

# CAN THE THERMODYNAMIC MODEL AND 3-D AIR QUALITY MODEL PREDICT THE AEROSOL $\text{NO}_3^-$ REASONABLY?

Shaocai Yu\*, Robin Dennis\*\*, Brian Eder\*\*, Shawn Roselle\*,  
Athanasios Nenes\*\*, John Walker\*\*

\*Atmospheric Sciences Modeling Division  
National Exposure Research Laboratory,

\*\*Air Pollution Prevention and Control Division  
National Risk Management Research Laboratory,  
U.S. EPA, NC 27711

\*\* Schools of Earth and Atmospheric Sciences and Chemical  
and Biomolecular Engineering  
Georgia Institute of Technology, Atlanta Georgia 30332-0340

e-mail: [yu.shaocai@epa.gov](mailto:yu.shaocai@epa.gov)

Voice (919) 541-0362 Fax (919) 541-137

## 1. INTRODUCTION

Studying the behaviors of nitrate is one of most intriguing aspects of atmospheric aerosols because particulate nitrate concentrations depend not only on the amount of nitric acid, but also on availability of ammonia, sulfate concentrations, temperature and relative humidity. It is still one of the most challenging tasks to partition the semi-volatile inorganic aerosol components between the gas and aerosol phases correctly, especially when the thermodynamic models are incorporated in a 3-D air quality model.

## 2.0 MODELING AEROSOL NITRATE THERMODYNAMICS AND OBSERVATIONAL DATASETS

Given total (gas + particulate phase) concentrations of  $\text{H}_2\text{SO}_4$ ,  $\text{HNO}_3$ , and  $\text{NH}_3$ , and temperature and RH as inputs, ISORROPIA (Nenes et al., 1999) and AIM2-Model II (Clegg et al., 1998) can predict the partitioning of these inorganic species between the gas and aerosol phases on the basis of thermodynamic equilibrium. In this study,  $\text{TNH}_4$  and  $\text{TNO}_3$  are referred to as aerosol  $\text{NH}_4^+$ +gas  $\text{NH}_3$  and aerosol  $\text{NO}_3^-$ +gas  $\text{HNO}_3$ , respectively. In order to render the thermodynamic model as fast and computationally efficient as possible, ISORROPIA utilizes the optimal solution of the thermodynamic equations and precalculated tables, whenever possible (Nenes et al., 1999). On the contrary,

AIM2 is a theoretically complete and accurate phase equilibrium model that does not apply any simplifying assumptions for the inorganic aerosol systems.

For the observational datasets, the concentrations of  $\text{PM}_{2.5}$ ,  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  were measured with a 5-minute sampling at the Atlanta site during the SOS/Atlanta '99 Supersite Experiment from August 18 to September 1, 1999, (Weber et al., 2003).  $\text{NH}_3$  (g) and  $\text{HNO}_3$  (g) concentrations were measured with a time resolution of 15 and 9 minutes, respectively. These gas ( $\text{HNO}_3$  and  $\text{NH}_3$ ) concentrations were parsed into 5-minute averages so as to overlap with 5-minute mean concentrations of  $\text{PM}_{2.5}$ ,  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$ . Temperature and RH with 1-minute time resolution were averaged to 5 minutes. A total of 325 data points at the Atlanta site was obtained in this way. At the Clinton Horticultural Crop Research Station (35°01' latitude, 78°16' longitude), North Carolina, 12-hour (0600-1800 h day cycle; 18-0600 h night cycle) mean concentrations of  $\text{PM}_{2.5}$ ,  $\text{NH}_4^+$ ,  $\text{NO}_3^-$  and  $\text{SO}_4^{2-}$ , and gas  $\text{NH}_3$  and  $\text{HNO}_3$  were measured from January 20 to November 2, 1999. The hourly temperature and RH data at the site were provided by State Climate Office of North Carolina at North Carolina State University.

## 3.0 RESULTS AND DISCUSSIONS

### 3.1 Test of thermodynamic models with observational data

ISORROPIA and AIM2 were used to partition  $\text{TNH}_4$  and  $\text{TNO}_3$  between aerosol and gas phases.

\* On assignment from the National Oceanic and Atmospheric Administration, U.S. Department of Commerce

Figure 1 shows comparisons of observed aerosol  $\text{NO}_3^-$  and  $\text{NH}_4^+$ , and gaseous  $\text{HNO}_3$  and  $\text{NH}_3$  concentrations with those calculated by the models at the Atlanta site. As given in Table 1, 94% and 96% of the  $\text{NH}_4^+$  predictions are within a factor of 1.5 for ISORROPIA and AIM2, respectively. (In shorthand,  $\text{NH}_4^+$ : 94% (ISORROPIA) and 96% (AIM2) within a factor of 1.5). Predictions for gas  $\text{HNO}_3$  are also good ( $\text{HNO}_3$ : 86% (ISORROPIA) and 87% (AIM2) within a factor of 1.5, see Table 1). This is partially because most of  $\text{TNH}_4$  and  $\text{TNO}_3$  are located in the aerosol and gas phases, respectively. However, both models cannot reproduce most of observed aerosol  $\text{NO}_3^-$  and gas  $\text{NH}_3$  ( $\text{NO}_3^-$ : 32% (ISORROPIA) and 48% (AIM2) within a factor of 2, and  $\text{NH}_3$ : 25% (ISORROPIA) and 51% (AIM2) within a factor of 2, see Table 1). A further analysis, not shown, indicates that the periods of overprediction are associated with low temperature, high RH and sulfate-poor conditions ( $\text{TNH}_4/\text{SO}_4^{2-} > 2.0$ ), while those of underpredictions are associated with the conditions of high temperature, low RH and sulfate-rich ( $\text{TNH}_4/\text{SO}_4^{2-} < 2.0$ ).

For the Clinton site, Figure 2 shows that both models reproduced observed  $\text{NH}_3$  concentrations very well (95% (ISORROPIA) and 97% (AIM2) within a factor of 1.5) due to the fact that most of  $\text{TNH}_4$  is in the gas phase. Both models performed a little better on aerosol  $\text{NO}_3^-$  at the Clinton site than at the Atlanta site. Most of the cases at the Clinton site are representative of very sulfate-poor conditions. However, there are many cases in which the observations show the existence of low aerosol  $\text{NO}_3^-$  (such as  $0.1$  to  $0.8 \mu\text{g m}^{-3}$ ) but the thermodynamic models predicted either zero or negligible amounts of aerosol  $\text{NO}_3^-$  like those at the Atlanta site (see Figures 1 and 2). The possible reasons for this are as follows: (1) a dynamic instead of an equilibrium model may be more suitable for these cases, i.e., they are not in complete thermodynamic equilibrium; (2) thermodynamic models are not able to accurately simulate such cases for the conditions encountered; (3) other ions (such as  $\text{Na}^+$ ,  $\text{Cl}^-$ ,  $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$ ) made significant contributions to aerosol components and the thermodynamic models do not consider their effects; (4) other mechanisms such as absorption by carbonaceous aerosol instead of thermodynamic equilibrium produce aerosol  $\text{NO}_3^-$ ; (5) There are significant errors in observations of other important aerosol components (such as  $\text{SO}_4^{2-}$ ) and  $\text{TNH}_4$ .

### **3.2. Effects of errors in $\text{SO}_4^{2-}$ , total ammonia ( $\text{NH}_3+\text{NH}_4^+$ ), temperature and relative humidity on predicting aerosol $\text{NO}_3^-$**

Since the 3-D air quality model such as the CMAQ can only reproduce 46-79% of  $\text{SO}_4^{2-}$  and 39-72% of aerosol  $\text{NH}_4^+$  within a factor of 1.5 (Eder et al., 2003), the 3-D air quality models can make  $\pm 50\%$  errors in simulations of  $\text{SO}_4^{2-}$  and  $\text{NH}_4^+$  very frequently. In order to test how much these errors will affect the predictions of aerosol  $\text{NO}_3^-$ , a test dataset of total 163 data points, in which both ISORROPIA and AIM predict the existence of aerosol  $\text{NO}_3^-$ , was obtained on the basis of observational data (total 325 data points, see Figure 1) at the Atlanta site. Then the different combinations of errors in  $\text{SO}_4^{2-}$  and  $\text{TNH}_4$  are applied to this test dataset as shown in Table 2. The prediction results of each thermodynamic model for the test dataset before introduced errors are considered as the base-case results. As shown in Figure 3, both ISORROPIA and AIM2 have similar responses in the predicted aerosol  $\text{NO}_3^-$  to the possible errors in  $\text{SO}_4^{2-}$  and  $\text{TNH}_4$  although the aerosol  $\text{NO}_3^-$  predictions by ISORROPIA are modestly more sensitive to the errors in  $\text{SO}_4^{2-}$  and  $\text{TNH}_4$  than those by AIM2. Under the conditions with  $-50\%$  errors in  $\text{TNH}_4$  including cases 5, 6 and 7, both ISORROPIA and AIM will underpredict almost all aerosol  $\text{NO}_3^-$  concentrations by more than a factor of 2 (Table 2 and Figure 3). Under the conditions with  $+50\%$  error in  $\text{SO}_4^{2-}$  (case 1), or  $+50\%$  error in  $\text{TNH}_4$  (case 2), or  $-50\%$  error in  $\text{SO}_4^{2-}$  and  $+50\%$  error in  $\text{TNH}_4$  (case 8), both model cannot reproduce most of aerosol  $\text{NO}_3^-$  concentrations within a factor of 2 (percentage  $< 40\%$  within a factor of 2). The conditions with the case 3 ( $+50\%$  errors in both  $\text{SO}_4^{2-}$  and  $\text{TNH}_4$ ) and case 4 ( $-50\%$  errors in  $\text{SO}_4^{2-}$ ) show relative less effects on the prediction of aerosol  $\text{NO}_3^-$ . This is due to the fact that there is compensation error from both  $\text{SO}_4^{2-}$  and  $\text{TNH}_4$  in the case 3.

As shown in Figure 4, in contrast to big effects from the errors in  $\text{SO}_4^{2-}$  and  $\text{TNH}_4$ , the responses of the aerosol  $\text{NO}_3^-$  predictions are less sensitive to the errors in temperature and somewhat less sensitive to errors in RH. However,  $\pm 20\%$  errors in both temperature and RH still result in both models not being able to reproduce most of aerosol  $\text{NO}_3^-$  within a factor of 1.5 (percentage  $< 42\%$ , see Table 2) although both models can capture 53-69% of aerosol  $\text{NO}_3^-$  within a factor of 2. This analysis indicates that errors in

TNH<sub>4</sub> are more critical than errors in SO<sub>4</sub><sup>2-</sup> to prediction of NO<sub>3</sub><sup>-</sup>. Regardless, the 3-D model performance on SO<sub>4</sub><sup>2-</sup> and TNH<sub>4</sub> needs to be quit good and better than current daily performance, before the 3-D air quality model can predict aerosol NO<sub>3</sub><sup>-</sup> reasonably although it can predict TNO<sub>3</sub> reasonably.

#### 4. REFERENCES

Clegg, S.L., Brimblecombe, P., and A.S. Wexler, 1998: A thermodynamic model of the system H<sup>+</sup>-NH<sub>4</sub><sup>+</sup>-SO<sub>4</sub><sup>2-</sup>-NO<sub>3</sub><sup>-</sup>-H<sub>2</sub>O at tropospheric temperatures. *J. Phys. Chem. A*, **102**,2155-2171.

Eder, B., Yu, Shaocai, and Robin Dennis, An evaluation of the Models-3 CMAQ aerosol module. 2003 AAAR PM Meeting Particulate Matter: Atmospheric Sciences, Exposure and the Fourth Colloquium on PM and Human Health, Pittsburgh, Pennsylvania, March 31-April 4, 2003

Nenes, A., Pilinis, C., and S.N. Pandis, 1999: Continued development and testing of a new thermodynamic aerosol module for urban and regional air quality models. *Atmos. Environ.*, **33**, 1553-1560.

Weber, R.J., et al., 2003: Intercomparison of near real-time monitors of PM<sub>2.5</sub> nitrate and sulfate at the Environmental Protection Agency Atlanta Supersite. *J. Geophys. Res.*, **108**, 8421, doi:10.1029/2001JD001220.

Table 1. Statistical summaries of the comparison of the modeled partitioning of total nitrate (gas + aerosol) and total ammonia (gas + aerosol) between gas and aerosol phases with that of observations at the Atlanta SuperSite, GA, and Clinton site, NC.

Parameters	<C>		Within a factor of 1.5, (%)		Within a factor of 2, (%)		
	OBS	ISORROPIA	AIM2	ISORROPIA	AIM2	ISORROPIA	AIM2
<b>At Atlanta site (N=325)</b>							
Aerosol NO <sub>3</sub> <sup>-</sup>	0.53	0.54	0.61	21.8	33.2	31.7	48.3
Gas HNO <sub>3</sub>	7.15	7.13	7.06	86.2	87.1	91.7	92.9
Aerosol NH <sub>4</sub> <sup>+</sup>	3.60	4.06	3.85	94.8	96.0	98.5	98.8
Gas NH <sub>3</sub>	0.74	0.31	0.50	16.6	31.4	25.2	51.4
<b>At Clinton site (N=479)</b>							
Aerosol NO <sub>3</sub> <sup>-</sup>	0.30	0.28	0.24	58.0	47.2	71.8	62.0
Gas HNO <sub>3</sub>	0.27	0.29	0.33	52.4	49.3	78.7	69.5
Aerosol NH <sub>4</sub> <sup>+</sup>	1.15	1.44	1.42	74.5	76.2	92.5	92.5
Gas NH <sub>3</sub>	5.13	4.86	4.88	95.4	96.5	97.5	97.9

\* <C> is the mean concentration (μg m<sup>-3</sup>), percentages (%): are the percentages of the comparison points whose model results are within a factor of 2 (or 1.5) of the observations (See Figures 1 and 4). N is number of samples.

Table 2. Statistical summaries of the comparison of the modeled aerosol NO<sub>3</sub><sup>-</sup> for different sensitivity cases vs. those of base cases on the basis of observational data at the Atlanta SuperSite, GA

Cases	Conditions	<C>			Within a factor of 1.5, %	
		Base-case	ISORROPIA	AIM	ISORROPIA	AIM
1	+50% error in SO <sub>4</sub> <sup>2-</sup>	1.00	0.43	0.53	0.14	0.24
2	+50% error in TNH <sub>4</sub>	1.00	2.13	2.00	0.14	0.16
3	+50% error in SO <sub>4</sub> <sup>2-</sup> and TNH <sub>4</sub>	1.00	1.43	1.51	0.27	0.33
4	-50% error in SO <sub>4</sub> <sup>2-</sup>	1.00	1.64	1.53	0.40	0.61
5	-50% error in TNH <sub>4</sub>	1.00	0.11	0.16	0.00	0.00
6	-50% error in SO <sub>4</sub> and TNH <sub>4</sub>	1.00	0.46	0.49	0.03	0.04
7	+50% error in SO <sub>4</sub> <sup>2-</sup> , -50% error in TNH <sub>4</sub>	1.00	0.07	0.09	0.00	0.00
8	-50% error in SO <sub>4</sub> <sup>2-</sup> , +50% error in TNH <sub>4</sub>	1.00	2.31	2.18	0.15	0.20
9	+20% error in Temp (°C)	1.00	0.69	0.72	0.26	0.25
10	-20% error in Temp (°C)	1.00	1.32	1.44	0.42	0.42
11	+20% error in RH (%)	1.00	2.69	2.76	0.29	0.37
12	-20% error in RH (%)	1.00	0.44	0.41	0.19	0.37

\* <C> is the mean NO<sub>3</sub><sup>-</sup> concentration (μg m<sup>-3</sup>), percentages (%): are the percentages of the comparison points whose model results are within a factor of 2 (or 1.5) of the observations. The number of samples is 163.

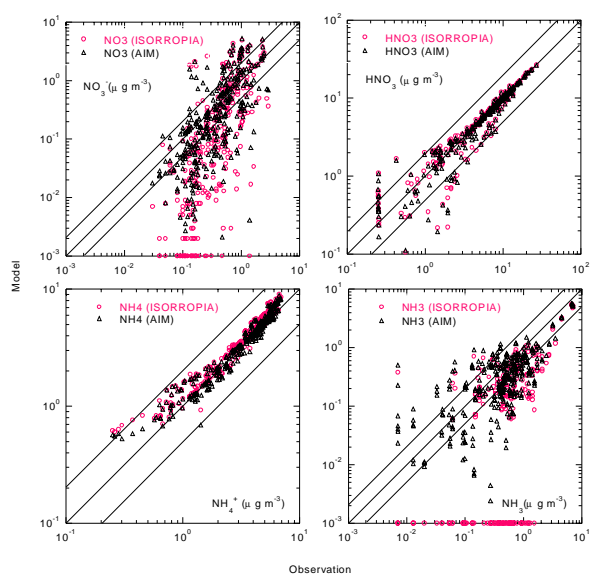


Figure 1. Comparison of the modeled partitioning of total nitrate and total ammonia between gas and aerosol phases with that of observations at the Atlanta supersite in summer of 1999.

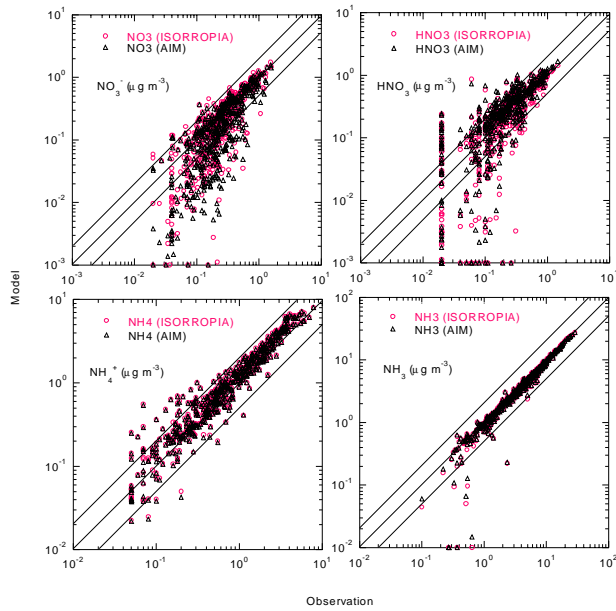


Figure 2. Same as Figure 1 but at the Clinton site, NC, during the period of January 20 to November 2, 1999.

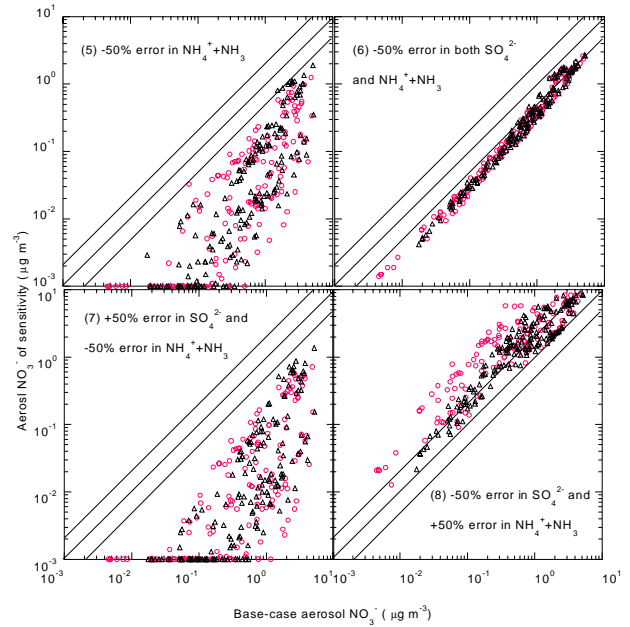


Figure 3 (Continued)

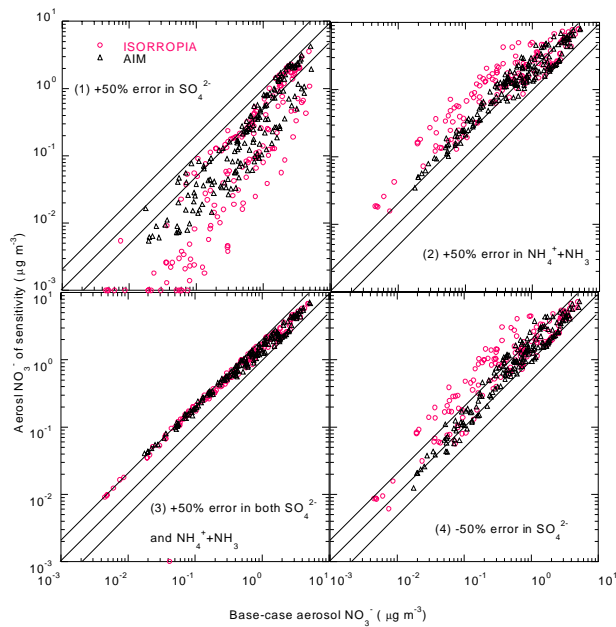


Figure 3. Aerosol  $\text{NO}_3^-$  of sensitivity cases with different assumed errors vs. base-case  $\text{NO}_3^-$  for different thermodynamic models on the basis of observational data at the Atlanta site.

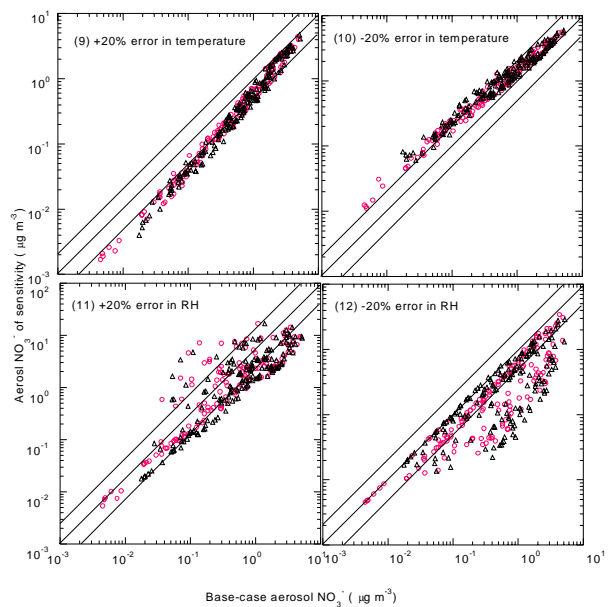


Figure 4. Same as Figure 3 but for the assumed errors in temperature and relative humidity.