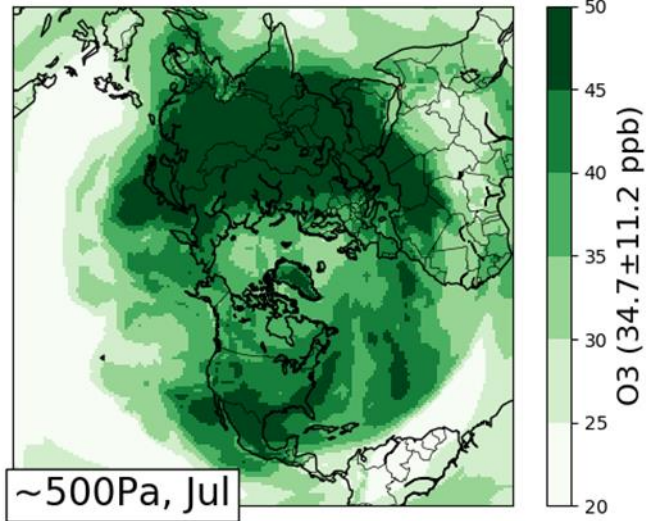
USA Contribution 2016-04  $0.50\sigma$



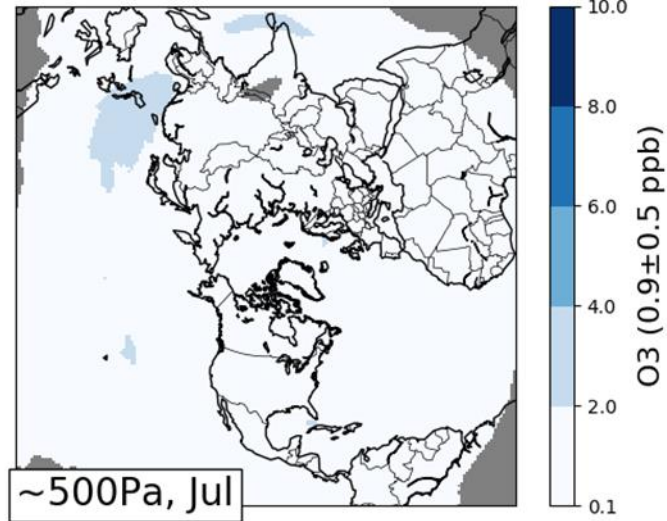


# Ozone source contribution in July aloft

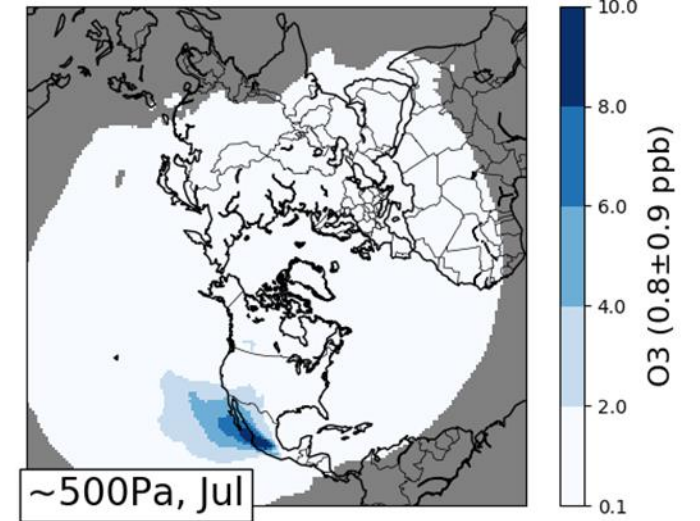
Natural Contribution 2016-07  $0.50\sigma$



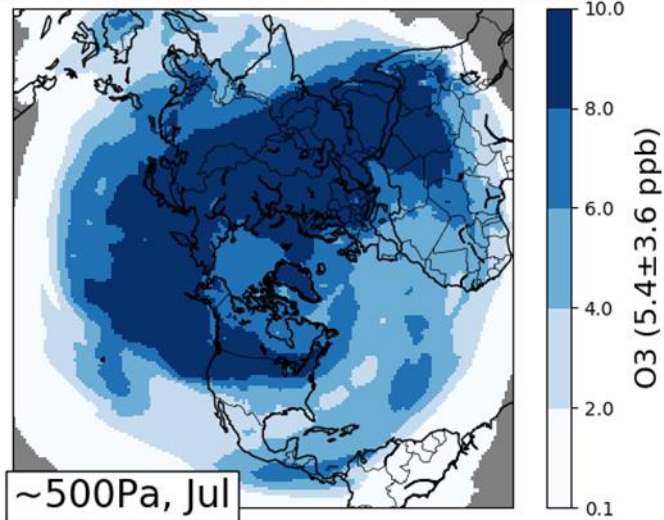
Intl Shipping Contribution 2016-07  $0.50\sigma$



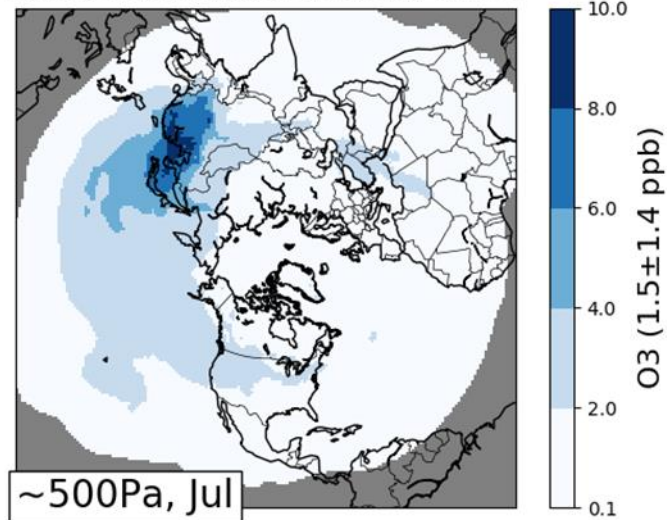
Canada/Mexico Contribution 2016-07  $0.50\sigma$



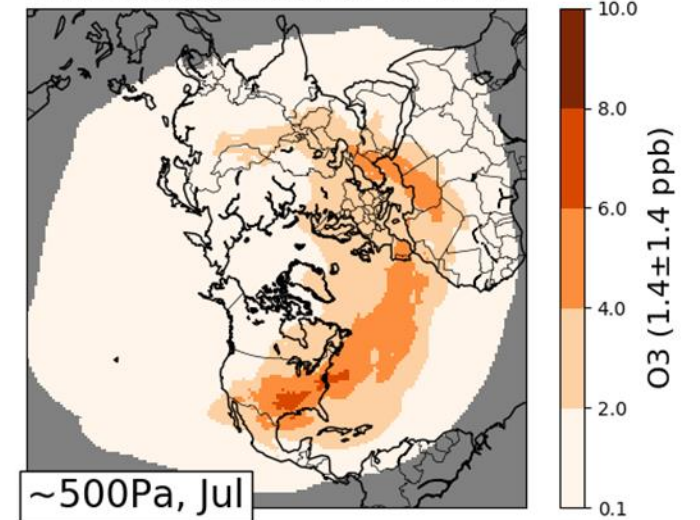
Other Anthro Contribution 2016-07  $0.50\sigma$



China Contribution 2016-07  $0.50\sigma$

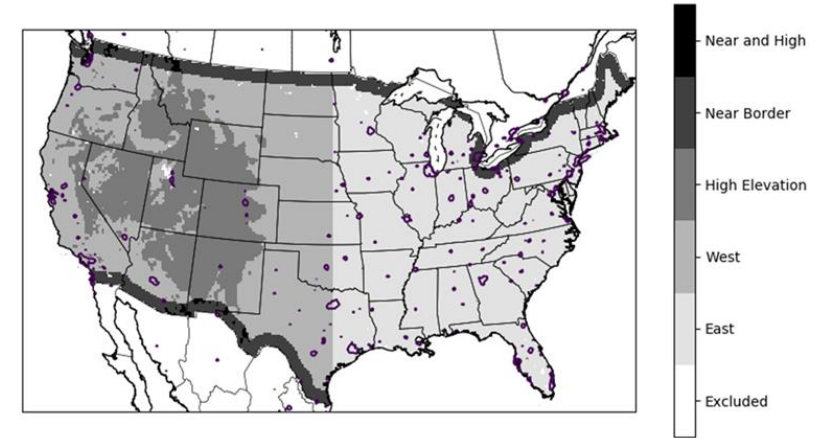
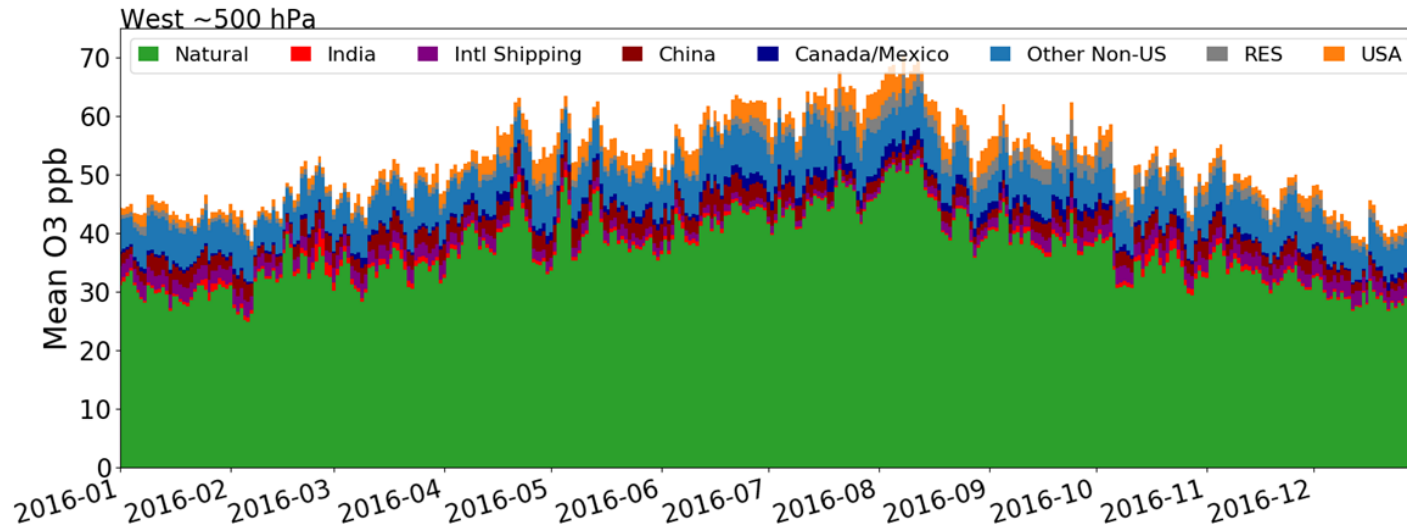


USA Contribution 2016-07  $0.50\sigma$

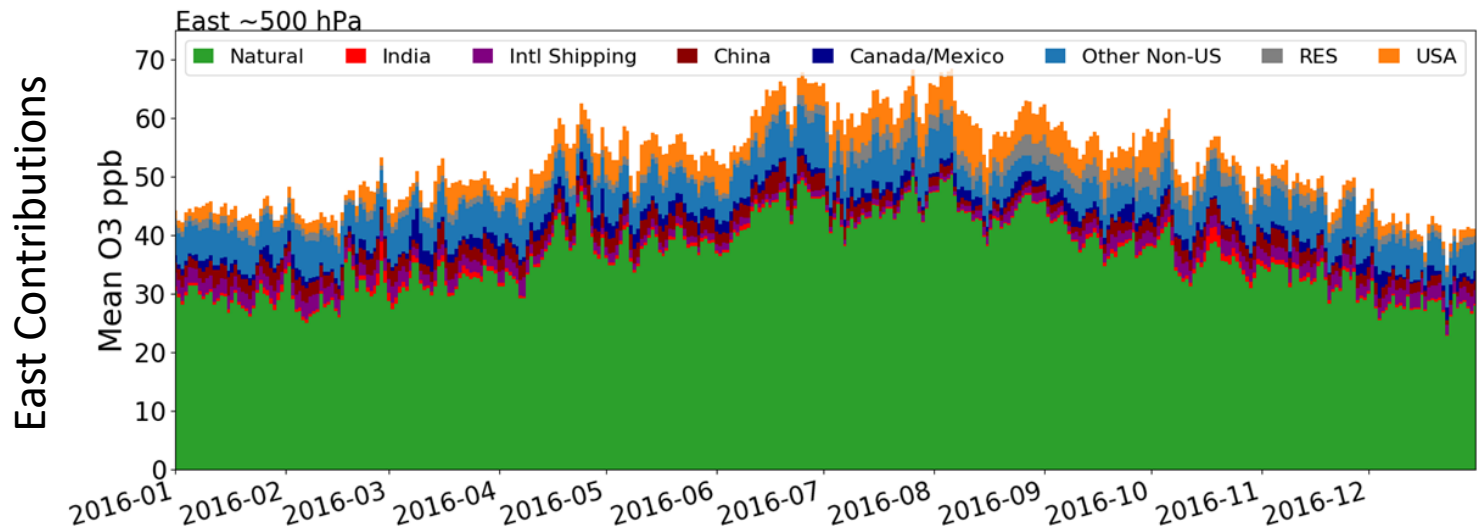
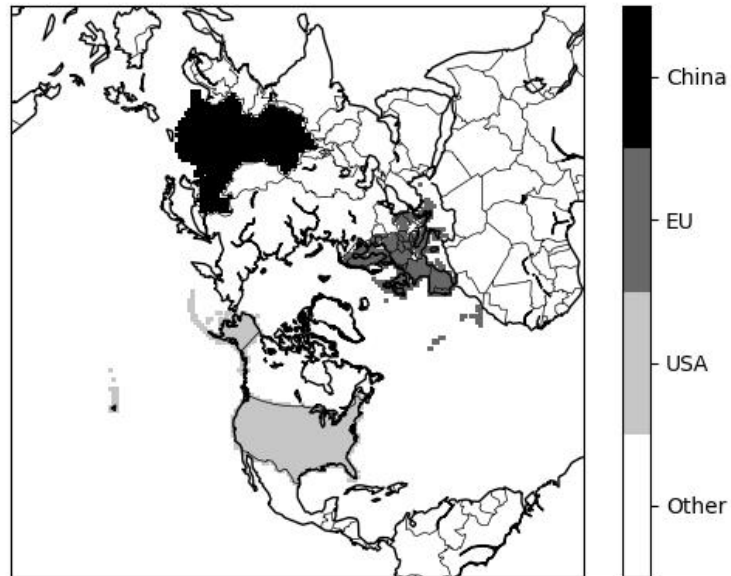


# Difference between West and East aloft (108km)

West Contributions



Other countries 10-15 ppb

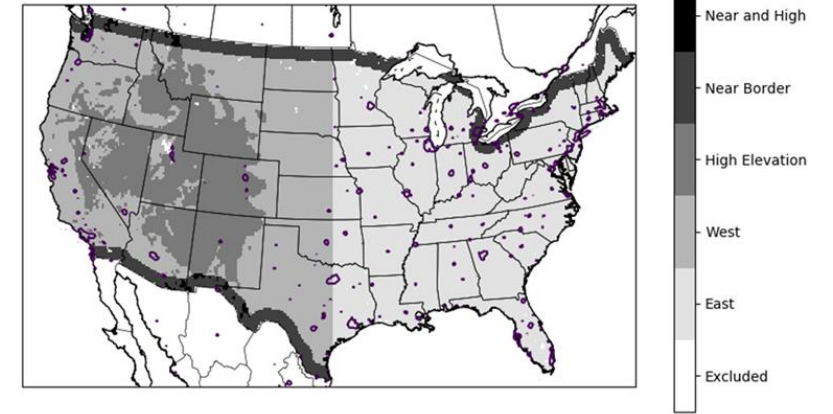
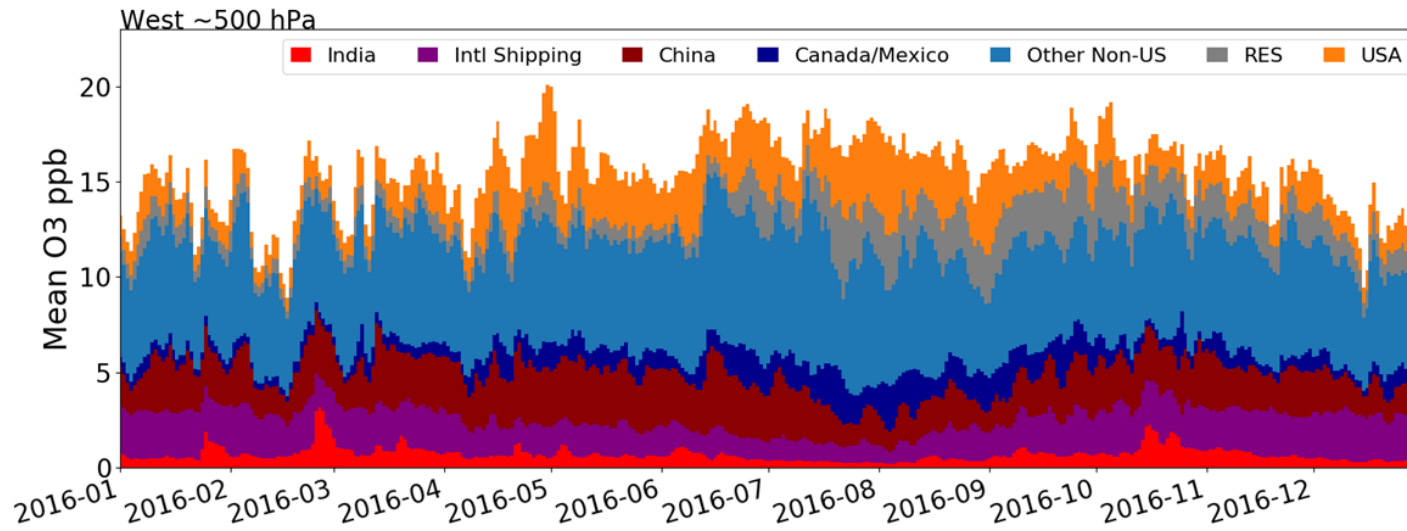


East Contributions

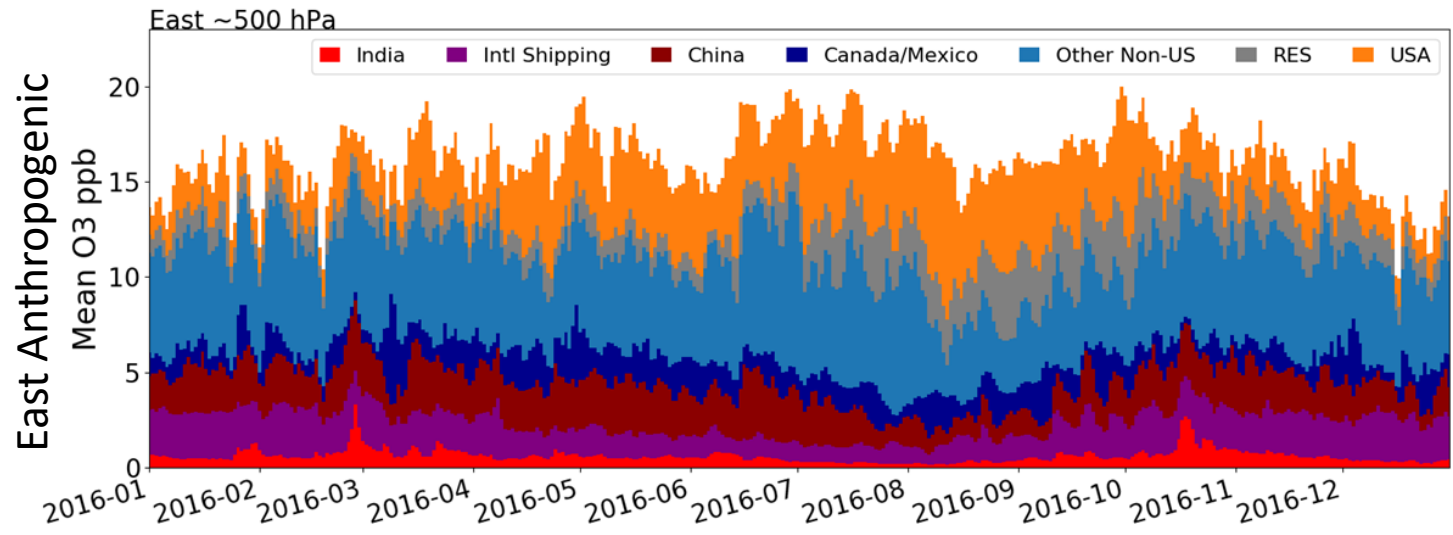
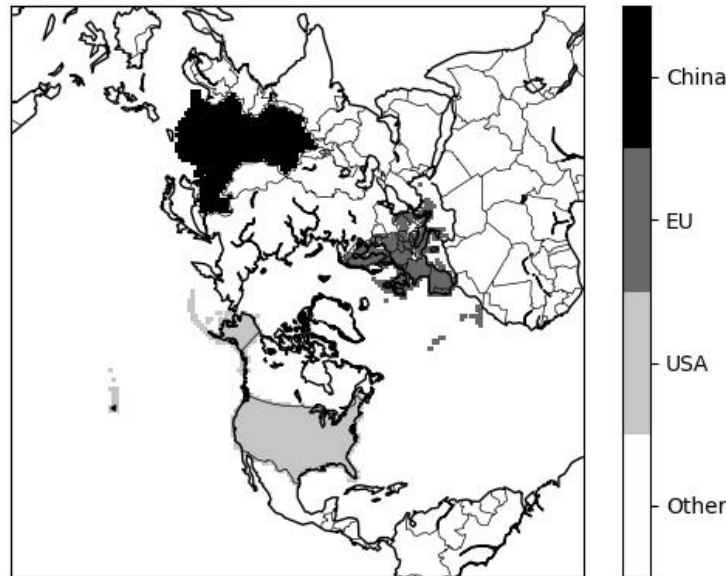


# Difference between West and East Aloft (108km)

West Anthropogenic

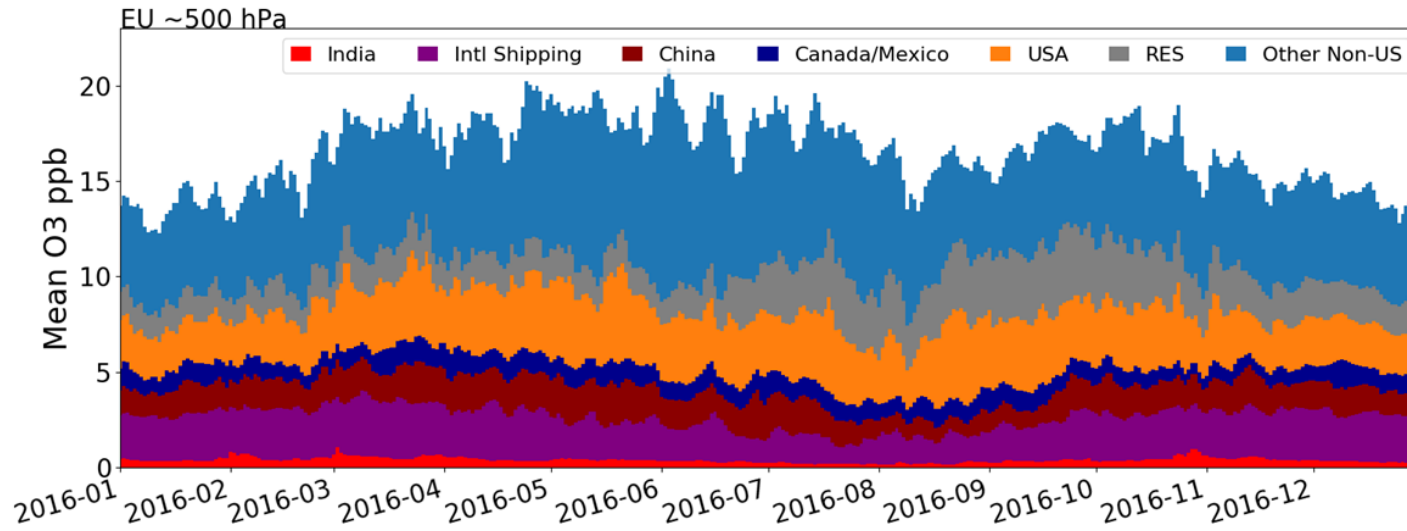


Other countries 10-15 ppb

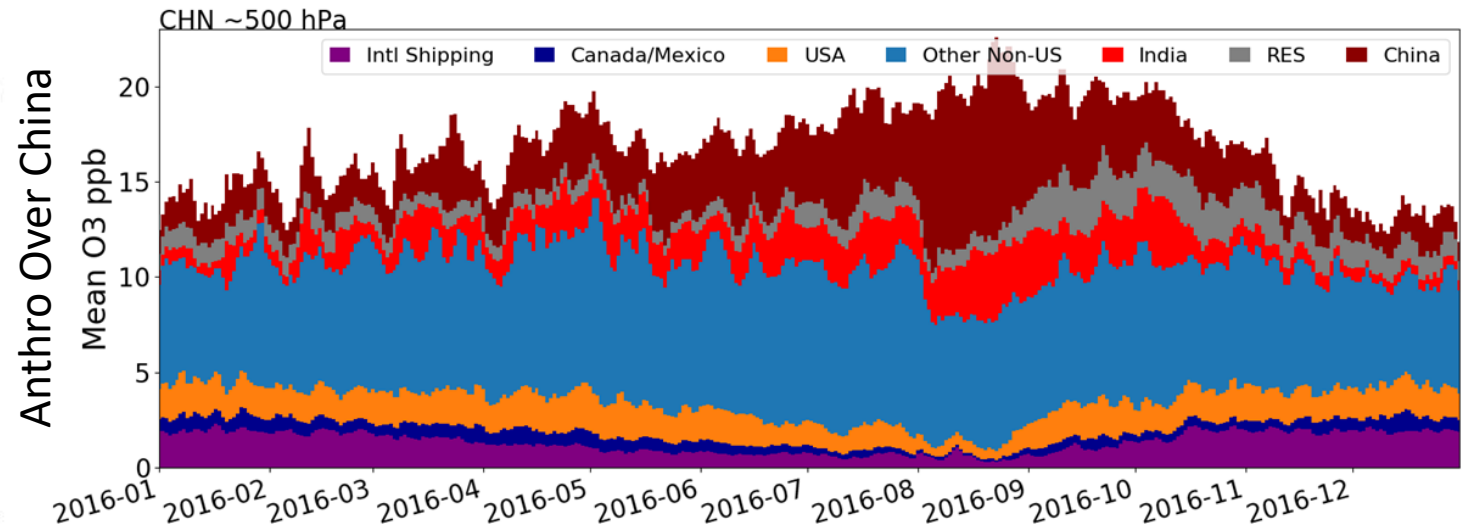
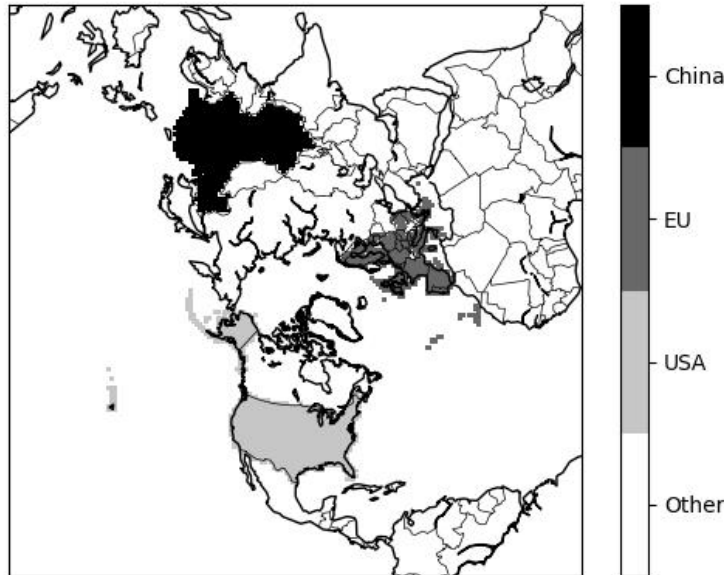


# China and the European Union (108km)

Anthro over the EU  
(UK in EU at time of writing)



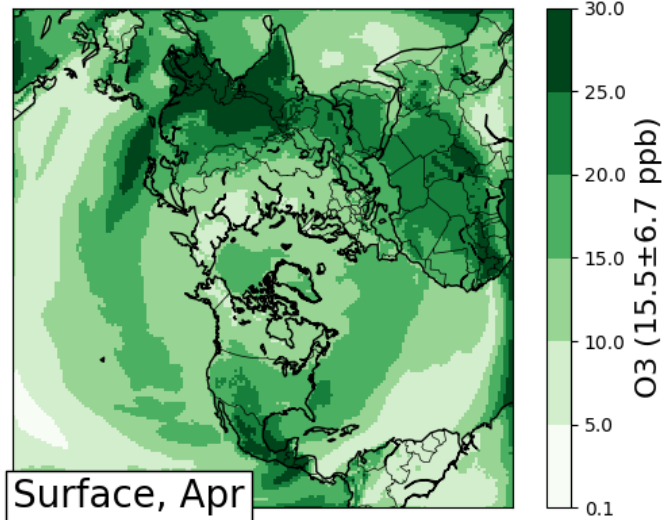
Other Non-US is not all EU, but this gives us a sense that upwind contributions similar in the EU as in the West.



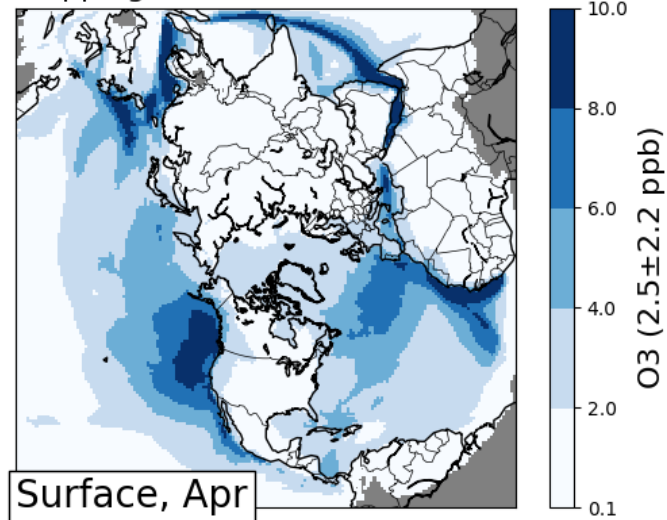
China Aloft ozone from other countries is 10-15 ppb

# Ozone source contributions in April at the Surface

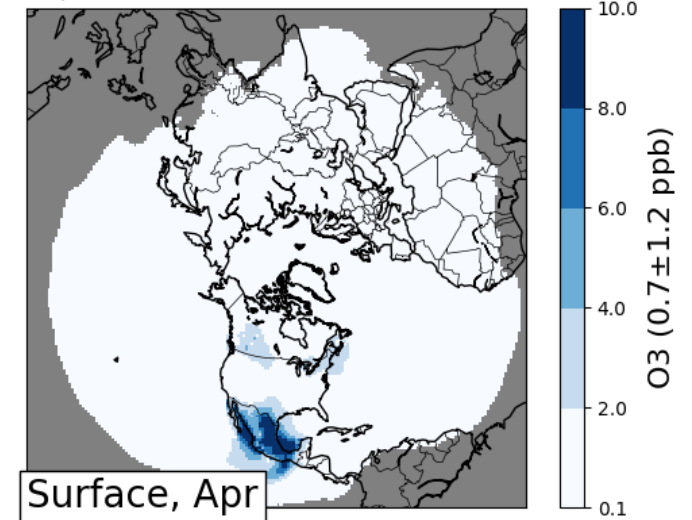
Natural Contribution 2016-04  $1.00\sigma$



Intl Shipping Contribution 2016-04  $1.00\sigma$

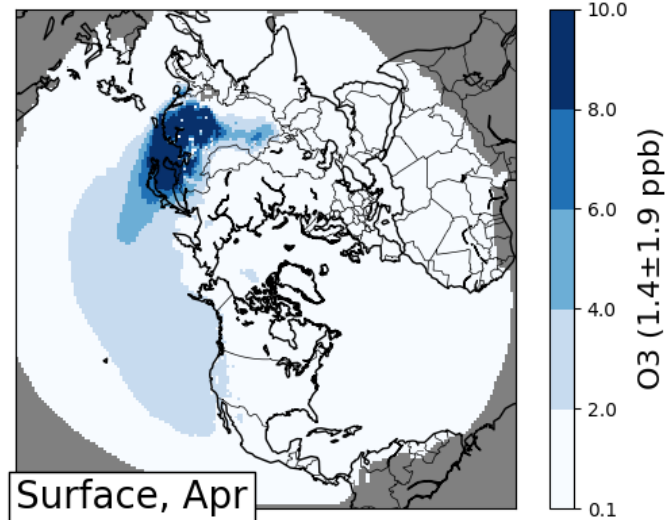


Canada/Mexico Contribution 2016-04  $1.00\sigma$

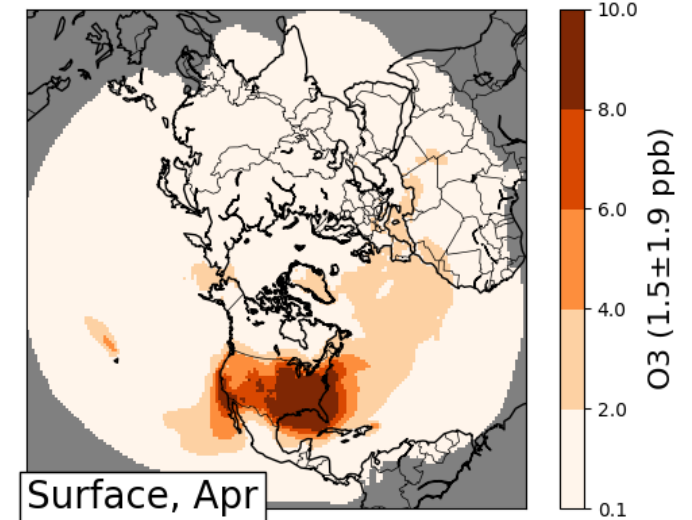


“The only reliable quantitative ozone measurements from the late 19<sup>th</sup> century were made at Montsouris near Paris where ozone averaged  $11 \pm 2$  ppbv from 1876 to 1910.” ... “While these measurements indicate that late 19<sup>th</sup> century ozone in western Europe was much lower than today, there is no way to know if these values were representative of other surface locations in the NH.” - Cooper et al., 2014. doi: [10.12952/journal.elementa.000029](https://doi.org/10.12952/journal.elementa.000029)

China Contribution 2016-04  $1.00\sigma$



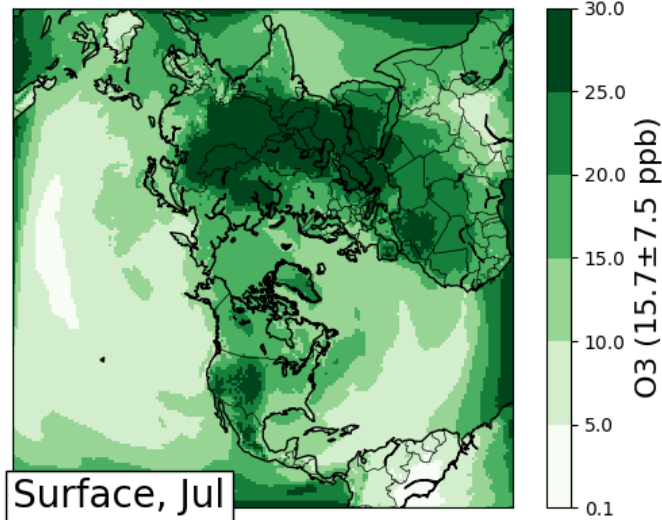
USA Contribution 2016-04  $1.00\sigma$



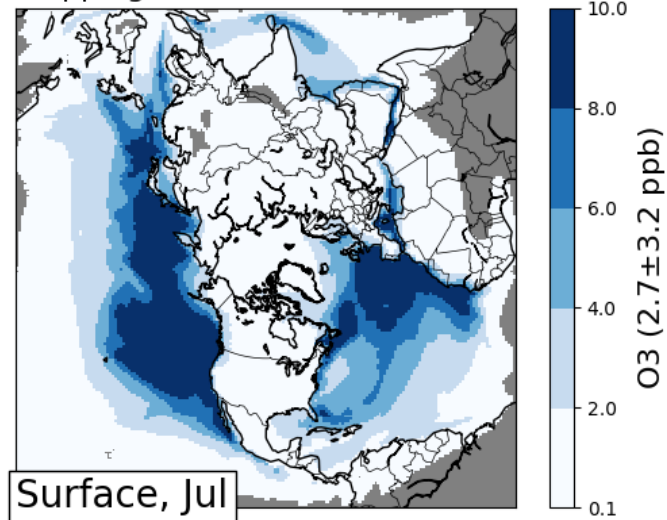


# Ozone source contributions in July at the Surface

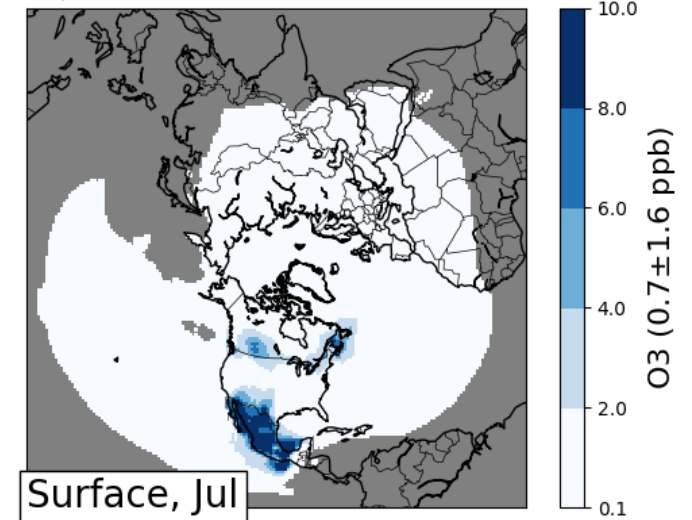
Natural Contribution 2016-07  $1.00\sigma$



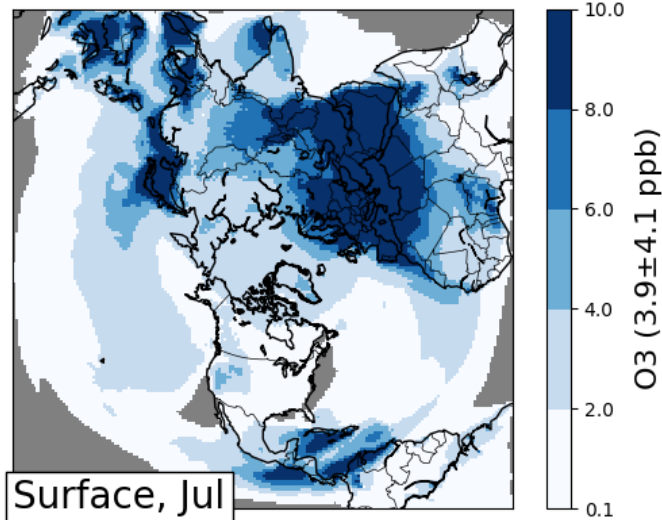
Intl Shipping Contribution 2016-07  $1.00\sigma$



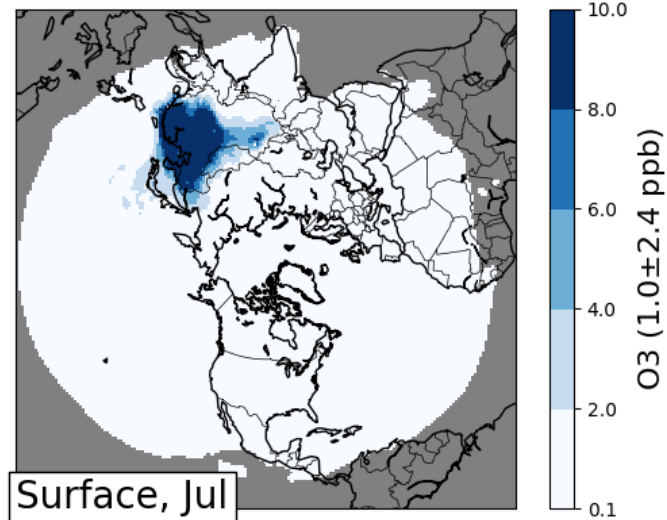
Canada/Mexico Contribution 2016-07  $1.00\sigma$



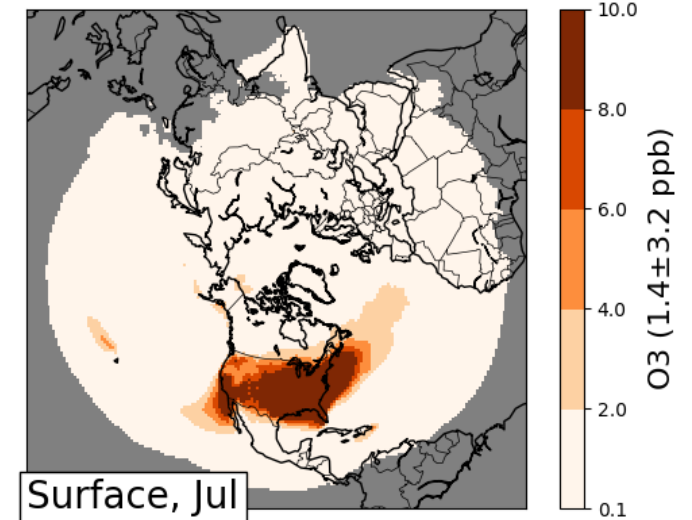
Other Anthro Contribution 2016-07  $1.00\sigma$



China Contribution 2016-07  $1.00\sigma$

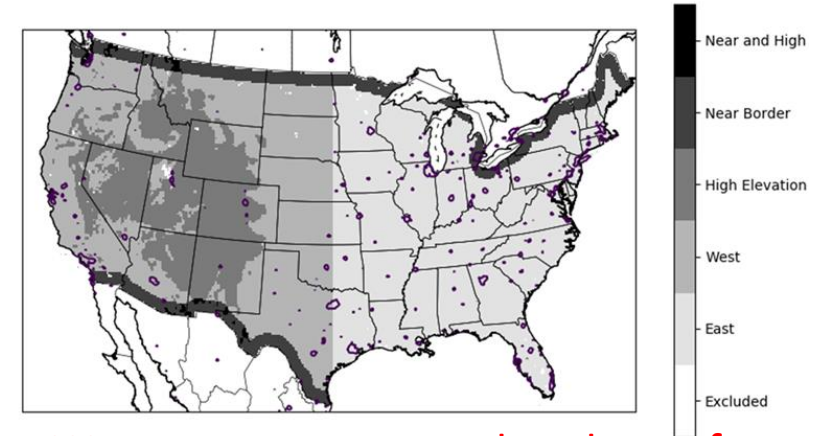
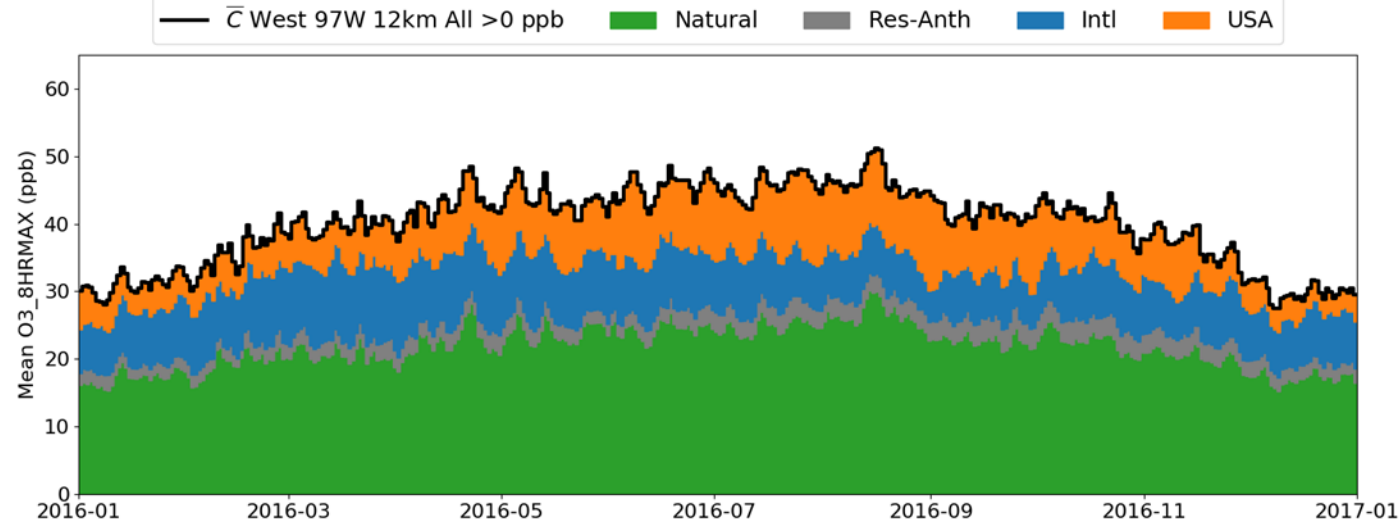


USA Contribution 2016-07  $1.00\sigma$

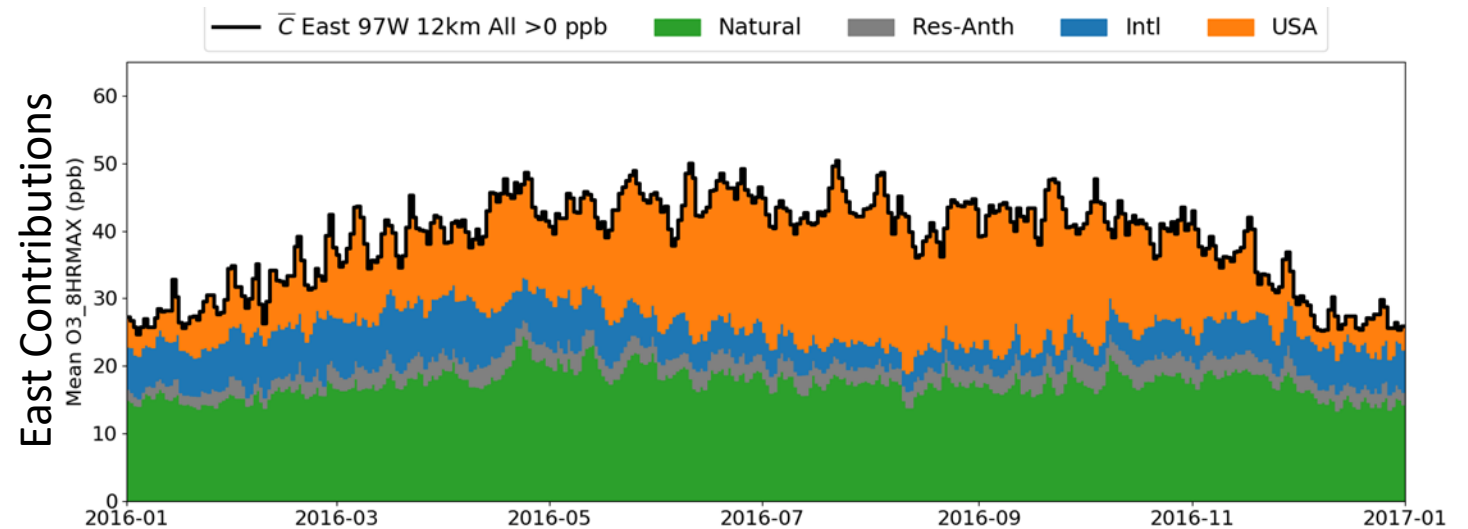
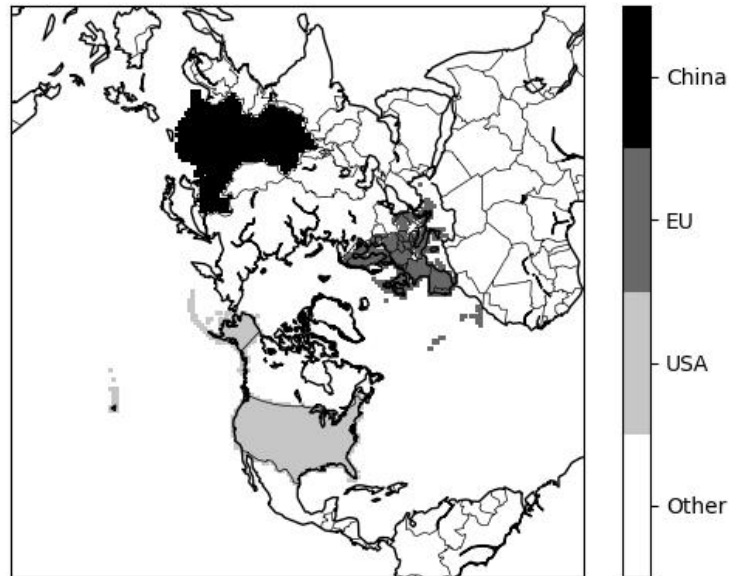


# Difference between West and East Surface (12km)

West Contributions

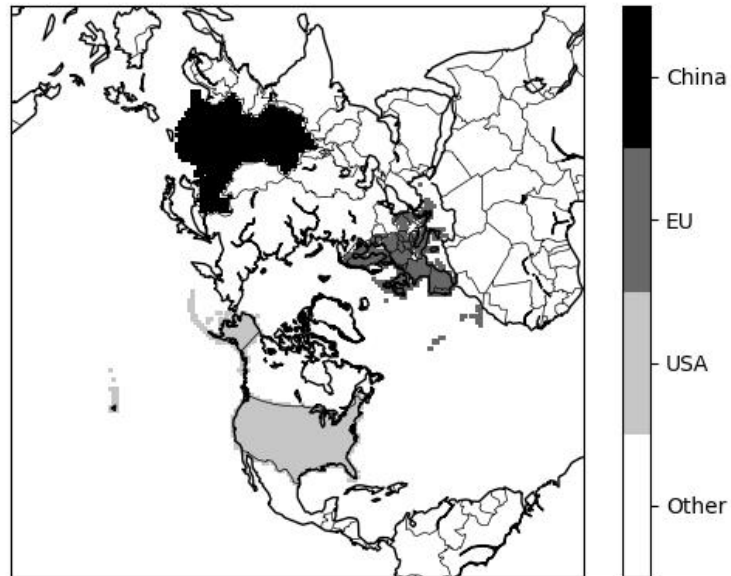
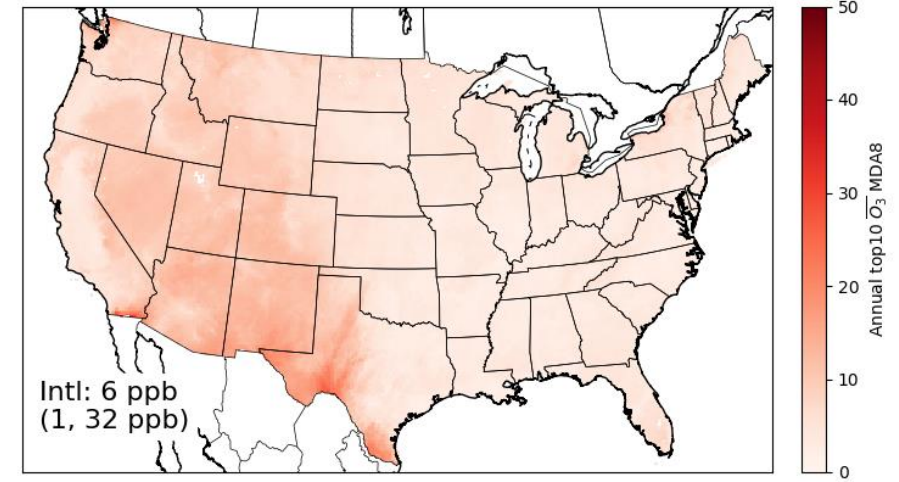
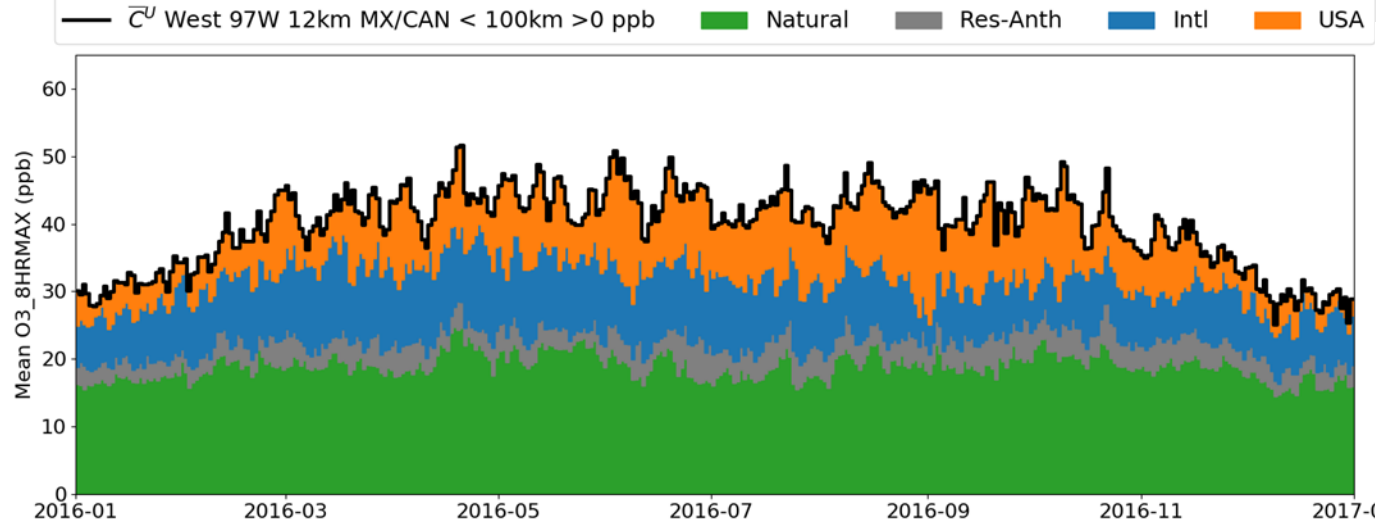


West gets more natural to the surface;  
think stratosphere.

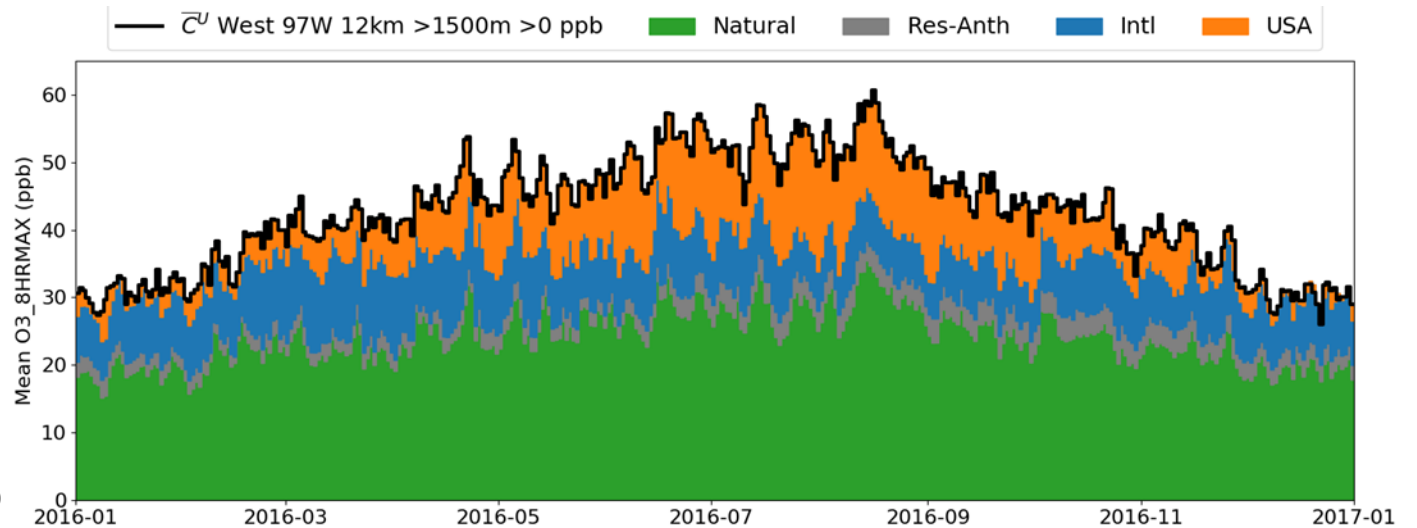


# Differences within the West at the Surface (12km)

Near Border has  
consistent international



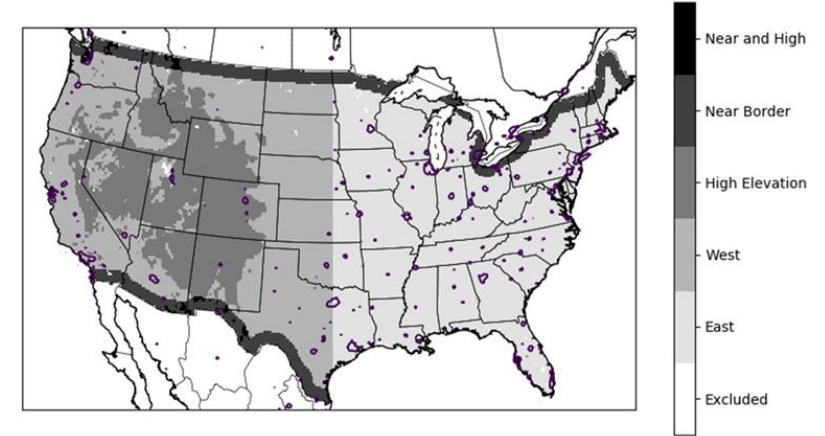
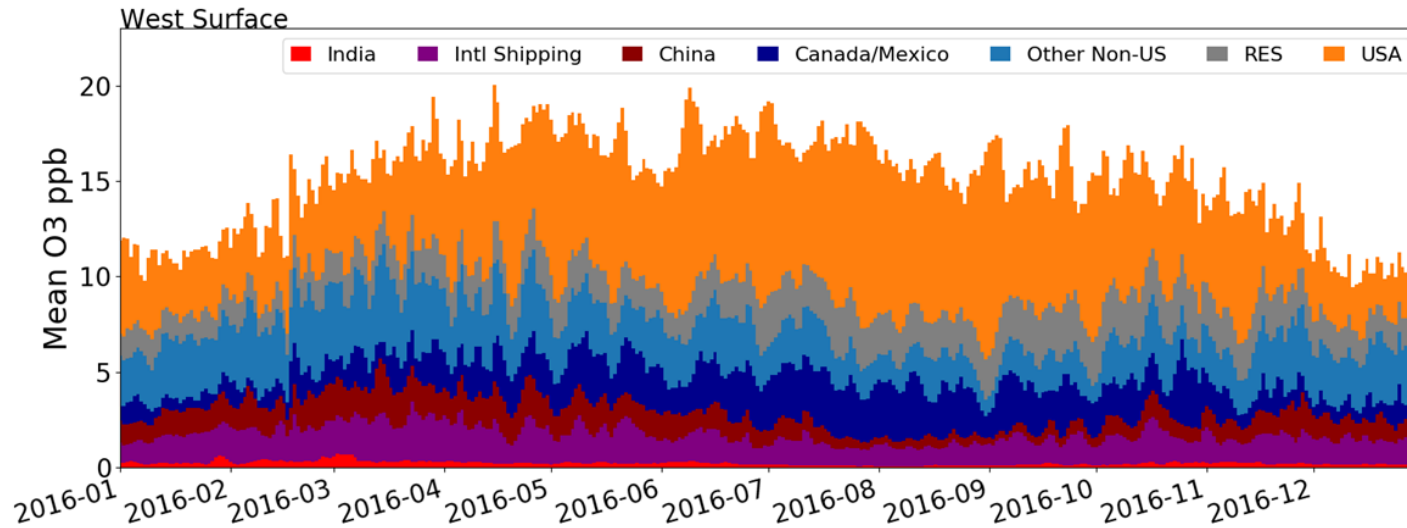
High Elevation more Natural



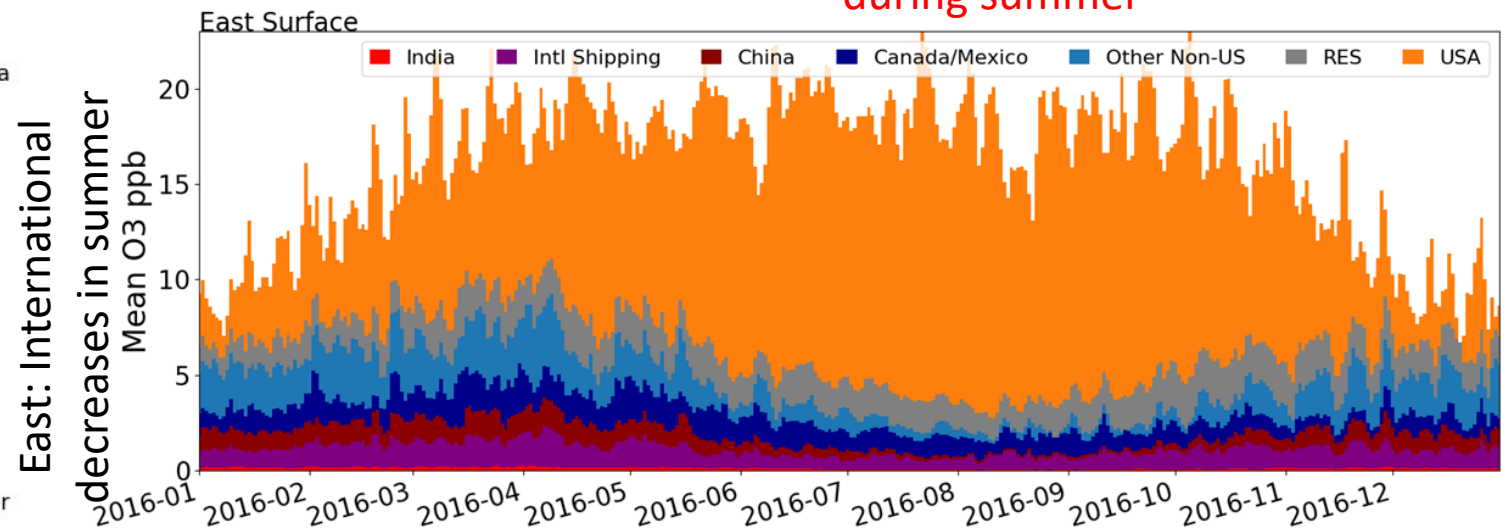
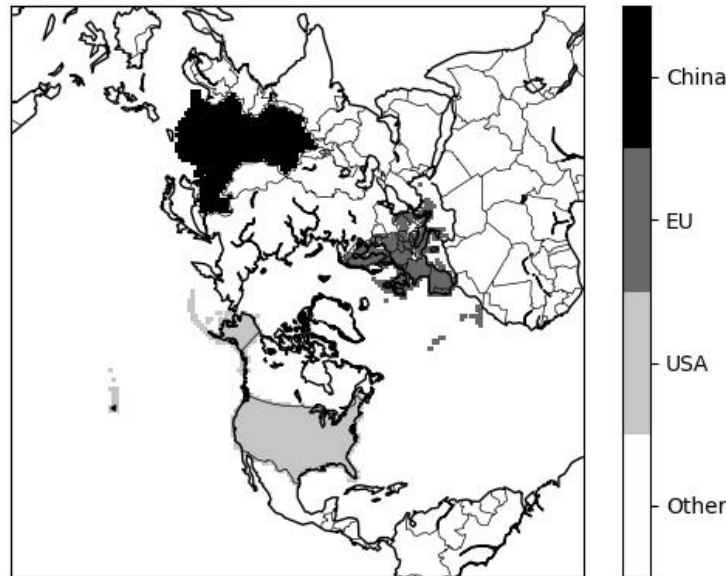


# Difference between West and East Surface (108km)

West: Canada increases  
as long-range decrease



Other countries 2-5 ppb on average  
during summer



# Summary

- Zero-out simulations provide estimates of contributions
  - Global Natural, International Anthropogenic, Domestic Anthropogenic
  - India, China, International Shipping, more to come
- Generally consistent with the literature
  - HTAP Phase I and Phase II; Jaffe et al. (2018)
  - USB is higher in the West than in the East, USB can be a significant contributor on high ozone days.
  - Long-range transport contributes more in the spring than summer
  - Canada and Mexico operate as short-range transport to most of the West
- Largest West/East difference at the surface was natural
- International Contribution on top 10 days at the surface
  - Summer most places: 1-15 ppb
  - Near-border: up to 30 ppb (no bias correction)
  - Eastern US decreases from all sources in summer
  - Western US increases from Canada/Mexico