

Advancing sectoral emission estimates of NO_x , SO_2 , and CO using satellite observations

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Sources of SO₂, NO_x, and CO



Power Plant



Fossil Fuel Combustion



Soil



Biomass Burning



Lightning



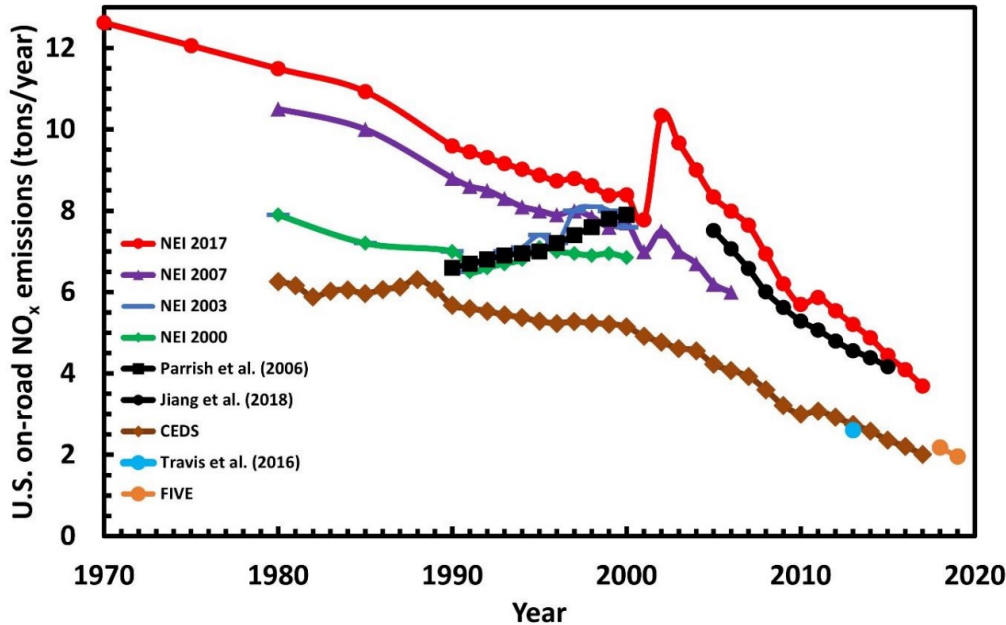
Volcano

Bottom-up Emissions Have Large Uncertainties & Lag in Time

Bottom-up estimate

Emission = species emission factor × activity

US on-road vehicle NO_x emissions

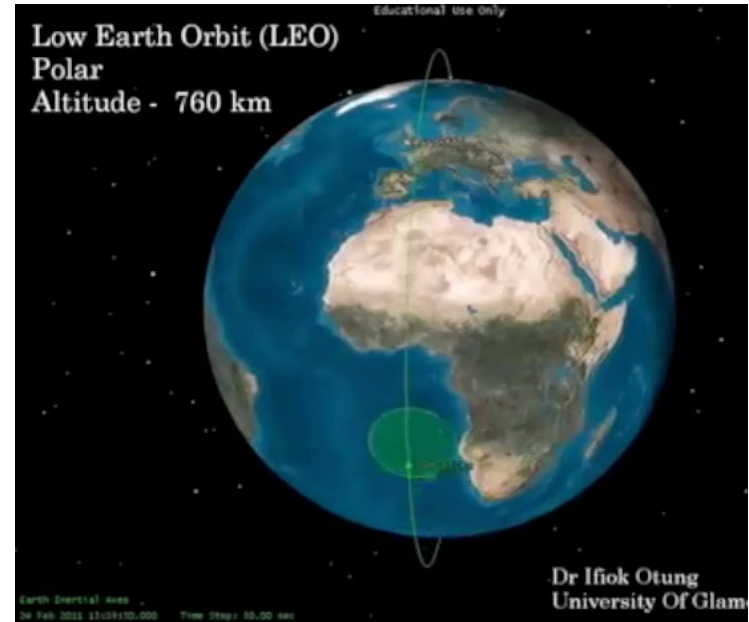


(Christiansen et al., 2019)

Top-down estimate

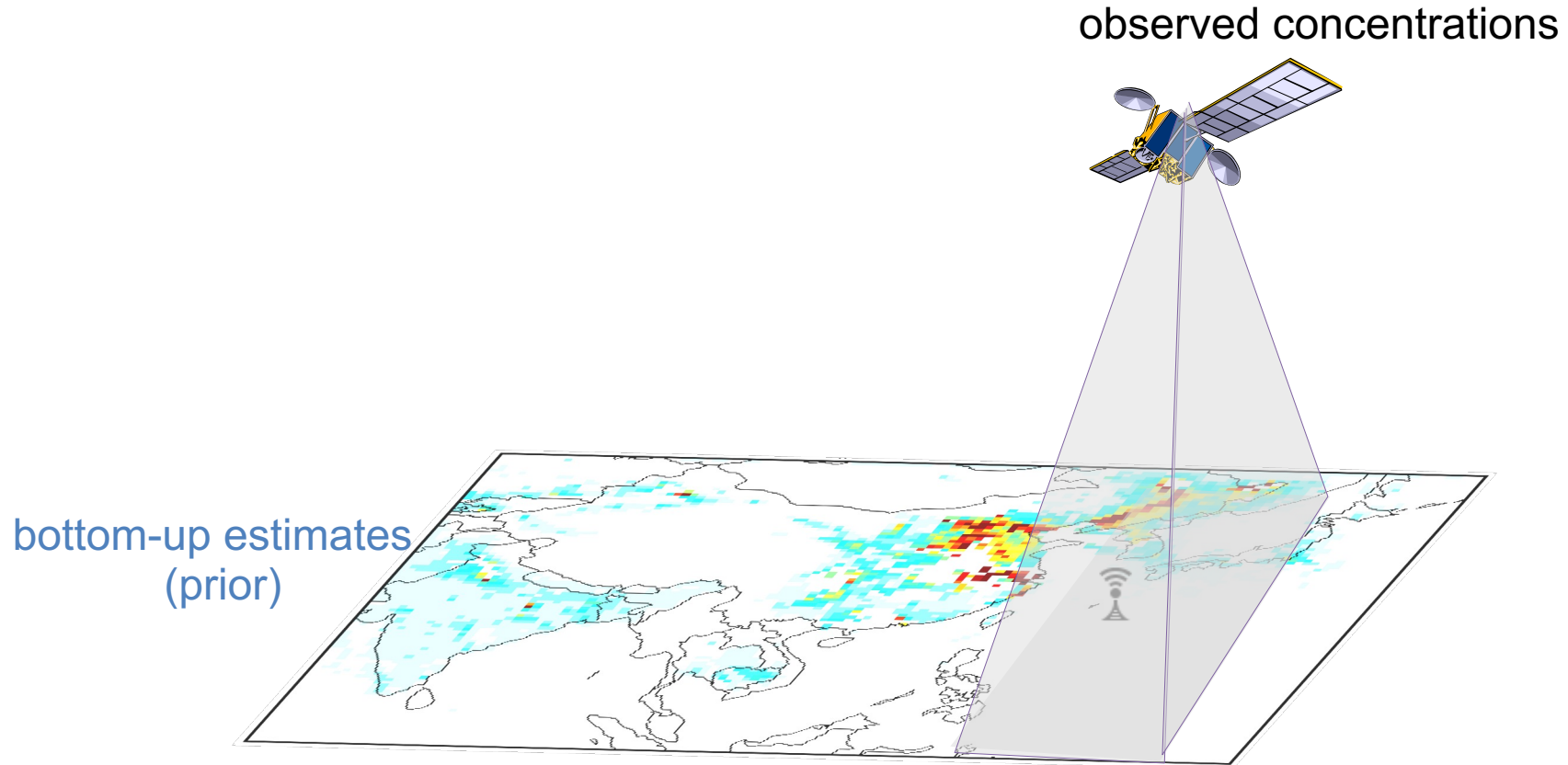
Infers emissions from observations

LEO Satellite



Using Observations to Estimate Emissions Through Inverse Methods ⁴

Top-down estimate

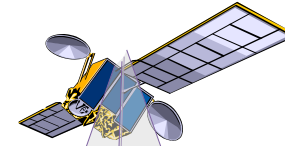


Using Observations to Estimate Emissions Through Inverse Methods ⁵

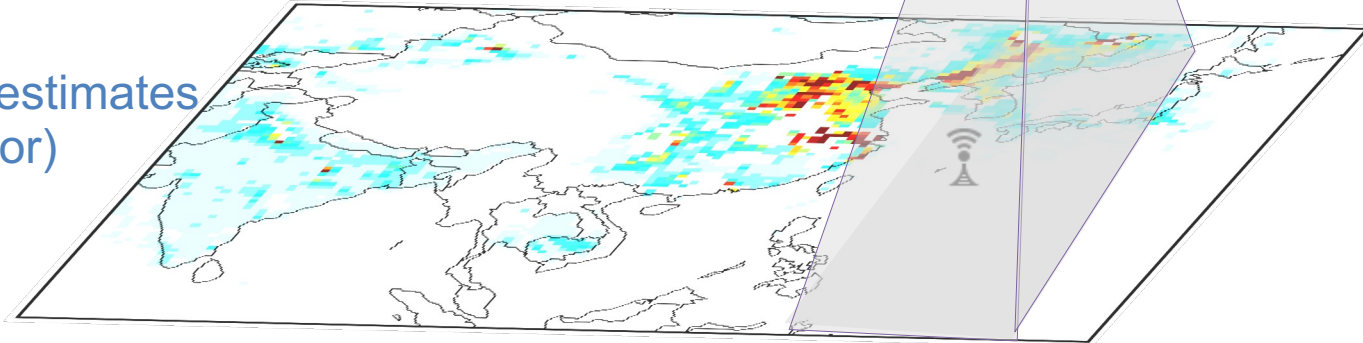
Top-down estimate

predicted concentrations \longleftrightarrow observed concentrations

chemical transport
model

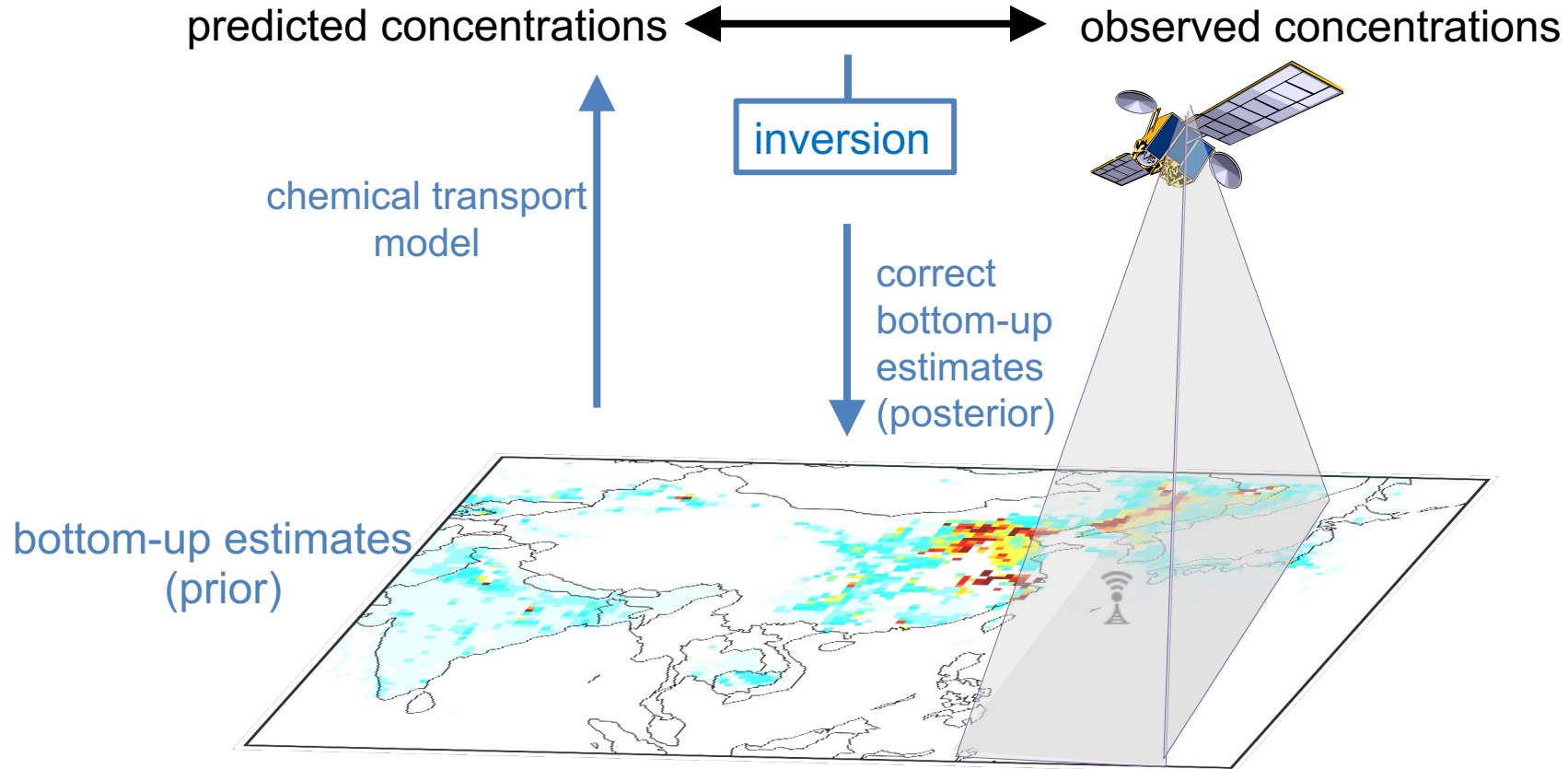


bottom-up estimates
(prior)



Using Observations to Estimate Emissions Through Inverse Methods ⁶

Top-down estimate



Using Observations to Estimate Emissions Through Inverse Methods ⁷

Top-down estimate

predicted concentrations

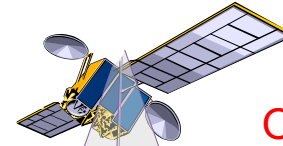


observed concentrations

inversion

GEOS-Chem adjoint

correct
bottom-up
estimates
(posterior)

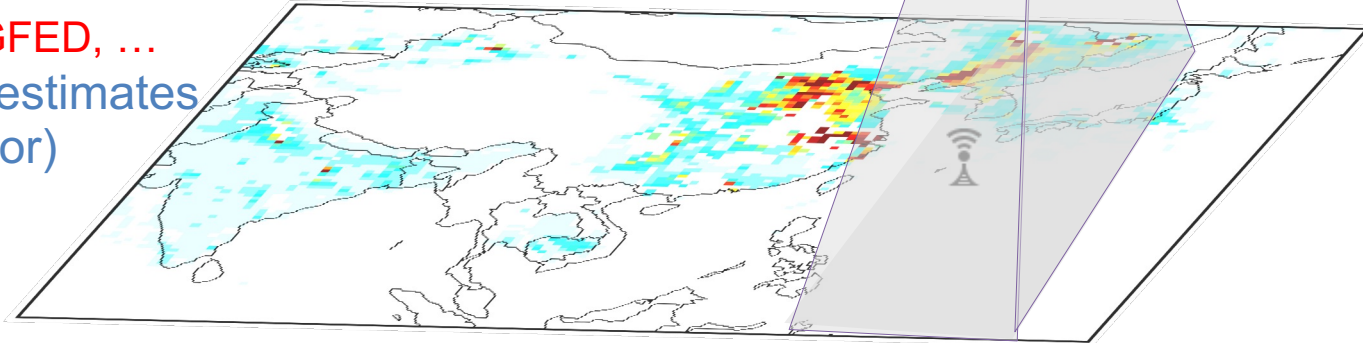


OMI NO₂ & SO₂
MOPITT CO

GEOS-Chem chemical transport
Nested EA model
0.5° x 0.667°

HTAP, GFED, ...

bottom-up estimates
(prior)



Bayesian Inference of Emissions: 4D-Var

Minimize cost Function:

$$J(\mathbf{x}) = \underbrace{\frac{1}{2} (\mathbf{x} - \mathbf{x}_a)^T \mathbf{S}_a^{-1} (\mathbf{x} - \mathbf{x}_a)}_{\text{Prior Term}} + \underbrace{\frac{1}{2} \gamma (\mathbf{y} - \mathcal{H}\mathbf{x})^T \mathbf{S}_o^{-1} (\mathbf{y} - \mathcal{H}\mathbf{x})}_{\text{Observational Term}}$$

Bayesian Inference of Emissions: 4D-Var

Minimize cost Function:

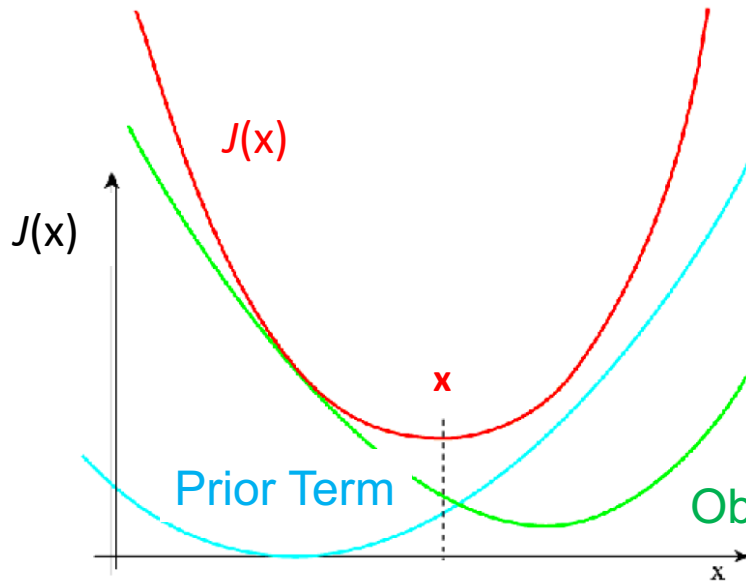
$$J(\mathbf{x}) = \underbrace{\frac{1}{2} (\mathbf{x} - \mathbf{x}_a)^T \mathbf{S}_a^{-1} (\mathbf{x} - \mathbf{x}_a)}_{\text{Prior Term}} + \underbrace{\frac{1}{2} \gamma (\mathbf{y} - \mathcal{H}\mathbf{x})^T \mathbf{S}_o^{-1} (\mathbf{y} - \mathcal{H}\mathbf{x})}_{\text{Observational Term}}$$

State vector Prior guess Inventory uncertainty Regularization parameter Observation Simulation Instrument + model uncertainty

Bayesian Inference of Emissions: 4D-Var

Minimize cost Function:

$$J(x) = \underbrace{\frac{1}{2} (\mathbf{x} - \mathbf{x}_a)^T \mathbf{S}_a^{-1} (\mathbf{x} - \mathbf{x}_a)}_{\text{Prior Term}} + \underbrace{\frac{1}{2} \gamma (\mathbf{y} - \mathcal{H}\mathbf{x})^T \mathbf{S}_o^{-1} (\mathbf{y} - \mathcal{H}\mathbf{x})}_{\text{Observational Term}}$$



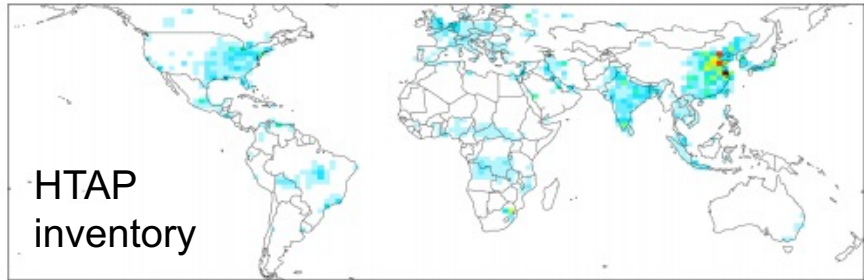
- Seeks solution iteratively
- Gradient based optimization
- Adjoint model calculates the sensitivity of cost function w.r.t. state vector

Top-down Estimate Suggests Underestimate of Bottom-up NO_x

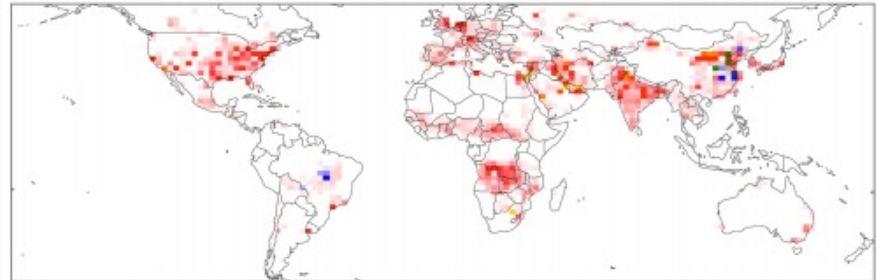
Spatial Distribution

NO_x emissions (2010)

Bottom-up



Top-down – bottom-up



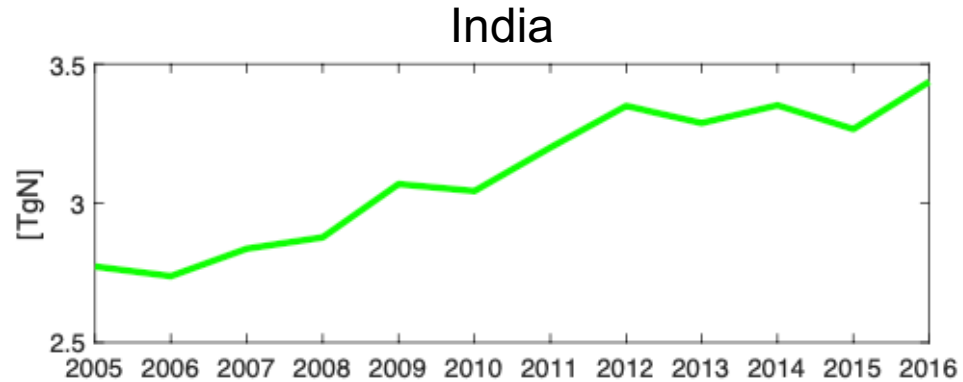
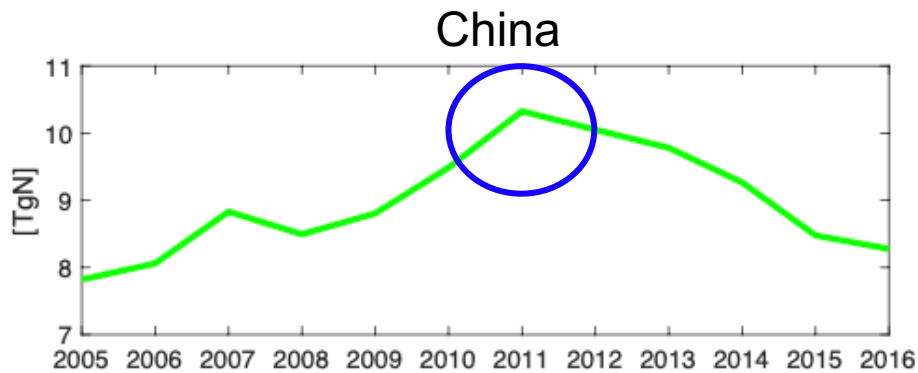
(*Qu et al.*, 2020)

Top-down estimates correct bottom-up emissions and assist in interpreting simulations with these emission inputs.

Top-down NO_x Estimates Reflect Emission Regulations

Trend

Top-down NO_x emissions (2005-2016)

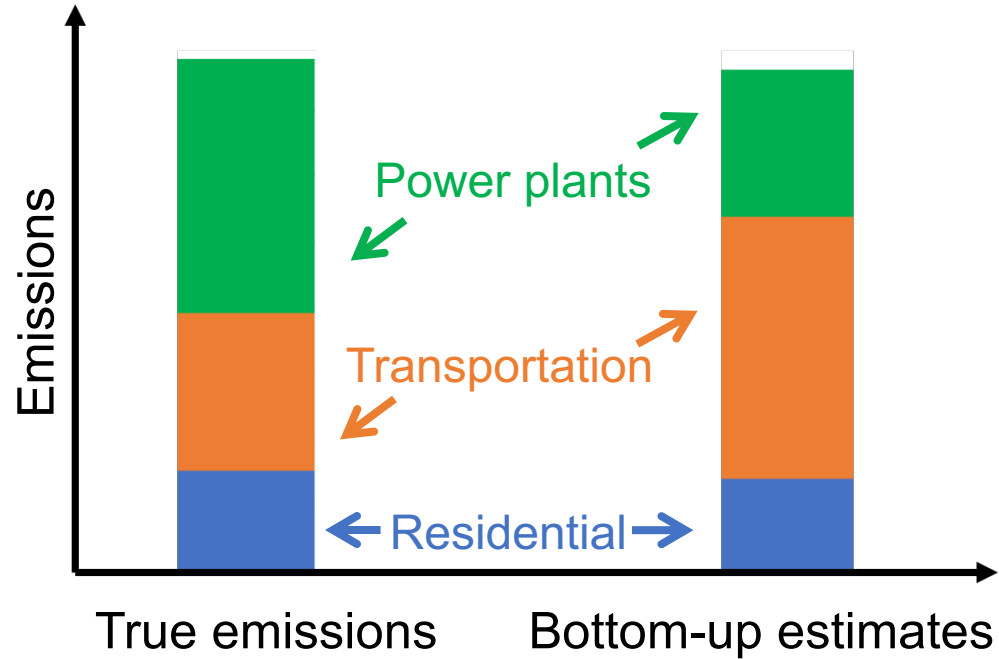


(Qu *et al.*, 2020)

China: peak in 2011 reflects regulations since the 12th Five Year Plan

Optimize Sectoral Profiles of Emissions

Similar total emissions, different profiles



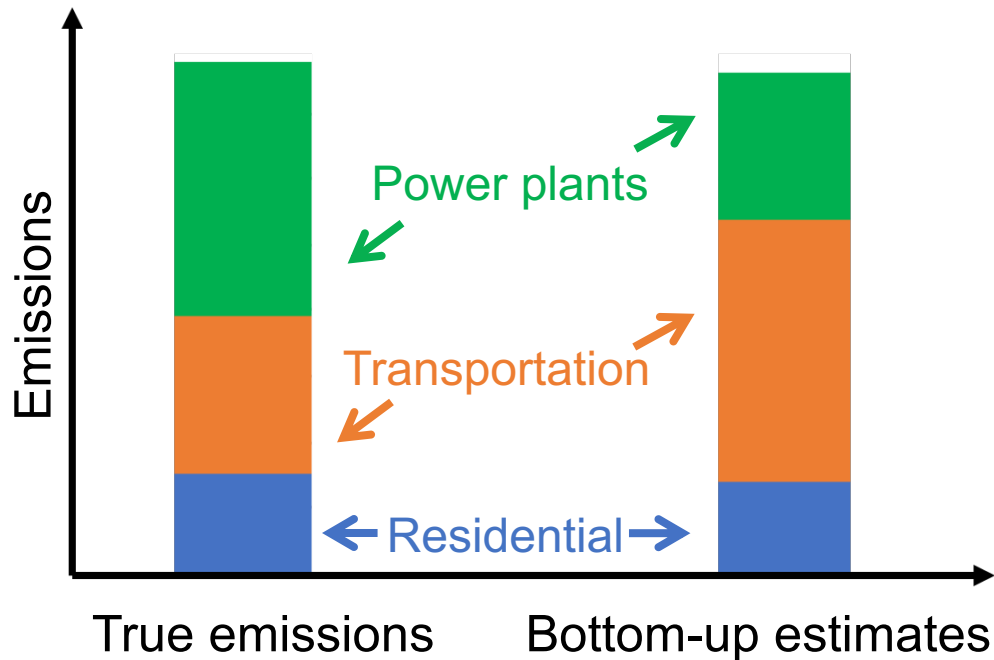
Optimize Sectoral Profiles of Emissions

Separate errors from emission factor & activity rates:

$$E = \text{species emission factor} \times \text{activity}$$

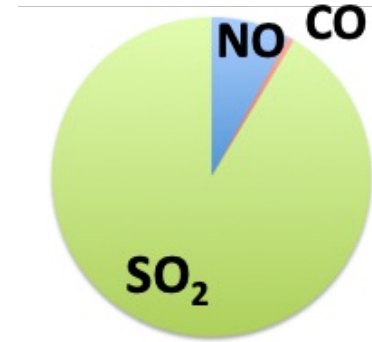
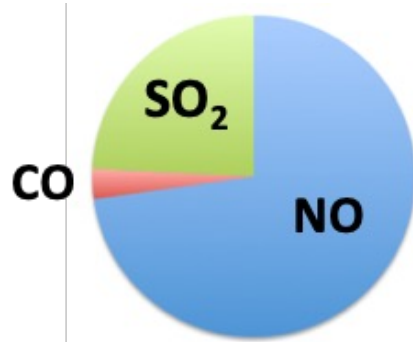
New sector-based inversion *optimize*

Similar total emissions, different profiles



Unique Emission Profile for Each Source

Sectoral Contribution



Need Observations of Multiple Species



TERRA

MOPITT CO



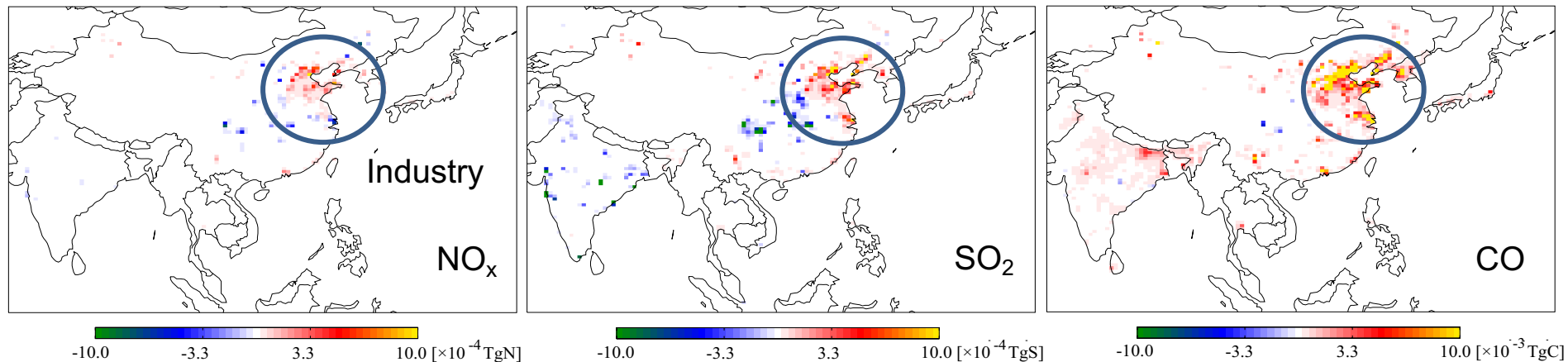
Aura

OMI NO₂ & SO₂

Sector-based Inversion: Independent Adjustments for Each Source

Sectoral Contribution

Emission adjustments (Top-down – bottom-up, Jan, 2010)



(Qu et al., 2022)

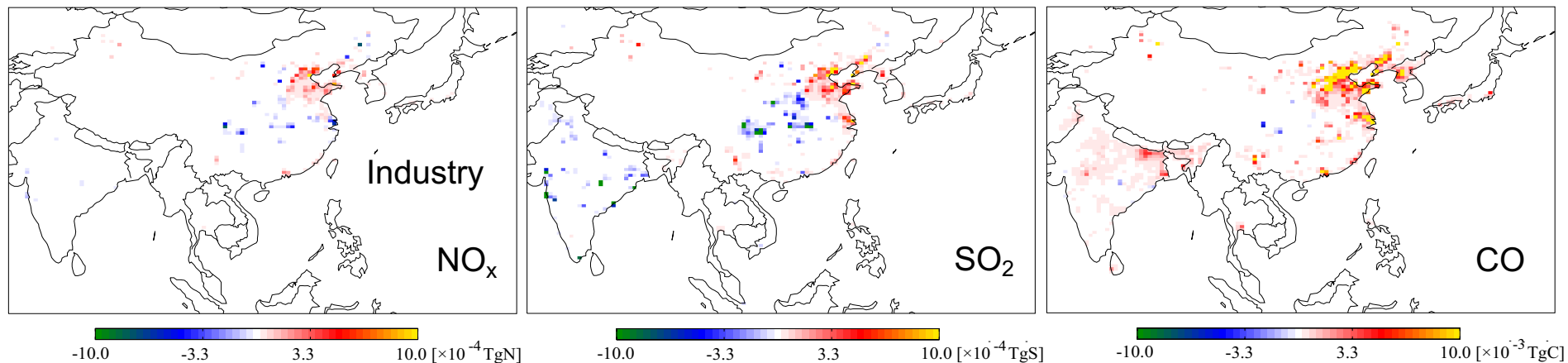
Bottom-up emissions: **overestimate** **underestimate**

$$E = \text{species emission factor} \times \text{activity}$$

Sector-based Inversion: Independent Adjustments for Each Source

Sectoral Contribution

Emission adjustments (Top-down – bottom-up, Jan, 2010)

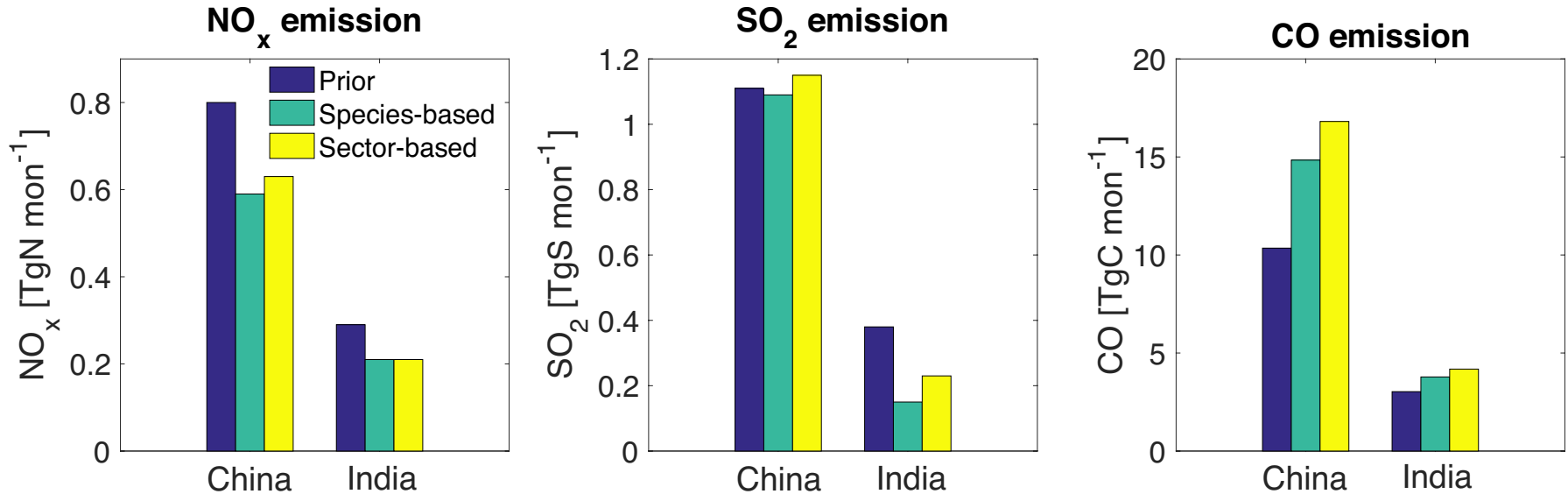


(Qu et al., 2022)

Bottom-up emissions: **overestimate** **underestimate**

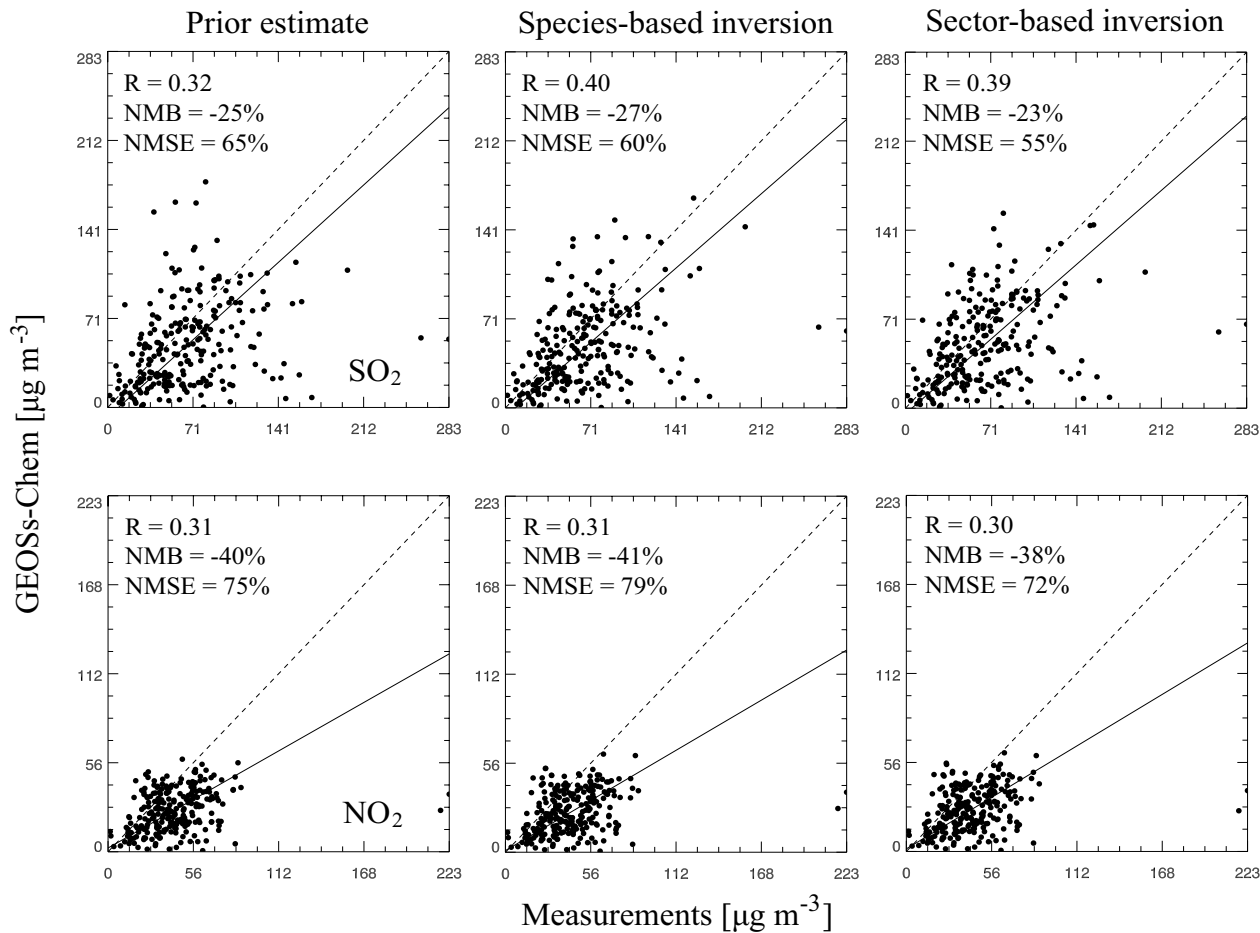
$$E = \text{species emission factor} \times \text{activity}$$

National Budget (Jan, 2010)



- NO_x: < HTAP emissions by 20-30%
- SO₂: HTAP emissions are overestimated in India
- CO: >HTAP estimates by 43-62% in China and 25-38% in India

Sector-based Posterior Show the Best Agreement with Measurements

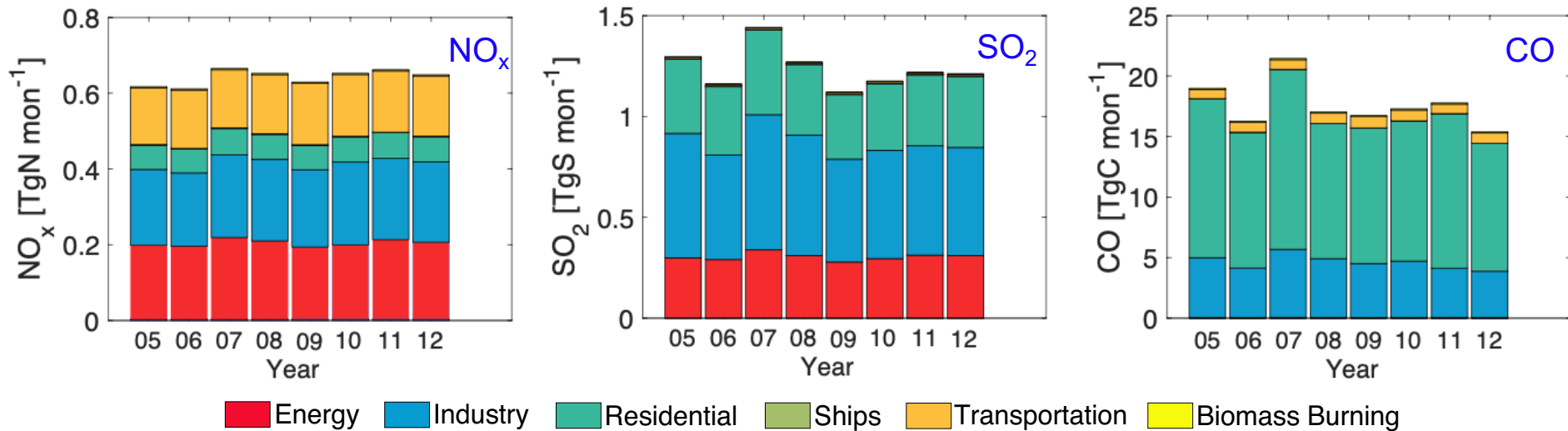


How Different Sources Respond to Regulations in China?

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Sectoral Contribution

Top-down emissions in China (Jan, 2005-2012)



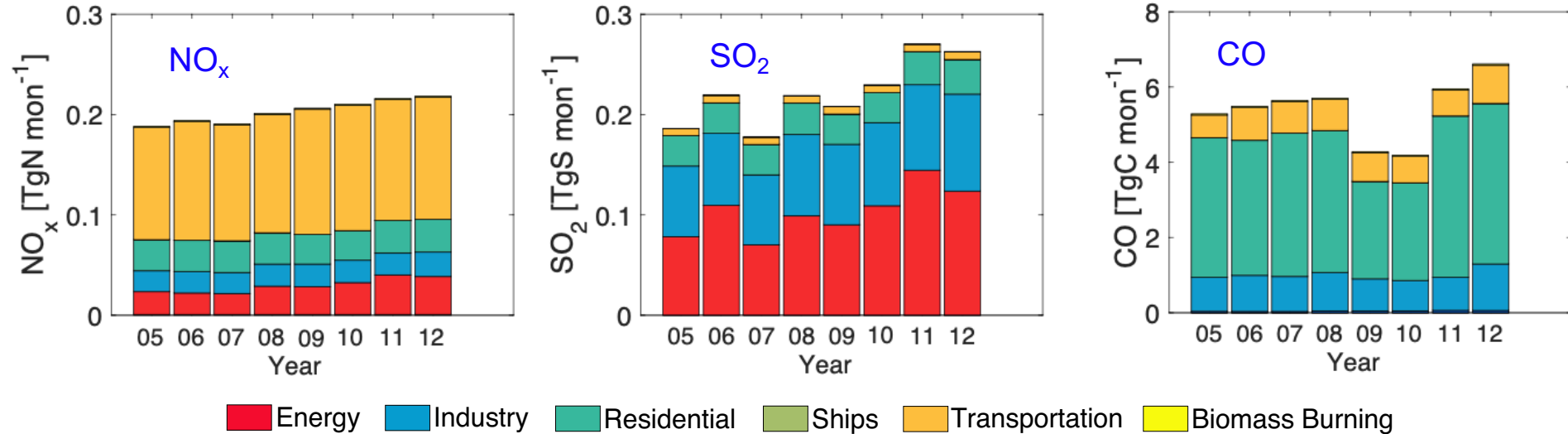
- Industry and energy sectors drive NO_x & SO₂ trends
- Residential and industry sectors drive CO trends

(Qu et al., 2022)

Emissions Continuously Increase in India

Sectoral Contribution

Top-down emissions in India (Jan, 2005-2012)

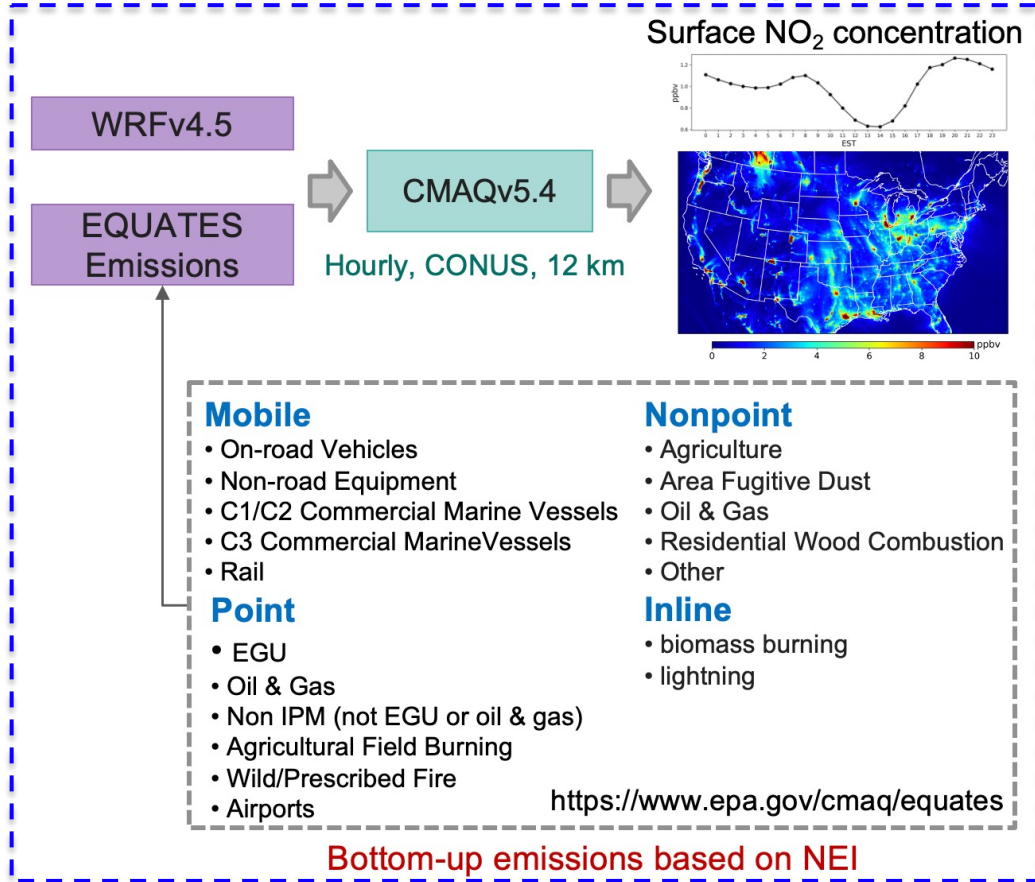


- Energy sector drives NO_x & SO_2 trends
- Residential sector drives CO trends

(Qu et al., 2022)

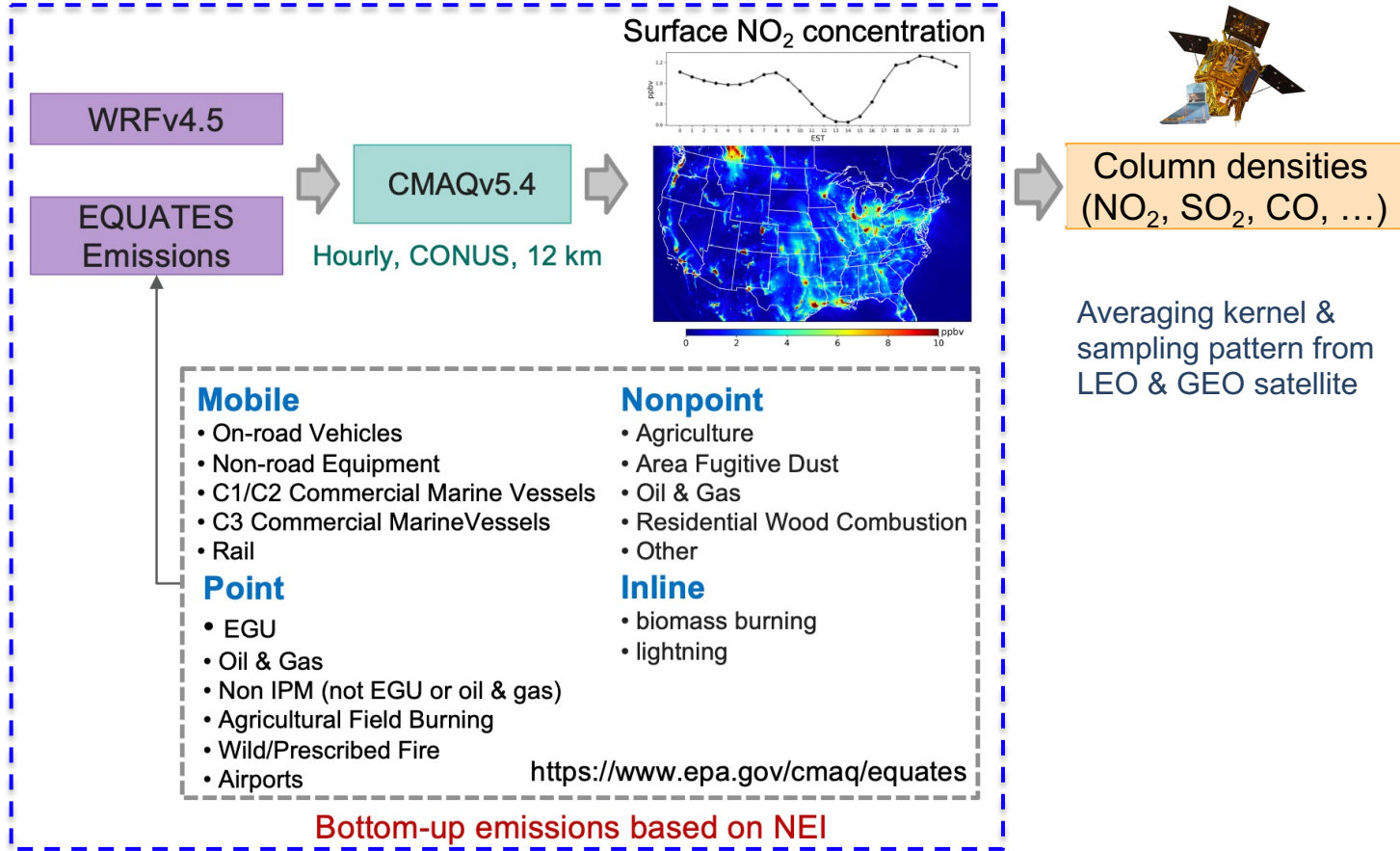
Observing System Simulation Experiments (OSSEs) over CONUS

Mimic the real atmosphere using CMAQ



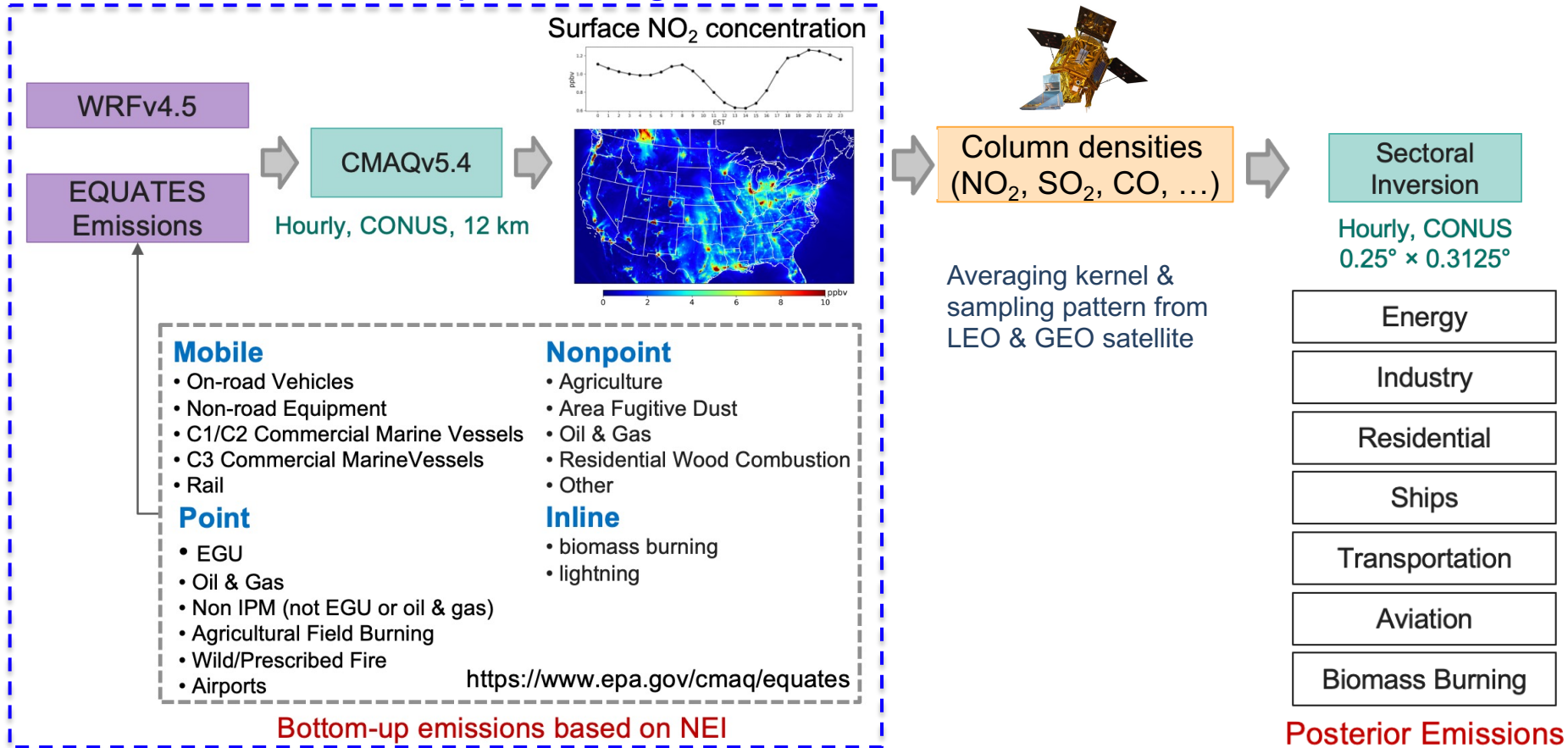
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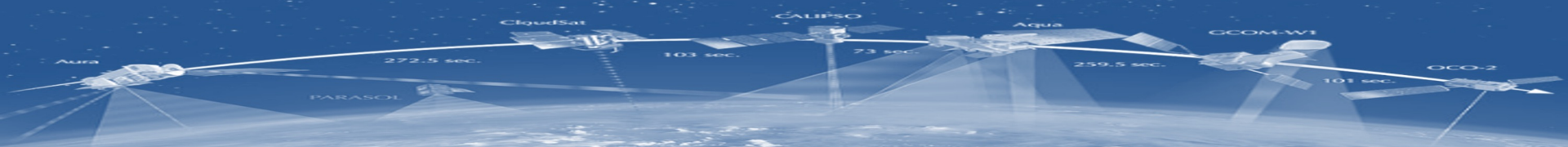
Mimic the real atmosphere using CMAQ



Observing System Simulation Experiments (OSSEs) over CONUS

Mimic the real atmosphere using CMAQ





Summary

Top-down emissions from a newly developed sector-based inversion framework lead to the best agreement with independent surface measurements and provide a new perspective to evaluate bottom-up estimates by activities.

- This new inversion attributes the drivers of the peak of Chinese SO_2 (2007) and NO_x (2011) emissions to industry and energy activities, and CO (2007) to residential and industry emissions.
- In India, the inversion attributes NO_x and SO_2 trends mostly to energy and CO trend to residential emissions.
- OSSEs are designed to evaluate how much this new framework can improve sectoral emission estimates in the US.

NC STATE



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Marine, Earth and Atmospheric Sciences

North Carolina State University

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